

# TENNESSEE ARCHAEOLOGY

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Correspondence about manuscripts for the journal should be addressed to Michael C. Moore, Tennessee Division of Archaeology, Cole Building #3, 1216 Foster Avenue, Nashville TN 37243.

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## EDITORS CORNER

We are pleased to welcome you to the sixth issue of *Tennessee Archaeology*. With the number of submissions increasing, we are gaining ground on e-publishing an issue every six months – but we are always looking for new reports and articles, so please consider sending us the results of your research. As always, we extend our sincere appreciation to the contributing authors and the scholars who provide thorough (and timely!) reviews of submissions. This journal would not be possible without their support.

We created the Editor's Corner to record important happenings and discoveries that might otherwise go undocumented. In this issue, we note the loss of a very significant "early" Tennessee archaeologist – our thanks to Steve Rogers with the Tennessee Historical Commission for providing the photograph and background information on his long-time friend.

### **J. JOSEPH (FINKELSTEIN) BAUXAR (September 9, 1910 – April 22, 2008)**

J. Joseph (Finkelstein) Bauxar was born in Oklahoma City on September 9, 1910 (the son of Abraham and Sarah Finkelstein), grew up in the Tulsa area, and graduated from the University of Oklahoma, Norman in 1932. Joe inaugurated the Oklahoma Archaeological Survey in 1932 with a brief survey of the northeastern Oklahoma counties.

In 1933 he worked at the Laboratory of Anthropology at Santa Fe, New Mexico and excavated along the Whitewater River in Arizona under the direction of Frank H.H. Roberts (director of the first Tennessee relief excavations at Shiloh).

In 1934-1936, he supervised excavations in Oklahoma for the Smithsonian Institution and University of Oklahoma at the Norman Mound, Reed site, and part of one mound at Spiro as part of Works Progress Administration (WPA) projects (Finkelstein 1940; Bauxar 1953).

In 1937 he attended graduate school for a year at the University of Chicago and supervised excavation at the Kincaid site in southern Illinois. Beginning in October 1937, Bauxar was employed as an ethnohistorian/archaeologist for the Laboratory of Anthropology, University of Tennessee (see below), including prehistoric sites in the Chickamauga Basin. In November 1939, he chaired the fourth Southeastern Archaeological Conference at Ocmulgee. While at UT, he also directed what are probably the earliest significant historic archaeology studies in Tennessee with his reports on excavations at Bean Tavern and Bean Fort (Finkelstein 1942a, 1942b).

In June 1942, he was inducted into the Army Air Corps as a radio operator. During World War II, Joe Finkelstein changed his last name to Bauxar. Following his service he worked on the Smithsonian River Basin Survey's Missouri Valley project in Nebraska and the Dakotas (1947-'48), including Daniel Freeman Homestead, Homestead National Monument in Beatrice, Nebraska.



J. Joseph Finkelstein, ca. 1942 (Courtesy, Stephen T. Rogers)



Southeastern Archaeological Conference, Macon Georgia, November 10-11, 1939. First row sitting. James A. Ford, **John Alden**, Joseph R. Caldwell, Dr. Frederick S. Hulse, John Bennett, ? ?, George I. Quimby Jr., Joffre Coe. Second row standing left to right: John C. Ewers, James B. Griffin, **Madeline Kneberg**, Marion L. Dunlevy, Charles H. Fairbanks, **J. Joe Finkelstein**, Karl Schmitt Jr., Charles G. Wilder, Carl F. Miller, Ralph Brown, ? Third row: Harold F. Dahms, **Andrew H. Whiteford**, Charles Snow, H. Thomas Cain, ? ?, Robert Ritzenthaler, Robert Wauchope, ? ?, ? West. (Reproduced by permission, Image BL006410; James Bennett Griffin Papers 1922-1997; Bentley Historical Library, University of Michigan).

Joe later continued his education at the University of Chicago where he completed an M.A. in anthropology/history in 1950 and at the University of Wisconsin, Madison where he received a Master of Library Science in 1958. He was a librarian at Rockford College in Rockford, Illinois from 1958 until 1964, when he was appointed University Archivist at Northern Illinois University, DeKalb. He served there until retiring in 1979.

While at the University of Tennessee, Joe met his future wife, Alice McIntyre, who was teaching in the Home Economics Department. She graduated from Pembroke College (Brown University) with a B.A. in History and completed her M.A. in Foods and Nutrition at Columbia University. They married in 1944, and had three daughters, Esme, Susan, and Debbie (who became an archaeologist). Alice preceded Joe in death in 2002. They are survived by three daughters; a grandson; and one son-in-law (another archaeologist). Joe died April 22, 2008, at his home in Laguna Hills, California and is buried outside of Oklahoma City.

#### Selected Publications by J. Joseph (Finkelstein) Bauxar

Bauxar, J. Joseph

- 1953 Evidence of a Subsurface Chamber under the Brown Mound at Spiro. *American Antiquity* 19(2):169-170.
- 1957 Yuchi Ethnoarchaeology. Parts I-V. *Ethnohistory* 4(3-4): 279-301, 369-464.
- 1960 Editorial Notes and Comments. *Indian Mounds and Villages in Illinois*. Illinois Archaeological Survey, Inc. Bulletin No. 2. University of Illinois Press. Urbana, Illinois. 101-106.
- 1978 History of the Illinois Area. *Handbook of North American Indians, Volume 15, Northeast*, edited by Bruce G.

Trigger, pp. 594-601. Smithsonian Institution, Washington D.C.

Finkelstein, J. Joe

1937 A Suggested Projectile Point Classification. *American Antiquity* 2:197-203.

1940 The Norman site excavations near Wagoner, Oklahoma. *The Oklahoma Prehistorian* 3:2-15.

1942a The Excavation of Bean Tavern, Bean Station, Tennessee. In *The Bean Station Tavern Restoration Project*, prepared by Robert M. Howes, pp. 22-28 (plus drawings and photographs). Department of Regional Studies, Tennessee Valley Authority, Knoxville.

1942b The Excavation of Bean Fort – Bean Station, Tennessee. In *The Bean State Tavern Restoration Project*, prepared by Robert M. Howes, pp. 28-29 (plus drawings and photographs). Department of Regional Studies, Tennessee Valley Authority, Knoxville.

In the mid 1980s, Charles H. Faulkner wrote to J. Joseph Bauxar and asked him to share some of his recollections of early archaeology in the Tennessee Valley. We have reproduced Bauxar's response from the Tennessee Anthropological Association *Newsletter* (Bauxar 1986).

### TVA-WPA ARCHAEOLOGY IN EAST TENNESSEE: A REMINISCENCE

#### J. Joseph Bauxar

Your invitation to contribute reminiscences of the WPA days at UT set me to recalling details of that period; and I must admit that I could not dredge up any isolated incidents that make for exciting reading. The situation at the research center, where I spent most of my time and which was known at the time as the Laboratory of Anthropology, was such that almost every day the analyses of the field and bibliographic data produced exciting insights into our search for a description and history of life in prehistoric Tennessee. I have always felt great pleasure in recalling the fact that this situation was due, in large measure, to the close interpersonal relationship that existed in the laboratory and extended to the field supervisors. I am therefore pleased to submit the following recollections, which you may use as you deem fit for the readers of your Tennessee Anthropological Association *Newsletter*.

The Department of Anthropology at UT had its inception in the first archaeological salvage program for the reservoirs of the Tennessee Valley Authority in Tennessee. Such a program had been active in Kentucky for several years when in 1934 the University of Tennessee assumed sponsorship of the archaeological salvage program for Chickamauga Basin, the first of the State of Tennessee projects. Mr. T.M.N. Lewis, senior field supervisor with the Kentucky program, was chosen to be Director of the Tennessee program. With Mr. Lewis came Charles H. Nash as senior field supervisor. A laboratory was set up on the UT campus in the stately old mansion, West Strong Hall.

By 1937 the volume of artifacts and field data had reached the point where Mr. Lewis was faced with the necessity to enlarge his laboratory staff. At that time there were in the University of Chicago Department of Anthropology, among others, three graduate students who were brought closely together by a mutual interest in each other's anthropological orientation: Miss Madeline D. Kneberg, whose graduate work was in physical anthropology, Andrew H. Whiteford, archaeology major with field experience in the Southwest, and myself, whose interest was ethnohistory. In the spring of 1937 Miss Kneberg was invited to join the Tennessee staff as assistant to Mr. Lewis and to work with the skeletal material. In October I joined the staff as ethnohistorian, to be followed the next month by Mr. Whiteford as artifact analyst.

When I arrived at the Laboratory the staff consisted of, in addition to Mr. Lewis and Miss Kneberg, Miss Alice Hendrick, classifier of pottery, Mr. Henry G. Harrison, laboratory technician, and a secretary, whose name, regretfully, evades my memory.

Miss Kneberg was an artist of considerable talent and imagination. Her artistic reconstruction of physical types based on the excavated skeletal material preceded by many years the discipline now known as forensic anthropology. Among the more exciting days in the laboratory were those when she displayed for our viewing – and criticism – each new drawing of Indian village activity, the assemblage of which eventually proved to be one of the most interesting sections of the publication *Hiwassee Island* (Lewis and Kneberg, UT Press, 1946). But the need for illustrations supplementing



photographs of artifacts eventually led to the rounding out of the staff by the addition of Herman K. Strauch as artist.

The reservoir basin survey and excavation program lasted until 1942. During that eight year period the program employed a cadre of field supervisors too numerous to mention individually. Red-letter weekends were those when the supervisors came in from the field for consultation, discussion of problems, evaluation of analyses, and R. & R., much of which took place on a Friday or Saturday at the apartment out on the Pike that Whiteford and I shared.

No single event in the Laboratory stands out in my mind but there were several of equal importance that were celebrated with jubilation. Those were the occasions when the staff could announce as verifiable cultural entities the typological Foci that came to be named Overhill, Mouse Creeks, Callas, Hiwassee Island, Hamilton and Candy Creek.

As ethnohistorian my time was devoted primarily to bibliographic research in the Laboratory and, for some time, at the Knoxville Public Library, where I found a remarkable collection of Tennessee historic research material. The highlight of my labors came on the day when I was able to announce to the staff that, having determined with a high degree of certainty that the identity and protohistoric history of the little known Yuchi tribes of Indians, I would further demonstrate that they could be identified with the people responsible for the Mouse Creeks Focus complex, one of the archaeological complexes found along the Hiwassee River.

In 1941 diminishing WPA allocations for field crews gave Whiteford and me an opportunity to get in some field work. I put in a couple of weeks with Nash doing a site location survey by boat along a stretch of the Tennessee River. I was also given the opportunity, on loan to the TVA, to supervise the salvage project at Bean Taven and Bean Fort at Bean Station, destined to be inundated by Lake Cherokee on the Holston River.

My services in the laboratory were terminated with my induction into the army in June of 1942. I have no knowledge of subsequent developments that eventually led to the establishment of the Department of Anthropology.

Recently, we had the opportunity to document a greenstone spatulate celt section found at the DeGraffenreid site in Williamson County (40WM4). The owner collected it in 1969 during the destruction of this site by phosphate mining (Smith 1994). The material is (macroscopically) very similar to greenstone collected by Division of Archaeology staff from a source in Polk County. This artifact provides an important link with other local presumed Early Mississippian sites yielding similar objects (e.g. Mound Bottom, 40CH8; near Brick Church Pike Mounds, 40DV39).



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1994 Potash from Pyramids: Reconstructing DeGraffenreid (40WM4) – A Mississippian Mound Village Complex in Williamson County, Tennessee. *Tennessee Archaeologist* 19(2):91-113.

## BRICK MAKING AS A LOCAL INDUSTRY IN ANTEBELLUM KENTUCKY AND TENNESSEE

Tanya M. Peres and Jessica Bain Connatser

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*The local manufacture of bricks in the Antebellum Upland South is poorly understood. Few brick kiln sites have been excavated, and the reports of these few are descriptive in nature. While the importance of feature description is recognized, especially for drawing comparisons, the people that participated in brick manufacturing are of equal interest. Previous excavations of six brick kilns in Tennessee and Kentucky are described and compared here. Historical documents and comparative research are used to give an overview of the individuals that would have participated (willingly or not) in the manufacture of bricks at small local kilns. The importance of these individuals to the building of many of American's national historic landmarks cannot be underestimated.*

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As the United States preservation movement has gained in popularity, from the creation of the National Register of Historic Places in 1966 – which currently lists over 80,000 properties on its rolls – to the National Trust's Heritage Tourism Program begun in 1989 – we have seen growing attention given to the research and resurrection of cultural landscapes, long forgotten manufacturing techniques, and traditional food processing and preparation methods, in addition to the time-honored interests in buildings and battlefields. With this increased interest in traditional technologies and crafts the interpretation and preservation of elements of historic life are no longer restricted to the purview of the academe. An entire subculture of history enthusiasts focuses on reenacting everything from war battles to corn meal grinding to iron smelting; a quick Google search will pull up a host of websites for reenactment associations to attest to this popularity. The archaeology community's focus on studies of local manufacturing or "cottage industries" has gained in importance in recent years as well (Amos and O'Malley 1991; Gibb et al. 1990; O'Malley 1999). This focus has provided details of forgotten trades that in some cases have aided in the repair, res-

toration, and reconstruction of historic buildings and towns (Weldon 1990).

One cottage industry that has not been thoroughly studied in the Upland South is that of local brick manufacturing. The database of excavated small, one-time use brick kilns, or clamps, is modest. Brick clamps are not easily located in the archaeological record because in general they were not found near extant buildings or in the vicinity of where buildings once stood. Hence, they generally lay outside of many cultural resource management project areas. Once located, however, they are readily identifiable. Only six have been professionally excavated from Tennessee and Kentucky. Conversely, large industrial brick manufacturing sites in Kentucky and eastern Tennessee have been thoroughly studied and results published (Greene 1992; Guymon 1986; Herbert 1976; Hockensmith 1996, 1998; Hockensmith and Stottman 1997). This paper will present an overview of small-scale, local brick manufacturing methods, describe and compare the excavated brick kilns from Tennessee and Kentucky, and present an overview about the people that were charged with creating these unique features on the landscape.

## Overview of Historic Bricks and Brick Manufacture

In recent years, North American historical archaeologists have taken an increasing interest in historic brick kilns as well as bricks themselves. For many years bricks were often viewed as part of the matrix of the site (much like shells in shell middens), and while noted on forms and in field notes, little analysis was performed. Studies by Gurcke (1987), Hockensmith (1996, 1998), and Hockensmith and Stottman (1997), among others (Black 1987; Deiss 1981; Greene 1992; Kelly and Kelly 1977; McKelway et al. 1996; Peres 2002; Wingfield et al. 1997) have focused on brick artifacts and how and where they were manufactured. This section provides an overview of research that has been conducted on bricks and brick manufacture, in areas that are relevant to the scope of this paper. Several informative articles (Hockensmith 1996; McKee 1973; McKelway et al. 1996; Weldon 1990) and books (Gurcke 1987) have been published describing the brick-making process. The following is a description of the brick-making process as it applies to the types of bricks recovered from small one-time use kilns, like those excavated in portions of the Upland South.

### **Material Selection: "Neither Too Sandy nor Too Fat"**

While it is accepted that clay is the basic raw material used in brick manufacture, the substance itself is difficult to accurately define. A common definition of clay is, "any material of mineral origin which by the absorption of a suitable quantity of water attains a definite degree of plasticity, which it loses temporarily on drying and permanently on burning"

(Searle 1929:213). This does not include all of the different types of materials that the term "clay" encompasses. Searle (1929:213), defines clay as, "a mineral consisting essentially of an ALUMINO-SILICIC ACID in such a physical state that when mixed with a suitable proportion of water it produces a plastic paste." If this definition holds true, then clays used in brick-making are impure as they contain additional minerals such as quartz (gravel), mica (sand), and feldspar (silt), among others.

Once appropriate clay is located to make brick, there are six basic steps (as outlined by Gurcke 1987:4) in brick making: (1) mining, or "winning"; (2) preparation; (3) molding, or "forming"; (4) drying; (5) firing, or "burning"; and (6) grading, or sorting of finished products for sale.

**Mining or Winning.** A number of factors were considered in deciding locality and technique of digging clay for bricks. Some of these factors included thickness of overburden, depth of clay bed, location of deposit in relation to manufacture, market, and/or construction area (Gurcke 1987:5). Following Gurcke (1987:5) there are four ways to mine clay: (1) surface or open-pit mining; (2) underground mining; (3) hydraulic mining; and (4) dredging. Surface or open-pit mining is the most likely type of clay mining employed at the Zimmerle, Peck Place and Painted Farm brick kilns (see below), thus this method will be discussed further.

Surface mining was used when the clay deposit was located near the surface. Overburden was removed to expose the clay, a process called encallowing, the removal of the callow or topsoil. It was important to remove all the topsoil, and not mix it with the clay, as this would result in defective bricks. Mining or winning was typically performed in late fall and early winter, exposing the clay deposits to



snow and frost (Gurcke 1987:5; Weldon 1990). The exposure to numerous freeze/thaw cycles resulted in large chunks of clay breaking apart, making it easier to mix the clay in the spring. Most clay deposits were hand-dug in shallow pits, which varied in horizontal size. Mrs. Fanny Hulette Richardson Lyon, a local informant interviewed by Charles Hockensmith (1996:24) during his study of the Howell brick yard in Frankfort, Kentucky, remembered, "a large clay pit several hundred feet across and about 25 feet deep." This was a large clay pit associated with a commercial brickyard. At site 15SH50, near Shelbyville, a smaller (approximately 10 m x 7.5 m) depression, believed to be the borrow pit, was found 35 m north of the brick kiln (McKelway et al. 1996). This borrow pit was associated with the temporary brick kiln at site 15SH50, thus it is reasonable that it would be much smaller than one located at a commercial manufacturing site. If suitable clay was not located on the property where the kiln was to be constructed, a brickmaker might petition other landowners or the court (Weldon 1990: 10) for access to more desirable "brick earth."

**Preparation.** Preparation of the clay is the second step in brick manufacture. The preparation stage could last years, depending on the state of the clay. Weathering is generally the first part of preparation. It might be left out to dry in the open, then crushed and water added. Rain acted to wash away soluble salts in the clay, which helped to keep the brick from forming a white coating on its surfaces as it aged (Gurcke 1987:7). Typically weathering of the clay lasted one winter season.

After weathering was complete, usually in late spring, the clay was tempered. First water and other materials were added to the clay to enhance plasticity, color, and burning. Then these additions

had to be evenly distributed throughout the clay deposit to be used in brick making. This may have been done in a ring pit, a hole that had been dug for the express purpose of mixing clay, water, and temper. The ring pit may have been lined with boards or bricks, and contained an iron wheel to mix the clay (Gurcke 1987). Pug mills, cylindrical or cone-shaped conduits with blades on the inside, were also used to mix these ingredients together. Pug mills were powered by horses or mules before, and in the absence of, engines (Bell 1935). Research conducted at Colonial Williamsburg suggests that early colonial brickmakers mixed the clay with bare feet, and people of any age and skill level could participate in this process (Weldon 1990:13).

Tempering agents were added to enhance the clay's plasticity, to prevent shrinkage or cracking during drying, to change the color of the fired brick, or to lower the temperature of vitrification (Gurcke 1987:11-12). Water was added to make the clay more plastic and pliable. Sand (not the same as that used to lubricate the molds) used as a tempering agent helped prevent shrinking and cracking during drying of the brick. Grog, "clay that has... been burned to a high enough temperature to destroy its plasticity...then ground to a coarse powder," (Gurcke 1987:13) was sometimes added to reduce shrinkage. This was an inexpensive tempering agent as bricks that had been overfired, and thus unusable, could be ground up and added to the next batch of clay.

**Molding or Forming.** The third step in brick manufacture is molding. This is when clay that has been properly prepared (weathered and tempered), and is then formed into what is very near its final shape (Gurcke 1987:13). This step required skill, teamwork, and efficiency. Weldon (1990:13) notes that colonial

brickmakers were expected to mold 2,000 bricks in a 14-15 hour day. At the very least the brickmaker required a team of two assistants, one to bring the tempered and mixed clay to the molding station, and one to stack the green bricks for drying. There are three methods of molding bricks: soft-mud, stiff-mud, and dry-pressed (Gurcke 1987:13). These methods are named for the water content of the clay mix and the manufacturing process. The clay mixture used in the soft-mud method contains 20-30% water; for stiff-mud 12-15% water; and dry-pressed 10% or less water (Gurcke 1987:13).

The soft-mud method encompasses bricks made by hand and those made by soft-mud brick machines, and is the oldest process by which bricks are made. Most bricks, until the mid- to late nineteenth century, were made by hand in rectangular, wooden or iron clad molds. Wet clay would have been placed in these molds, which were dipped in water before being filled with the clay, and/or lubricated with sand. Bricks made from molds that were water-dipped are called water-struck bricks, and have a smooth dense surface (Allen 1999:251). This method of molding is called "slop-molding" (Gurcke 1987:15). Sand-struck or sand-mold bricks are those that were formed in molds that had been lubricated with sand after being dipped in water. These bricks have a matte-textured surface (Allen 1999:251). Other lubricants, generally used less often, may have included oil, lard, or soapy water (Gurcke 1987:15). The bricks manufactured in the brick kiln at Peck Place would have been made by the soft-mud process.

The stiff-mud method is widely used today to produce large amounts of brick. The clay (made up of 12-15 percent water) is passed through a vacuum to remove any air pockets, then extruded

through a rectangular die (Allen 1999:251-252). The clay being extruded is in the form of a rectangular column, and is pushed across a cutting table where the machine slices it into bricks with wires (Allen 1999:252).

The dry-press method is used for clays that have little water content (less than 10%), and are prone to excessive shrinkage. A high-pressure machine pushes the clay into steel molds that have interchangeable sides, allowing for the manufacture of various sizes and shapes of bricks (Gurcke 1987:22).

**Drying.** Drying is the fourth step in brick manufacture, and is required for bricks made by the soft- and stiff-mud processes. To dry a brick is to remove as much of the water content from the green brick as is necessary before they are fired. Remove too much water and the brick becomes friable and falls apart; remove too little water and the brick will be destroyed during firing (Gurcke 1987:24). Soft-mud bricks need to have approximately one pound of water per brick removed before firing. The amount of water to be removed from stiff-mud bricks is smaller as there is less water in the clay to begin with.

Once the green bricks are removed from the wooden molds they are either placed directly on the ground (if they are sand-struck), or on pallets (if water-struck). They remain in these rows for approximately twenty-four hours, and may be "spattered," or have the rough edges smoothed (Gurcke 1987:24). Once they are dry enough to handle they are "skintled," or turned onto their edge. This saves space by placing them closer together as well as facilitates more uniform drying (Gurcke 1987:24). After the green bricks were dry they were "hacked," meaning they were placed two finger's width apart in low walls of two bricks wide

and eight bricks high (Gurcke 1987:24; Weldon 1990).

Drying could be a precarious process because it depended entirely on the weather. On average, 15% of green bricks were annually damaged and rendered unusable by the weather (Gurcke 1987:26). Weldon (1990:13) notes that the reconstructed brickyard at Colonial Williamsburg lost approximately 10% of the 14,000 molded bricks due to direct sunlight and thunderstorms the first season. Some brickyards built drying sheds, while others left bricks in open fields to dry and only covered them with timber or thatch if there was inclement weather (Gurcke 1987).

**Firing.** Firing is the fifth step in brick manufacture. This may have been the most important step because the end result of firing is the final product. Moving the dried green bricks from the drying area to the kiln begins the firing process. This could be a laborious process as the hacks had to be broken down, the bricks moved to the kiln, and the hacks rebuilt in the kiln (Gurcke 1987:28). Bricks had to be stacked in the kiln in such a way as to allow the hot air and gases from the fire to pass through and around the bricks in an even manner.

Once the green bricks are stacked in the kiln, the temperature in the kiln is slowly raised to approximately 250° to 350° F, to remove the remaining water from the brick without over-shrinking them (Gurcke 1987:29). This first stage of firing is called “water-smoking,” because of the white steam that comes out of the kiln (Gurcke 1987:29; Weldon 1990).

The temperature of the fire is gradually raised to a red heat once steam has ceased to come from the kiln. This second stage of firing is called “dehydration” or “blue smoking.” Temperatures in the kiln are increased to 1,400° to 1,800° F

(Gurcke 1987:28), causing oxidation. A strong draft must be kept up, since this stage requires large quantities of oxygen to burn off all of the combustible materials present (Gurcke 1987:28). Once oxidation is complete, the drafts are cut down and the kiln is sealed (Gurcke 1987:28). This leads to vitrification, the final step in firing, although in reality dehydration and vitrification are hard to separate. For vitrification to be effective the temperature must be around 1,600° to 2,200° F (Gurcke 1987:28). In this stage the brickmaker wants the most amount of shrinkage or “settle” with the least amount of deformation (Gurcke 1987:28). The end result of vitrification is that the clay is transformed into a ceramic material (Allen 1999:253).

Experienced brickmakers know at what point the kiln has settled enough and the fires can be shut off. This begins the cooling process, which requires between 48 and 72 hours for completion (Gurcke 1987:28). The firing stage from beginning to end must be monitored continuously to ensure the final product is of a quality suitable for construction or sale. This entire firing process can take anywhere from 40 to 150 hours (Allen 1997:253) and largely depends on the type of kiln.

**Types of kilns.** There are two types of kilns used for brick manufacture, the periodic kiln and the continuous kiln (Allen 1999:252; Gurcke 1987:32). Periodic kilns are semi-permanent or permanent buildings that are loaded with green bricks, fired, cooled, and unloaded. They are periodic because the fire is put out between firings, allowing the bricks to cool (Plumridge and Meulenkamp 1993:167). Continuous kilns are generally made up of a series of chambers, linked together by flues, dampers, and a central chimney (Plumridge and Meulenkamp 1993:167). A continuous sequence of loading, firing, cooling, and unloading each chamber,

with the fire controlled by the dampers, allowed the fire to be taken to the next chamber.

Brick clamps, also called scove kilns, are a type of periodic kiln, and are believed to be the oldest method employed to fire bricks (Plumridge and Meulenkamp 1993). Clamps are temporary structures, made of the bricks themselves (Figure 1). The bricks are stacked in a series of walls or “necks” which are approximately 60 bricks long, 3 bricks thick, and 24 to 30 bricks high (Gurcke 1987:29). In the center of the necks is an upright. This upright is the same length and height as the

necks, but instead is 6 bricks thick at the bottom and narrows to 3 bricks thick at the top (Gurcke 1987:32). This allows the clamp to slope inward on both sides of the upright. Usually the sides and top are built of burnt bricks. Although this is the general pattern followed, clamps were built according to the brickmaker’s specifications, thus rarely were two clamps the same.

In between the necks there are “live holes” that run the length of the clamp and are 7 in. wide x 9 in. high (Gurcke 1987:32). Often the green bricks have half-burned ashes mixed in with the clay

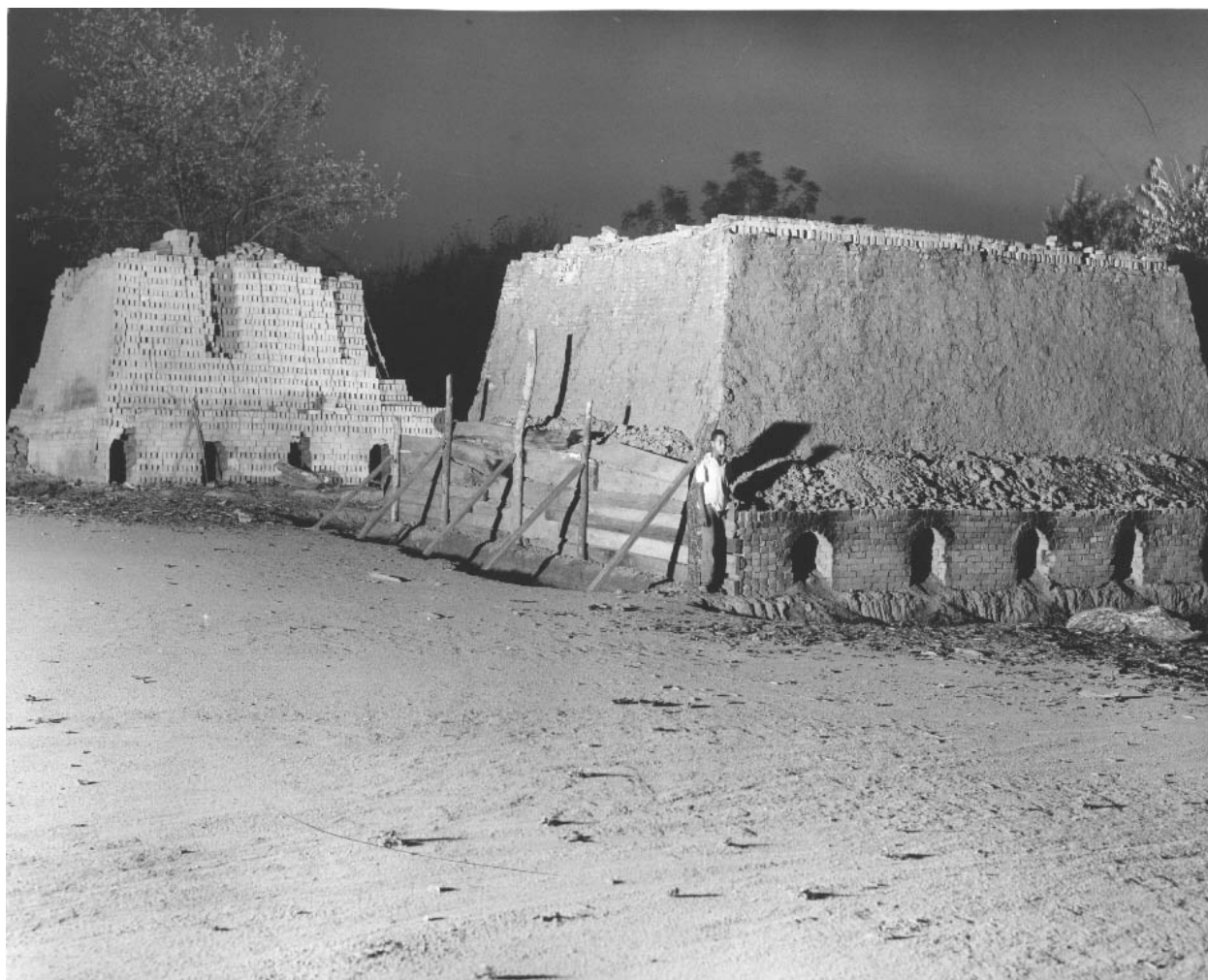


FIGURE 1. Two brick kilns, Jefferson County, Tennessee. Kiln in foreground is ready to be fired and the kiln in the background has been fired and opened. (*Tennessee State Library and Archives, Department of Conservation Photograph Collection, Image ID: 20421*)

to assist with the firing (Plumridge and Meulenkamp 1993:167). The tunnels or “live holes” would have fuel (wood or coal) stacked inside, and breeze (kindling) would be spread on top of the green bricks to aid in completely firing the bricks (Gurcke 1987:32; Plumridge and Meulenkamp 1993:167).

The downfall to using the clamp method to fire bricks was the lack of control. This lack of control resulted in high variation in the bricks produced; over-fired, under-fired, and variations in color and texture were common. Brick clamps were convenient because they could be used at the site where the building was to be located, thus shortening the transport distance, and little more than a shovel, a wooden mold and manual labor were needed to make them successful.

**Grading or Sorting.** After the manufacturing process was completed, bricks were sorted into categories based on the degree of burning each had been exposed to. Bricks that were the best for use in building construction were “hard, well-burnt, square, and regular in shape” (Gurcke 1987:35). Bricks that were the closest to the fire, called clinker bricks, were usually overburned and warped, making them unsuitable for building.



FIGURE 2. Exposed portion of Zimmerle Brick Kiln, facing south (Courtesy, Samuel D. Smith).

Those bricks farthest from the fire would be relatively soft, and orange. While these were not the first choice for building bricks, they may have been used as spares. Bricks from the perimeter of the kiln would not have been fired at all, and may have been discarded. The perfect bricks would have been near the fire, thus fully (well) burned and undistorted.

### Archaeology of Brick Clamps in Tennessee and Kentucky

The database of excavated rural brick clamps from the Upland South is small, however, as Smith (1990:111) notes, the footprints of thousands of eighteenth to early twentieth century brick kilns likely lay undocumented in the Tennessee (and by extension Kentucky) archaeological record. The six kilns discussed here are summarized and compared to gain insight into what would have been a common localized industry in the Upland South, powered by the skilled brickmaker with assistance from both skilled and unskilled laborers. The present discussion includes four kilns from Tennessee and two from Kentucky.

#### Tennessee Brick Kilns

**Zimmerle Brick Kiln (40ML187).** The Zimmerle Brick Kiln, located in Marshall County, Tennessee was documented in November 1985, after the landowner uncovered a portion of the structure during the investigation of brick rubble in his pasture (Smith and Watrin 1986) (Figures 2-4). Historical records suggest that this kiln was used sometime between 1850 and 1864 to build a “mansion house” for Thomas J. May and his family (Smith and Watrin 1986:137). A local bricklayer, C. L. Conally, appears in the 1860 U.S. Census, and May is recorded as owning





FIGURE 3. Zimmerle Brick Kiln, section of firing tunnel (left) and bench (right) in western portion of kiln (Courtesy, Samuel D. Smith).

seven slaves that same year (Smith and Watrin 1986:137).

**Hackett Farm Brick Kiln.** The brick kiln located on the Hackett Farm property in Smith County, Tennessee was recorded in 1989 (Smith 1990). An examination of the site where a button mold was found led to the discovery of a partially standing brick kiln that is a uniquely preserved example of brick making technology as it existed during the nineteenth century. The unique feature of the Hackett Farm Brick Kiln is that it is partially standing, thus leaving a record of brick construction and architecture that can only be hypothesized from subsurface archaeological evidence at other sites. According to local informants, Dr. Barnett D. Austin, a physician and landowner of record in 1900, wanted to build a hospital on the property, and thus the kiln at Hackett Farm was likely used to fire bricks or this purpose (Smith 1990:3-4). Dr. Austin was not able to complete his plans for building a hospital, and much of the brick was hauled away for other purposes (Smith 1990).

### **Hermitage Brick Kilns No. 1 and No. 2.**

The results of limited testing of two identified brick kilns at the Hermitage in 1976 are summarized here (Smith and Cox 1977). These two kilns are located approximately 20 m apart and some distance southwest of the Hermitage Mansion (Smith and Cox 1977: Figure 1). Kiln No. 1 was subjected to the most testing as it was the better preserved kiln of the two. Excavations identified a prepared dirt surface overlain by a prepared brick surface, indicating the kiln was likely intended for multiple uses (Smith and Cox 1977:82). The dimensions of Kiln No. 1 were recorded as 43 x 33 ft (13 x 10 m) with eight interior walls and 9 fire channels (Smith and Cox 1977: 82). Based on several lines of evidence, it is possible that Kiln No. 1 produced bricks used in the buildings of the Soldier's Home (Smith and Cox 1977:91).

Kiln No. 2 at the Hermitage was subjected to less archaeological testing as it had been heavily damaged by years of cultivation on the property (Smith and Cox 1977). Based on several measures, the overall dimensions of Kiln No. 2 are assumed to be the same as Kiln No. 1. Interestingly, a brick remnant found in this location suggests that this kiln may have been used to make "the distinctive twelve-inch border bricks used in the Hermitage garden" (Smith and Cox 1977:87). Other features related to brick-making on the Hermitage property were also identified in 1976. These include the remnants of another possible kiln site located 50 ft north of Kiln No. 1; three 50 – 100 cm deep depressions interpreted as clay borrow pits

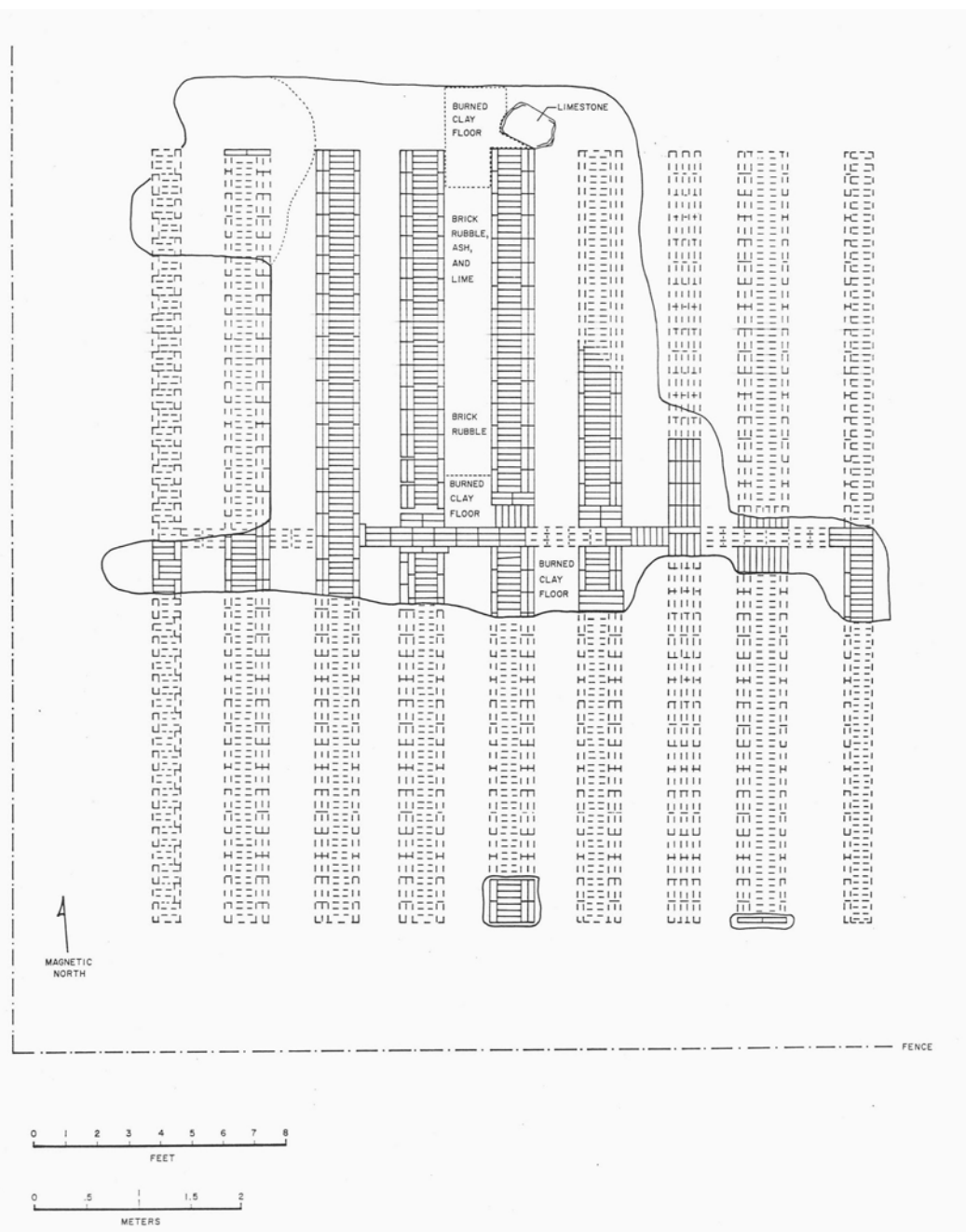


FIGURE 4. Plan of Zimmerle Brick Kiln site showing exposed and conjectural portions (*Courtesy, Samuel D. Smith*).

located to the northeast of the kiln sites; and a 1 m deep depression interpreted as a possible pug mill location (Smith and Cox 1977: Figure 1). Based on historical documentation, Smith (1977:28) concludes, “considering the fact that no charges for brick were included in the accounts it seems likely that the bricks were

made at the Hermitage with the Hermitage hands [slaves] doing the work.”

### ***Kentucky Brick Kilns***

**Painted Stone Farm Brick Kiln (15SH50)**. The brick kiln located on the Painted Stone Farm in Shelby County,

Kentucky, was excavated in the mid-1990s as part of a cultural resource management project (McKelway et al. 1996; Wingfield et al. 1997). This site is interesting because there are several features, in addition to the kiln itself, that add to our knowledge of rural brick-making. These features include: a borrow pit where clay was mined for the brick-making process was identified 35 m to the north of the kiln feature; a spring pond also located to the north would have provided the water necessary to process the clay during the manufacturing process; and 20 m to the south of the kiln, an area of high clay content was identified, thought to be residue from drying and/or processing the clay before it was molded into bricks (McKelway et al. 1996; Wingfield et al. 1997). While the exact use of the bricks produced at the Painted Stone Farm in Kentucky is not given, we can assume they were used for a structure that is no longer extant. This property was part of the original Squire Boone's Station, a pioneer settlement site dating to the 1780s, thus it has a long history of construction and occupation (McKelway et al. 1996; O'Malley 1996).

#### **Peck Place Brick Kiln (15BH213).**

The Peck Place Brick Kiln, located in Bath County, Kentucky, has been interpreted as a one-time local-use kiln; the bricks made in it were most likely used in the original construction of the extant house, "Peck Place," located on the property (Peres 2002). Based on multiple lines of evidence, the site likely dates to the early-to mid-19th century, when the property was owned by Andrew Boyd (Peres 2002). A survey of the cellar of the extant house on the property in 2002 identified exposed bricks in the wall and a brick arch. Measurements made on the bricks recovered from the kiln site and those in the cellar walls are nearly identical. Additionally, the bricks in the cellar walls had

all of the attributes of being handmade (McKelway et al. 1996; Peres 2002). The dimensions and possible individuals responsible for the construction and deconstruction of this kiln are discussed in detail below.

#### ***Brick Kiln Structures: A Comparison***

A structural comparison of the kilns discussed in the text is provided in Table 1. The four largest kilns included here are the partially standing kiln located on the Hackett Farm property in Smith County, Tennessee (Smith 1990); the Hermitage Kilns No. 1 and No. 2, located at the Hermitage, Tennessee (Smith and Cox 1977); and the Painted Stone Farm Brick Kiln in Shelby County, Kentucky (McKelway 1996; Wingfield et al. 1997). The sizes of these four kilns are comparable; the Hackett Farm kiln ranges in size from approximately 1,000-1,500 ft<sup>2</sup>, the Hermitage Kilns No. 1 and No. 2 are each approximately 1,419 ft<sup>2</sup>, and the Painted Stone Farm kiln measures approximately 1,366 ft<sup>2</sup>. The large sizes of the Hackett Farm and Hermitage kilns are not surprising given the intended uses of the bricks fired in them. According to local informants, Dr. Barnett D. Austin, a physician and landowner of record in 1900, wanted to build a hospital on the property, and thus the kiln at Hackett Farm was likely used to fire bricks for this purpose (Smith 1990:3-4). It is believed that Brick Kilns No. 1 and No. 2 at the Hermitage would have provided the building materials for one or more outbuildings on the property, and possibly the borders for the garden (Smith and Cox 1977). In the absence of a discussion of probable uses of the bricks made in the Painted Stone Farm Brick Kiln, we assume they were used for a structure that is no longer extant, but the exact size and nature of that structure is

uncertain at this time. The relatively smaller sizes of the Peck Place Brick Kiln and Zimmerle Brick Kiln are not surprising considering their interpretations as one-time home-use kilns (Peres 2002; Smith and Watrin 1986).

**Flues.** Kiln flues (or firing tunnels) were used to channel heat throughout the green brick structure, and have been identified at all four of these kiln sites. Generally, flues were formed by walls of green brick, arched over to form the rectangular shaped kiln structure itself (McKelway et al. 1996:61). The Zimmerle kiln had bricks left in place demarcating the bottom layers of the flue sides (Smith and Watrin 1986). There were no bricks left at the Painted Stone Farm Brick Kiln or Peck Place Brick Kiln, but there were soil color and composition differences (McKelway et al. 1996; Peres 2002). The nine flue tunnels at the Hermitage Kiln No. 1 are evidenced by ashy white glaze of the floor brick, dissected by an absence of glazing where the kiln walls stood (Smith and Cox 1977:82).

There is variation in the spacing between flues at these kiln sites. At the Painted Stone Farm Brick Kiln, the flues averaged about 2 ft. (0.61 m) apart. At the

Peck Place Brick Kiln the flues would have been approximately 3.3 ft. (1 m) apart, as estimated from the four features interpreted as brick piers on the north side of the kiln; these would have served as the end of “necks” or rows of green bricks stacked in the kiln; one additional possible brick pier was located to the south, on a northwest-southeast line, of the northern ones (Gurcke 1987; Peres 2002).

**Baked Clay Floor.** A floor comprised of fire-baked clay was identified at the Zimmerle Brick Kiln site (Smith and Watrin 1986). Fire-baked clay areas were recorded between the flues at Painted Stone Farm and Peck Place (McKelway et al. 1996; Peres 2002; Wingfield et al. 1997). At Peck Place, much of the kiln feature is evidenced by black burned soil, not compacted, likely the result of repeated plowing in the area – a number of plow scars ran through the feature on a northwest/southeast axis (Peres 2002). The features interpreted as brick piers were not impacted by plowing, thus preserving them in place (Peres 2002). The Hermitage Brick Kiln No. 1 is the most unusual in this category as it contained a prepared dirt floor covered with brick (Smith and Cox 1977).

TABLE 1. Structural Elements of Brick Kilns Discussed in the Text.

Site	Location	Baked Clay Floor	Flue Seals	Dimensions	References
Zimmerle	Marshall County, TN	yes	possibly limestone	24.5 x 23 ft	Smith and Watrin 1986
Hermitage Kiln No. 1	Hermitage, TN	prepared brick floor		43 x 33 ft	Smith and Cox 1977
Hermitage Kiln No. 2	Hermitage, TN			43 x 33 ft	Smith and Cox 1977
Peck Place	Bath County, KY	between flues	possibly limestone	24 x 27 ft	Peres 2002
Hackett Farm	Smith County, TN			50 x 20-30 ft	Smith 1990
Painted Stone Farm	Shelby County, KY	between flues	yes, limestone	57.4 x 23.8 ft	McKelway et al. 1997

**Head of Flues.** The heads of flues were rectangular and formed a straight line across the facilities at the Zimmerle Brick Kiln (Smith and Watrin 1986). At the Painted Stone Farm the heads of flues were semicircular in plan view – possibly to facilitate refueling of the flue fires (McKelway et al. 1996:61). The flue heads at the Peck Place kiln are similar to those identified at the Painted Stone Farm kiln (Peres 2002).

**Flue Seals.** Limestone was often placed at the heads of flues to seal the fire and ensuing heat inside. Smith and Watrin (1986) recorded a concentration of limestone at the edge of the Zimmerle kiln near the heads of flues, which was interpreted as limestone that had been heat-treated for use as mortar. It is believed that limestone was used to seal the flues at the Painted Stone Farm kiln, which would also serve to heat-treat it, making it useful as mortar (McKelway et al. 1996). At the Peck Place kiln limestone fragments were recorded near the remnants of the flue openings on the northwest side of the kiln, presumably for sealing the tunnels, and may have been used as mortar in the construction of the mansion house (Peres 2002).

**Estimated Size.** The Zimmerle Brick Kiln measured 24.5 x 23 ft (7.5 x 7 m), consisting of seven internal benches and two narrow benches on the outer edge (Smith and Watrin 1986). The Painted Stone Farm measured 57.4 x 23.8 ft (17.5 x 7.3 m), consisting of 16 flue channels (McKelway et al. 1996). The kiln at Peck Place measured 26.2 x 29.5 ft (8 x 9 m), consisting of a minimum of four to five flues between five to six necks. Additional flue features, if any, were destroyed by repeated plowing of the area (Peres 2002). The Hackett Farm kiln, the only partially standing brick kiln ever recorded from Tennessee or Kentucky, measured

50 x 20-30 ft (15.24 x 6-9 m) in spatial dimensions, would have stood at least 9 to 10 ft (2.7 – 3.05 m) in height, and contained at least 15 firing tunnels (Smith 1990:110).

### Laboring in the Brick Kilns

One aspect of brick kiln archaeology that is not often discussed is the information that can be gained about the individuals that were charged with all stages of brick making. Heite (1970:46) notes “a brickmaker probably would be an employee or slave of a master builder...” How are we to know the relative identity of these individuals that left their industrial footprint in the archaeological record? Historical documents rarely give complete pictures, and never hold all of the answers; however they are a good starting point, especially if researched in a thorough manner and combined with historical archaeology practices.

Guymon (1986) includes a discussion of the origins and ethnicity of local brickmakers in her overview of brick making in Knoxville and Knox County, Tennessee, from the Early Settlement period through the 19th century. This information was gathered from primary historical documents – census records, diaries, and eyewitness accounts – documents which are unfortunately not readily available for all brick kiln sites.

Writing about the earliest European colonists in the eastern United States, Bishop (1966:216) views the increased use of bricks to construct houses and non-domestic buildings as evidence of an “improved social condition.” In reality, bricks were a more expensive construction medium than timber, reeds, and thatch; however, they were much less susceptible to damage by fire and wind. Writing in 1868, Bishop (1966:230) notes



“after the year 1740, when a great fire laid in ashes a large number of the wooden buildings of [Charleston, South Carolina], brick and stone were more used than before.” During the mid-19th century in Knoxville, Tennessee, population growth resulted in an ever-increasing demand for brick buildings. Guymon (1986:59) cites an article published in 1853 in a local newspaper that describes the inability of the six or eight brickyards in operation at the time to keep up with the demand for brick.

As the demand for brick grew in the United States, so must have the demand for skilled brickmakers. The 1795 Wage Roles kept during the building of the United States White House list five slaves, and numerous more are noted as laborers (Kapsch 1995; Seale 1986). During the construction of the White House, commercially produced brick was not locally available. While two free white men are listed as the brick makers of record, they likely employed slaves in the brick making process, as “burning brick in temporary ricks was primarily a black trade” (Kapsch 1995:8). Seale (1986) also notes that slaves were employed to make bricks in temporary kilns on the grounds of the President’s House.

Little mention is made in regional literature about the identity of skilled brickmakers, and how they learned their trade. Guymon’s (1986:49) research in the Knoxville area has found that while skilled slaves and apprentices were likely in competition with one another for work, there were not enough of them to go around. The use of slaves in making brick and the subsequent construction of buildings from locally made brick is poorly documented and frequently assumed. Often times all we are afforded are small snippets of information regarding their presence during the brick making proc-

ess, if any mention of them as made at all. Documents relating to Thomas Jefferson’s Virginia plantation, Monticello, indicate that his slaves made bricks for buildings located there (Colonial Williamsburg Foundation 2007). They likely worked under the direction of John Brewer, a skilled brickmaker hired by Jefferson (Crews 2006). At Carter’s Grove Plantation, also in Virginia, plantation slaves assisted a professional brickmaker hired by owner David Minitree (Colonial Williamsburg Foundation 2007). At Mount Vernon, male slaves served as brickmakers (Carr and Walsh 1988), and plantation owners in Texas and Louisiana paid slaves to make bricks (McDonald 1995:191; Northcott 2001). In Tennessee, enslaved individuals dug the clay used to make the bricks for the construction of the Blount Mansion, home of Territorial Governor William Blount, between 1792 and 1796 (Guymon 1986:54-55). In almost all of these instances documentation is available for these sites because the landowners were deemed important wealthy and/or political figures, thus there has been much interest in their lives.

It has been documented that the labor intensive process of making bricks could not always be fulfilled by slaves. In areas where slaves either did not exist or existed in relatively small numbers, groups of men were hired for the cause (Guymon 1986). These men may have been supplied by the skilled brickmaker, and often were related to him and/or each other (Guymon 1986:56).

Mentioned in even more ambiguous terms is the labor procured from “unskilled” workers, whether they were freed or enslaved, men, women, or children. The unskilled jobs included mining, stomping, molding, stacking, and transporting. In 18th century Virginia, it has been documented that some folks of the

lower classes (freed and enslaved) were employed by the brickmaking industry (Crews 2006; Colonial Williamsburg Foundation 2007). Interestingly, Tunis (1965:86) offers an illustration of brick-making with “unskilled” work being performed by black men, presumably slaves.

Crews (2006) notes that brickmakers often lived on site, as brickmaking was done either close to the source of raw material and/or close to the construction area. Since the supply of skilled brickmakers could not keep up with the demand for brick in the 19th century, they had to move around to where their skills were needed. Often they had their apprentices and/or slaves in tow (Guymon 1986:23). John Brewer, brickmaker at Monticello, lived on the plantation with his wife, and was given room and board in addition to pay (Crews 2006). Unfortunately, brickmakers are not often mentioned in census records or other historic documents. More often, brick masons are listed in census records and other documents. While brickmakers and brick masons are two distinct specialties, evidence for brick-related activities (i.e., construction) at a site may be inferred by the mention of either or both in historic documents. For instance, Guymon (1986:52-53) notes that an older Virginia “brick mason” brought two younger men with him to Tennessee, likely to serve as apprentices; and four younger Tennessee-born brick masons recorded in census data lived with one older Virginia-born brick mason, again, presumably as his apprentices. Unfortunately, Guymon does not indicate if any of these apprentices were free white or enslaved black men.

Historical records for the Hermitage show that the overseer, Graves W. Steele, managed the manufacture of brick on the property (Smith 1977:27). Samuel Scott, carpenter by trade, was paid for

making brick moulds by Jackson (Smith 1977:27). Other various brick-related charges/tasks were also paid for by Jackson as recorded in his Farm Journal (Smith 1977:26). As mentioned above, in all probability, the slaves owned by Jackson (and possibly the Donelsons) were charged with making the thousands of bricks used at the Hermitage and Tulip Grove (Smith and Cox 1977).

Regarding the rural kilns that are the focus of this paper, we do know that the Boyd Family, owners of Peck Place, owned slaves, with at least ten recorded in 1850 (United States Census 1850). Seven of these ten were male, the majority of which were in their prime laboring years (ages 14-26) (United States Census 1850). A search of census records for relevant years did not turn up any mention of a brickmaker living in Bath County. Two explanations are possible: (1) a hired brickmaker(s) lived in Bath County during the intervening years of the census, thus was missed; or (2) enslaved individuals were responsible for making bricks, and thus were not listed as brickmakers in the census records. Both hypotheses have equal probability given the paucity of data.

There is mention of slaves participating in other skilled masonry trades on a neighboring farm in Bath County, while not a direct correlate to brick making, it shows that slaves were engaged in trades other than agriculture. During the Bath County Sesquicentennial “House Tour” an article detailing the histories of three prominent farms was published in the Bath County Outlook (1961). Peck Place and Marble Hill Farm are two of these discussed in this article. It is mentioned that the original stone fences still standing at Marble Hill “were built by slaves whose cabins were torn down only a few years ago” (Bath County Outlook 1961). If the slaves were involved in building the

fences at Marble Hill, then we can assume they either worked under the direction of a skilled free mason, or at least one or several of them were skilled in dry masonry. If slaves in Bath County did have the skills necessary to build dry mason stone fences, they certainly could have been skilled brick makers. However, without clear documentation, this claim cannot be substantiated.

Until we have unequivocal evidence of the identities of those that labored in the construction field we will never truly know if they were freed or enslaved, black or white, men or women, adults or children. Sites such as Colonial Williamsburg and the Hermitage give us the most comprehensive glimpse into the historic local manufacturing industry.

### Conclusions

Scholars' interest in historic period local manufacturing techniques or industries in the Upland South has increased over the past decade. Local brick manufacturing is one such industry that deserves more attention. The archaeological record of these activities is scant, with few kilns having ever been excavated in Tennessee and Kentucky. As Smith (1990) has noted, there are likely thousands of unidentified early-nineteenth to twentieth century brick kilns across the Upland South (especially Tennessee and Kentucky) landscape. The six brick kilns compared here are a good start to this database.

Further documentation of brick kiln sites are needed to increase the sample size and allow archaeologists to formulate regional comparisons of this manufacturing activity (Upland South vs. Virginia vs. the Deep South). More archaeologists should follow Smith's (1990) lead and conduct thorough research on historical

documents that can give us important information about the people involved in this and other local industries. Information on well-known sites such as Monticello, Colonial Williamsburg, the Hermitage, and the United States White House, have shown us that wage laborers and enslaved individuals had important roles in the building of these great national landmarks. It is the job of historical archaeology to help give a voice to those that are not easily seen in the history texts. By obtaining as much information as we can out of the archaeological and documentary records of temporary brick kilns we can give voice to those individuals, both free and enslaved, male and female, that helped build Tennessee and Kentucky.

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Tanya M. Peres  
Department of Sociology and Anthropology  
Middle Tennessee State University  
Murfreesboro, Tennessee 37132

Jessica Bain Connatser  
Department of Sociology and Anthropology  
Middle Tennessee State University  
Murfreesboro, Tennessee 37132

# OBSIDIAN RESEARCH IN TENNESSEE AND ALABAMA

Mark R. Norton

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*Seven obsidian artifacts found in Tennessee and Alabama were sent to the Northwest Research Obsidian Studies Laboratory in Corvallis, Oregon for x-ray fluorescence sourcing and hydration measurement tests. The results indicate obsidian was traded into our region from sources in California, Nevada, Oregon, and Arizona possibly as early as the Late Archaic period (ca. 2000 BC).*

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This research began at one of the monthly meetings of the Jackson Archaeological Society (JAS) in Jackson, Tennessee where the question was raised if any obsidian had been found in Tennessee. The only specimen known at that time was a core found at the Brick Church Pike Mound site (40DV39) in Nashville, Tennessee by a local resident who lived in an adjacent neighborhood (John Dowd, personal communication, 2003). This artifact, given to a local college professor for analysis, was unfortunately misplaced or stolen before any laboratory results were produced. JAS member Mr. Bryan Banks put an exclamation point on the discussion by stating that he had found an obsidian flake on a site (40BN58) along the Big Sandy River in Benton County, Ten-

nessee (Figure 1). This site had been previously recorded by C.H. Nash in 1940 and designated as Late Archaic ca. 2000-3000 years ago.

The flake recovered by Mr. Banks was sent to the Northwest Research Obsidian Studies Laboratory in Corvallis, Oregon for x-ray fluorescence (XRF) and hydration analysis. A chemical signature obtained from the XRF analysis would allow researchers to define the exact volcano where the obsidian was originally collected (Skinner 2008a). The XRF result determined this obsidian was collected from Sarcobatus Flat A in southwestern Nevada (Figure 2).

The hydration test measured the amount of moisture the flake absorbed since it was manufactured. For the west-



FIGURE 1. Obsidian flake from 40BN58, Benton County, Tennessee.



FIGURE 2. Sarcobatus Flat, Nevada source location for 40BN58 obsidian artifact.

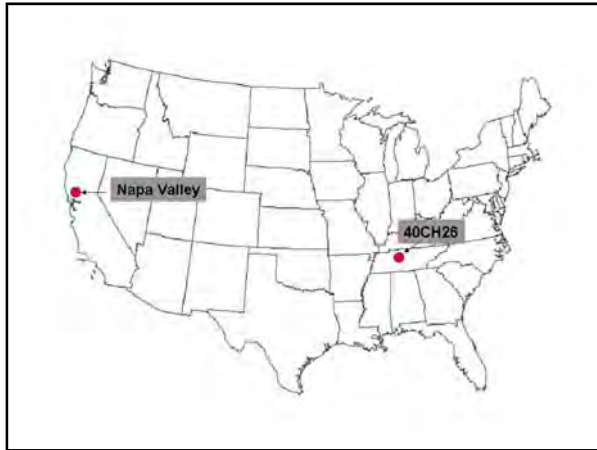


FIGURE 3. Obsidian source location of projectile point from 40CH26, Cheatham County, Tennessee.

ern United States, researchers measure the thickness of the hydration patina to provide a relative date for the artifact (Skinner 2008b). This method has yet to be perfected in the southeast. The hydra-

tion test yielded a measurement of 6.1 microns (Skinner and Thatcher 2003a). This item is thought to be 2000 years old or older, giving this piece at least a Woodland period association that approximates the Late Archaic designation suggested by C.H. Nash in 1940.

These test results were shared with other archaeological societies across the state to heighten an awareness of obsidian artifacts, and to see if other obsidian artifacts may have been found in the region. Amazingly, three individuals from the Dickson County (Tennessee) Archaeological Society (DCAS) reported the discovery of obsidian artifacts. Mr. Richard Anderson retrieved an obsidian projectile point at 40CH26, a Late Archaic site recorded on the Harpeth River in Cheatham County, Tennessee. A subsequent XRF test indicated Napa Valley,



FIGURE 4. Obsidian projectile point from 40CH26

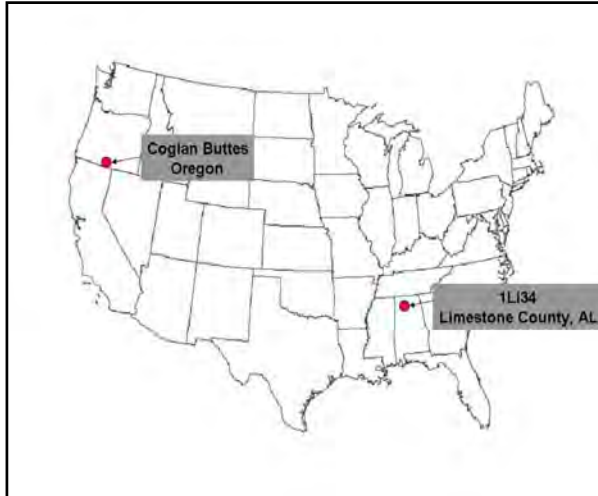


FIGURE 5. Obsidian source location (Coglan Buttes, Oregon) for point from 1LI34, Limestone County, Alabama.

California as the obsidian source for this projectile point (Figure 3). The hydration measurement of 4.2 microns did indicate this point was a prehistoric artifact and not a modern reproduction (Skinner and

Thatcher 2003b). This projectile point is somewhat similar to Late Archaic/Early Woodland period points of the Mid-South, but a review of California projectile point styles determined this point to be nearly identical to the Excelsior type (Justice 2002) that dates from around 2000 BC to approximately AD 500 (Figure 4). This favorable comparison lends support for the trade of completed projectile points from California into the study area.

DCAS member Shannon Hafner reported finding an obsidian projectile point from site 1LI34 on the Tennessee River in north Alabama. The XRF test identified the obsidian source as Coglan Buttes, Oregon (Figure 5), and the hydration measurement was 3.0 microns (Skinner and Thatcher 2003b). The small size of the projectile point, and relatively thin hydration rim, are fairly good indicators this is a late period artifact. The point style fits



FIGURE 6. Obsidian point from 1Li34, Limestone County, Alabama.



FIGURE 7. Obsidian projectile points from 40SW186, Stewart County, Tennessee.

pretty well into the Western Triangular Cluster type (Figure 6). This point has a distribution that covers a great portion of the western United States, and ranges in time from around A.D. 900 to the historic period (Justice 2002:367).

A third DCAS member, Mr. John Puckett, found two projectile points on site 40SW186 along the Cumberland River in Stewart County, Tennessee (Figure 7). The XRF analysis on the first projectile point defined the source as Annadel, California (Figure 7a; Figure 8). The XRF analysis on the second projectile point determined Napa Valley, California as the original source location (Figure 7b; Figure 8). Interestingly, the hydration rim measurement for both of these points is 1.4 microns (Skinner and Thatcher 2005). The point presented in Figure 7a looks like a good match to the Excelsior type described by Justice (2002:271) and previ-

ously noted for site 40CH26. The point presented in Figure 7b is unlike any of the projectile point styles found here in the southeast, but is comparable to the Stockton Cluster described in Justice (2002:352).



FIGURE 8. Obsidian source locations (Napa Valley and Annadel, California) for projectile points from 40SW186, Stewart County, Tennessee.





FIGURE 9. Obsidian projectile point found on Butler Creek in Lauderdale County, Alabama

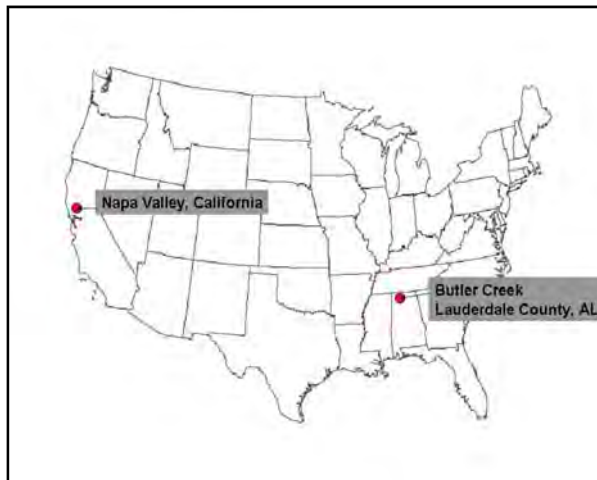


FIGURE 10. Obsidian source location (Napa Valley, California) for projectile point found on Butler Creek in Lauderdale County, Alabama.

An obsidian point on display at the Indian Mound Museum in Florence, Alabama was recovered by a local resident along Butler Creek in Lauderdale County, Alabama (Figure 9). The reported find lo-

cation does not coincide with a previously recorded site. The XRF test indicated this obsidian derived from Napa Valley, California (Figure 10). The hydration test yielded a rim measurement of 2.8 microns (Skinner and Thatcher 2003c). This is the second obsidian artifact noted from the Napa Valley source, and another match for the Stockton Cluster projectile point type.

Yet another obsidian artifact brought to our attention was from the collection of Dr. Clark Smeltzer. An obsidian flake was observed in his collection from site 40HS48 along the Tennessee River in Humphreys County, Tennessee (Figure 11). The XRF test indicated this flake material is from Government Mountain in northern Arizona (Figure 12). The hydration rim measurement was 1.6 microns (Skinner and Thatcher 2006).



FIGURE 11. Obsidian flake from 40HS48, Humphreys County, Tennessee.

### Conclusions

This research report demonstrates that obsidian was traded into Tennessee and northern Alabama possibly as early as the Late Archaic period. The obsidian artifacts reported in this work comprise five finished projectile points and two flakes. X-ray fluorescence analysis results indicate the obsidian used to manufacture these artifacts derived from sources in California (n=4), Nevada (n=1), Oregon (n=1), and Arizona (n=1).

The sites included in this research from Benton (40BN58), Cheatham (40CH26), and Humphreys (40SW186) Counties, Tennessee are recorded as Archaic, while the remaining Tennessee and Alabama sites are multi-component sites that include Woodland and/or Mississippian period occupations. Obsidian artifacts have been previously reported from past research at the Middle Woodland period Glass Mounds (40WM3) in Franklin, Tennessee (DeBoer 2004, Griffin 1965). However, these artifacts cannot be lo-

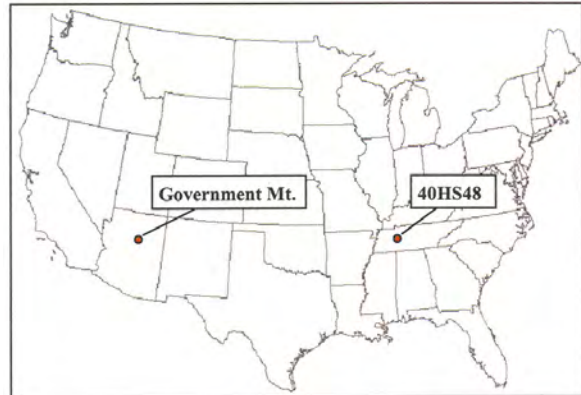


FIGURE 12. Obsidian source location (Government Mountain, Arizona) for flake from 40HS48, Humphreys County, Tennessee.

cated or verified. The previously mentioned (yet unanalyzed) obsidian core from the Mississippian period Brick Church Pike Mound site (40DV39) has been known since its discovery in the 1970s. Also, an obsidian flake recently recorded in an artifact collection from Davidson County, Tennessee was sourced to Obsidian Cliff, Wyoming (see Braly and Sweat, this volume).

Obsidian artifacts have been recently reported from various locales throughout the southeastern United States (White and Weinstein 2008). In Alabama, two pieces of obsidian have been analyzed from Moundville. One specimen was sourced to the Grasshopper Group in northern California, with the second item to Guatemala (Hammerstedt and Glascock 2006). In Mississippi, a stemmed projectile point base recovered from the Parker Bayou site (22HO626) was sourced to the Jemez Mountains of New Mexico (Bruce 2003; Skinner and Thatcher 2002). Also from Mississippi, at the Myer site (22CO529) in Coahoma County, a corner-removed projectile point was sourced to the Malad obsidian source in Oneida County, Idaho (Peacock et al. 2008). Neither of the Mississippi points match the western style projectile points noted in this research (Evan Peacock,



personal communication, 2008). In Louisiana, a uniface tool of possible Paleoindian age was recovered from site 16CD118 (Hester 1988). A second Louisiana obsidian find (possible bladelet midsection) was documented from Poverty Point, but has not been sourced (White and Weinstein 2008). In southeastern Missouri, an obsidian flake from Middle Woodland period context at the La Plant I Site (23NM51) was sourced to Obsidian Cliff in Yellowstone, Wyoming (Skinner and Buchner 2002, Buchner and Skinner 2002).

There seems to be enough evidence to include obsidian on the list of items traded into the southeastern United States. Perhaps a review of collections from major mound centers throughout the southeast is in order to see if obsidian flakes may have been overlooked or placed into historic period artifact categories. Also, this research report is a good example of how valuable information comes to light when the professional archaeological community and avocational archaeological community cooperate with each other. As such, communication between professional archaeologists and local amateur archaeological societies must be promoted in hopes that additional obsidian artifacts from personal collections will be made available for analysis. These steps, along with future obsidian finds through professional investigations, will help connect the dots to a better understanding of the trade networks for this exotic material.

*Notes:* Bryan Banks, Richard Anderson, and John Puckett kindly donated their obsidian artifacts to the Tennessee Division of Archaeology.

Craig Skinner performed the x-ray fluorescence and hydration analyses at the Northwest Research Obsidian Studies Laboratory in Corvallis, Oregon.

Avocational archaeological societies participating in this research were the Jackson Archaeologi-

cal Society (Jackson, TN), the Dickson County Archaeological Society (Dickson, TN), Cumberland River Archaeological Society (Clarksville, TN), Tennessee River Archaeological Society (Big Sandy, TN), Memphis Archaeological and Geological Society (Memphis, TN), Middle Cumberland Archaeological Society (Nashville, TN), Old Stone Fort Archaeological Society (Manchester, TN), Muscle Shoals Archaeological Society (Florence, AL), Huntsville Archaeological Society (Huntsville, AL), and the Cullman County Archaeological Society (Cullman, AL).

An obsidian flake from site 40WM63 along the Harpeth River in Williamson County, Tennessee was sent to the Geochemical Research Laboratory in Portola Valley, California for XRF analysis. The XRF test indicated the artifact source was from western Mexico, but the hydration rim measurement determined this specimen was not prehistoric.

*Acknowledgements:* The success of this obsidian research is attributed to the members of archaeological societies in Tennessee and Alabama. These individuals provided exact locations on these finds and allowed the slightly destructive tests to be performed so that more could be learned about these unique artifacts. Many individuals were involved in the compilation of this data, including Richard Anderson, Bryan Banks, Robbie Camp, Aaron Deter-Wolf, Shannon Hafner, Dr. Richard Hughes, Howard King, Charles Moore, John Puckett, Craig Skinner, Dr. Clark Smeltzer, Margaret Thatcher, and Joe Lawson Wright.

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- Mark R. Norton  
Tennessee Division of Archaeology  
1216 Foster Avenue, Cole Bldg #3  
Nashville, TN 37243

# AN ANALYSIS OF OBSIDIAN AND OTHER ARCHAEOLOGICAL MATERIALS FROM THE SOUTHEAST PORTION OF NEELYS BEND ON THE CUMBERLAND RIVER, DAVIDSON COUNTY, TENNESSEE

Bobby R. Braly and Jeremy L. Sweat

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*During the late 1930s, Kenneth Brown collected artifacts near his home in Neelys Bend along the Cumberland River in Davidson County, Tennessee. His collection included a number of Paleoindian and other temporally identifiable projectile points, as well as a Nashville Style marine shell gorget. The collection also contained the medial section of an obsidian projectile point. Analysis identified the obsidian source as Obsidian Cliff in Wyoming.*

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Mr. Kenneth Brown collected archaeological material near his home in Neelys Bend from approximately 1935 to 1940. Neelys Bend comprises a large meander loop of the Cumberland River northeast of Nashville in Davidson County, Tennessee (Figure 1). Mr. Brown's nephew, Mr. Stan Duke, received the collection from his uncle and contacted the Frank H. McClung Museum about documenting the collection for research purposes.

The authors examined the collection and observed several artifacts important to Tennessee's prehistory. Among the numerous temporally diagnostic projectile points in the collection were three Paleoindian projectile points (Figure 2). Mississippian period artifacts were present as well, including a duck effigy bowl, notched-rim bowl, small ceramic figurine,

ceramic earplug, and a Nashville Style marine shell gorget (Figures 3-7).

The collection also contained a projectile point/knife fragment of obsidian. Obsidian, a non-local volcanic glass, occurs in the western United States, Mexico, and other regions. Obsidian artifacts are rare in Tennessee. Only a few previously recorded examples of obsidian are known in the state of Tennessee (Norton 2005).

## The Collection: Contents and Context

Sixteen archaeological sites are recorded within the southern portion of Neelys Bend. Ten of these sites occur within one mile of Mr. Brown's house, with the remaining six located within 1.6 miles of his home. An archaeological survey of the general study area was conducted for proposed landfill construction on the southern portion of Neelys Bend (Taylor 1989). This survey identified 15 of the 16 sites recorded in the southern portion of Neelys Bend. This survey was based solely on surface collections in plowed fields and exposed or eroded surfaces. Many of these sites were limited to a few historic or prehistoric artifacts, but Taylor noted the potential for intact, deeply stratified archaeological deposits and large numbers of human burials in Neelys Bend.

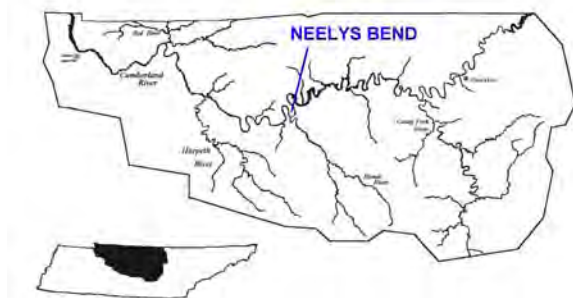


FIGURE 1. Location map of Neelys Bend on the Cumberland River.



FIGURE 2. Paleoindian projectile points, from left to right: Clovis; Clovis; Cumberland.



FIGURE 3. Duck effigy bowl, top and profile views.



FIGURE 4. Bowl with notched appliqué rim strip, top and profile views.



FIGURE 5. Ceramic figurine and other effigy fragments.





FIGURE 6. Ceramic earplug.



FIGURE 7. Nashville Style marine shell gorget.



FIGURE 8. Obsidian projectile point fragment.

Site 40DV194, identified as early as 1935 by the Works Progress Administration, represents the final site recorded for the southern portion of Neelys Bend. The Tennessee state site files list 40DV194 as a stone box cemetery and possible burial mound. Based upon conversations with Mr. Brown, this particular site area is likely the original location for materials in the collection.

The collection consists of 455 artifacts. Lithic materials ( $n=442$ ) comprise the bulk of the collection and include both chipped stone ( $n=422$ ) and ground stone ( $n=20$ ) specimens. Projectile points/knives ( $n=349$ ) are the most common chipped stone tool, followed by smaller amounts of drills, scrapers, and other bifacial tools. Shell-tempered ceramics ( $n=10$ ) are the second most frequent material class, followed by bone ( $n=2$ ) and shell ( $n=1$ ). The ceramic artifacts include a duck effigy bowl, notched rim bowl, four sherds, a figurine, and an earplug.

### Obsidian in Tennessee?

A single obsidian projectile point/ knife fragment was recorded in the collection (Figure 8). Obsidian is a fine-grained, amorphous, volcanic glass formed by the solidification of silica-rich magma (Carmichael et al. 1974). The homogenous character of obsidian results in prominent conchoidal fractures, making it an ideal choice for the manufacture of prehistoric chipped stone tools. The obsidian piece in the collection was sent to Northwest Obsidian Research Laboratory in Corvallis, Oregon for x-ray fluorescence (XRF) and obsidian hydration analysis (Skinner and Thatcher 2008).

XRF is a chemical sourcing technique where lithic samples are irradiated with X-rays that produce secondarily emitted X-rays characteristic of a particular element (Kooyman 2000:177). The material origin can then be determined by comparing the elemental composition of the artifact sam-



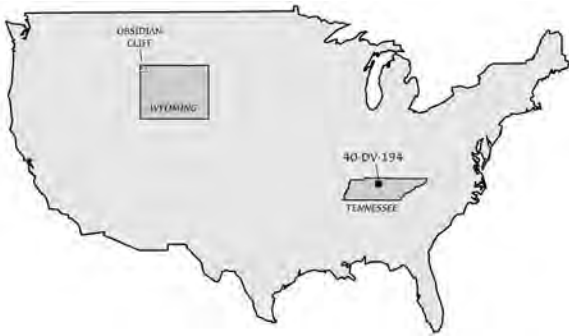


FIGURE 9. Location map of site 40DV194 and the Obsidian Cliff source in Wyoming (Skinner and Thatcher 2008)

ple with that of samples collected from known source locations. The obsidian from Neelys Bend was sourced to Obsidian Cliff, Wyoming (Skinner and Thatcher 2008:2) located in present day Yellowstone National Park (Figure 9).

Obsidian hydration (OH) analysis, an absolute dating method first proposed by Friedman and Smith (1960), measures the hydration rind of the artifact. The exposed surface portions of obsidian artifacts absorb water, resulting in visible rims that can be measured and used as a calculation of the artifact age (Riciputi et al. 2002). This measurement, based on absorbed moisture relative to a diffusion front, is measured under polarized light.

Two basic types of OH dating exist. The simplest form, referred to as empirical-rate dating, correlates the width of the optically measured hydration rim with independent chronometric data such as  $^{14}\text{C}$  dates (Riciputi et al. 2002:1056). The second and most widely used form of OH dating is a more complex technique known as intrinsic-rate dating. This fully independent chronometric method requires experimentally determined rate constants and a measure of site temperature (Riciputi et al. 2002:1056). The hydration process over time is extremely complex and many problems exist in the

intrinsic-rate method when experimental data are not available (Anovitz et al. 1999; Beck and Jones 2000). The absorption rate of the artifact is affected by numerous factors including, but not limited to, geologic context, chemical composition of the sample, temperature, and relative humidity. Numerous hydration rate equations exist, but these variables have not been adequately explored in Tennessee, as well as most of the eastern United States.

The hydration rim measurement for the Neelys Bend specimen is  $6.2 \pm .01$  microns. Based on general data, this result would place this piece within the Archaic period. However, the authors feel that due to severe limitations with intrinsic-rate OH dating in the region, this result, as well as others (using similar methods) should be taken with great skepticism. While OH dates are wonderful in theory, many factors have to be considered before discussing them in terms of the southeast United States. Some of these limitations are briefly presented below.

Absolute dating methods, such as OH dating, require precise methods. Error in assigning absolute dates to intrinsic-rate OH samples lies in four fundamental methodological shortcomings. The first, a procedural error, stems from measurement technique. Sample measurement with an optical microscope (like the value reported here) versus other more accurate techniques such as Secondary Ion Mass Spectrometry (or SIMS) have been shown to vary as much as 0.8 microns and over 1000 years (Riciputi et al. 2002:1069). Furthermore, many of the optically measured samples from Chalco were older than  $^{14}\text{C}$  controls (Riciputi et al. 2002:1069). Much of the improvement in error using SIMS has resulted from the work of Anovitz et al. (1999), which identified several measurement factors compli-

cating the traditional optical method.

Additional factors in intrinsic-rate OH dating stem from mathematical shortcomings of formula variable assumptions. These three values include soil temperature, relative humidity, and diffusion rate of the obsidian. Diffusion is a complex function that can be modeled mathematically by time, temperature, hydration rate, and other variables with fewer effects. If we know the diffusion measurement, other variables can be substituted to solve for time (or age of the artifact). Prehistoric temperature reconstructions for soil temperature are unknown at this time, but studying paleoclimatic changes through time and extrapolating these to known current temperatures would be beneficial. This variable, however, becomes circular when solving the equation. To assign a value for soil temperature at time  $x$  has invoked a temporal moment in which we are trying to solve for. Inversely, recent research has used OH to calculate paleoclimate when all other variables are controlled (Anovitz et al. 2006).

Relative humidity has been shown to affect the hydration rate of obsidian artifacts. This overall effect has recently been shown to be rather small (Anovitz et al. 2006:5661), but attention must still be given to this value in the equation. The final mathematical error to be discussed is the diffusion rate of obsidian. Many types of obsidian exist, each with its own hydration rate. To accurately estimate the age of an artifact using the intrinsic-rate method, hydration rate data must be determined experimentally. Anovitz et al. (2004) determined this rate for Pachuca obsidian from Mexico. No known similar studies have been carried out on obsidian recovered in the southeast United States. The date for the Neelys Bend projectile point/knife fragment in the Brown collection would have to be calculated using a

diffusion rate for this particular source in Wyoming. Other factors exist as well, but these three in conjunction with measurement are the primary errors that must be accounted for.

## Conclusions

Obsidian, while rare, has previously been documented in Tennessee and the southeast (Norton 2005). The recovery of a specimen on Neelys Bend in Davidson County, Tennessee and sourced to Wyoming suggests extensive trade distance. While undoubtedly of prehistoric origin (based on the sizeable hydration rim), the OH dating of this sample is seen as preliminary. As previously discussed, many errors are involved in assigning absolute dates to artifacts using OH dating. The combination of potential procedural and mathematical errors in intrinsic-rate dating may skew the dating of an artifact by thousands of years. Any intrinsic-rate OH dating must proceed carefully when mathematically modeling the diffusion process with respect to the three variables pointed out (soil temperature, relative humidity, and hydration rate of specific obsidian types). Empirical-rate OH dating, using multiple samples from multiple levels coupled with traditional  $^{14}\text{C}$  dating, is more applicable. This method, however ideal, is improbable in the region due to the lack of multiple obsidian samples from a single site. Possible future directions for OH dating in the region should focus on modeling, as accurate as possible, the mathematical variables discussed and subjecting archaeological samples to Secondary Ion Mass Spectrometry (SIMS) rather than traditional optical measurement.

*Acknowledgements:* The authors thank Kenneth Brown and Stan Duke for allowing analysis of this collection. The Northwest Obsidian Research

Laboratory performed the XRF and OH analyses. The Frank H. McClung museum graciously allowed use of laboratory space. Dr. Lawrence M. Anovitz of the Oak Ridge National Laboratory provided many insightful comments and his direction is greatly appreciated. All errors are those of the authors.

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- Bobby R. Braly  
Frank H. McClung Museum  
The University of Tennessee  
1327 Circle Park Drive  
Knoxville, Tennessee 37996
- Jeremy L. Sweat  
Department of Anthropology  
The University of Tennessee  
250 South Stadium Hall  
Knoxville, Tennessee 37996

# EVIDENCE OF PREHISTORIC VIOLENT TRAUMA FROM A CAVE IN MIDDLE TENNESSEE

Shannon Chappell Hodge and Hugh E. Berryman

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*Some time in the last ten millennia, in what is now Middle Tennessee, a young man in his 20s or early 30s experienced a traumatic encounter with one or more assailants that resulted in his death. This attack left him with a projectile point embedded in his left femur. An isolated fragment of this femur (including the embedded projectile) was examined by bioarchaeologists from Middle Tennessee State University. Lacking the rest of this individual's remains and the context of his burial, we can only speculate that he may have met an untimely end due to various forces ranging from simple interpersonal violence to more wide-ranging conflict resulting from broad trends of culture change within the Archaic societies of the Mid-South.*

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Caves have always represented curiosities that attract the attention of the inquisitive, and exploration often produces evidence of previous human activity. Professional and avocational archaeologists and cavers have recorded abundant evidence of prehistoric and historic human use of the caves of Tennessee. Among their discoveries are evidence of prehistoric exploration, mining, burial practices, and ritual, and historic era saltpeter mining, moonshining and even sightseeing (Boyd and Boyd 1997; Douglas 2001; Douglas et al. 2000; Duncan 1997; Faulkner et al. 1984; Faulkner et al. 1989; Kennedy and Watson 1997; Willey et al. 2005).

Some time in the 1960s or 1970s, in a cave in middle Tennessee, an amateur archaeologist discovered a segment of bone with an embedded projectile point.<sup>1</sup> Thinking it was a deer bone associated with a hearth, he kept it as a curiosity. Ultimately, this deer bone turned out to be a fragment of human femur. Due to the circumstances of its discovery, the original context of the bone fragment within the cave is unclear, it is unassociated with any particular burial in the cave, and the rest of this individual's remains were never identified or collected. The collector stated that looted human burials were

nearby in the cave; this fragment might have been displaced by modern pot-hunters, historic or prehistoric human activity, or even burrowing animals or other taphonomic agents. The collector who brought this specimen to our attention had landowner permission to collect artifacts from the cave. He stated that he did not knowingly disturb any burials in his collecting activities, nor was he aware that the bone fragment he collected was human. When he realized the bone might be human, he made a good-faith effort to find out if it was, so the remains could be handled appropriately. This is how it came to be on temporary loan to anthropology faculty at Middle Tennessee State University.

## Description

The bone segment is a proximal human femur from the left side (i.e., left thigh bone at the hip joint) consisting of the femur head, neck and greater trochanter (Figure 1). The bone fragment measures 100.6 mm in length and exhibits evidence of perimortem trauma and postmortem damage. A portion of a stone projectile point is embedded in the neck of the femur. The bone is stained a medium brown color and, although incomplete, is in fair



FIGURE 1. Anterior, posterior and superior views of the proximal left femur.

condition. The proximal end of the bone was separated from the unrecovered shaft by a diagonally directed postmortem fracture below the greater trochanter. A portion of cortical bone involving the entire posterior aspect of the greater trochanter is missing with exposed trabecular bone.

Also, four irregularly shaped areas of articular surface are missing from the femur head, exposing trabecular bone that still retains soil.

**Taphonomy.**

**Color:** The medium brown color of the bone is the result of many years of direct contact with surrounding soil and water (Figure 1). The fact that this color is consistent on the external surface of the bone

and the internal bone (i.e., trabecula) indicates that all of the bone breakage is of great antiquity, and unrelated to the more recent extrication from the cave. However, there are several light-colored marks on the cortical surface produced by more recent abrasion or scuffing. The surface of

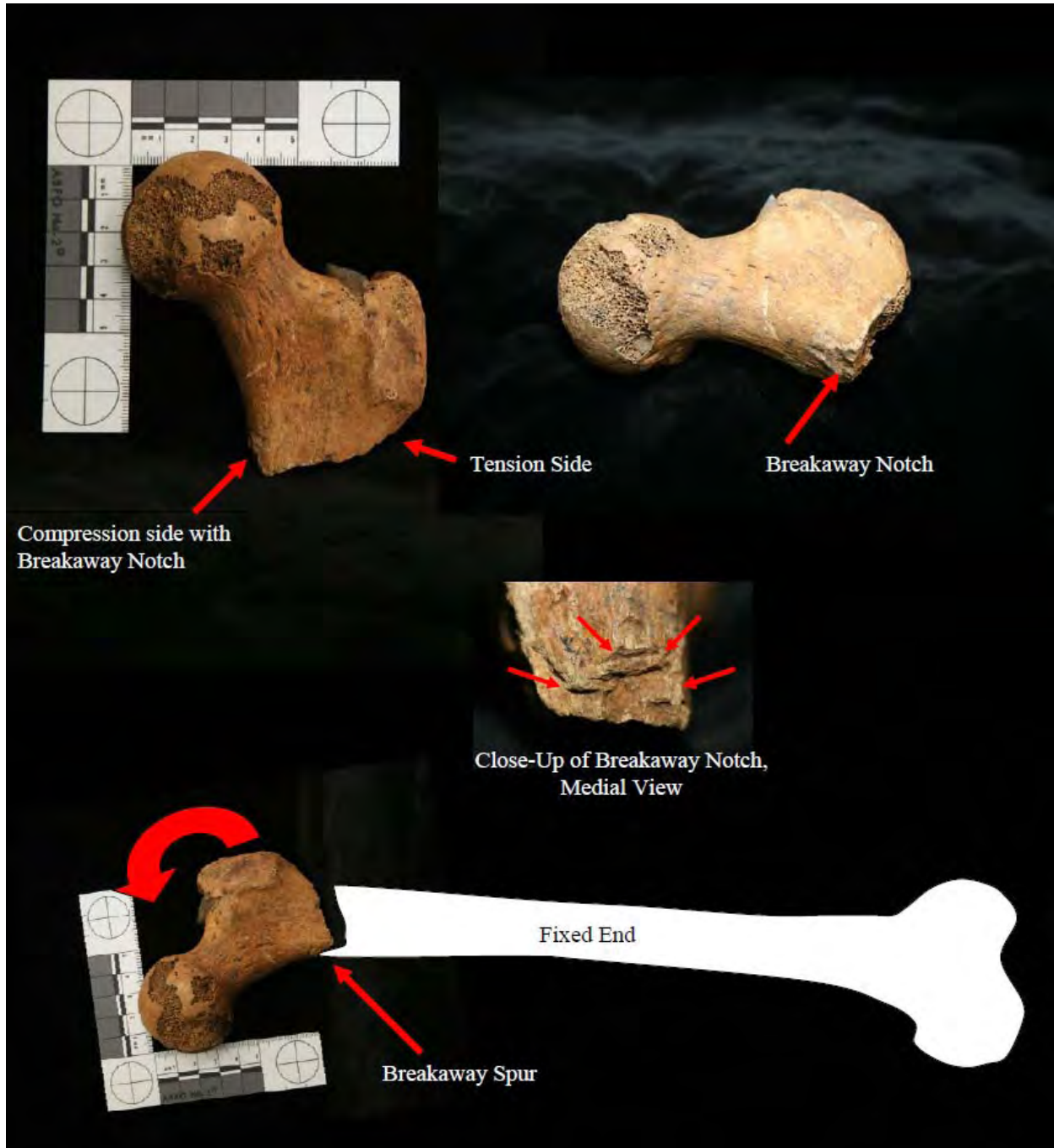


FIGURE 2. Primary fracture that separated the proximal end of the femur from the shaft.



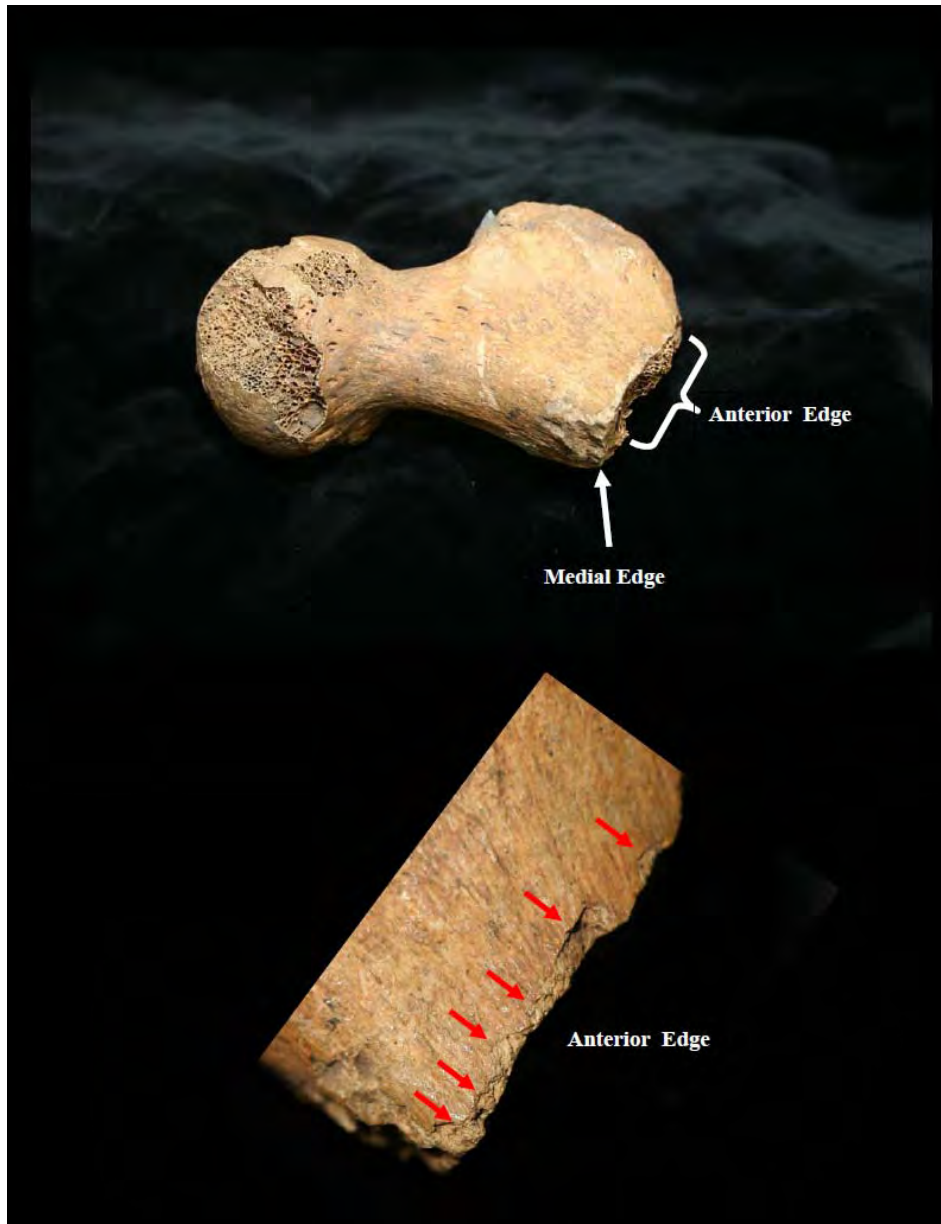


FIGURE 3. View of spalling on the anterior fracture edge and the medial fracture edge.

the cortical bone exhibits a light patina from repeated modern handling.

**Fracture to the Shaft:** The primary fracture that separated the recovered proximal end of the femur from the unrecovered femur shaft exhibits a roughened fracture surface that is consistent in morphology with a postmortem event (i.e., dry bone fracture; Figure 2). The tension side of the fracture (i.e., the portion that

opened first) is on the lateral side of the bone just inferior to the greater trochanter, and the compression side with its breakaway notch is located on the medial side. The breakaway notch is formed by the void created by the breakaway spur as it separates during the fracture event. The breakaway spur always occurs on the fixed end of the bone (i.e., the end of the bone that is cantilevered or moves less during the process of fracturing). Although speculative in the absence of a matching breakaway spur, the presumed breakaway notch on the available fragment suggests the possibility

that the distal end of the femur was fixed (perhaps still held in

situ in the soil or stone of its original deposit) while the proximal end of the femur was displaced in a lateral to medial direction to produce the fracture. The fractured surface is only slightly lighter in color than the external bone surface indicating that it was likely produced many years prior to the time it was discovered. The cause of this fracture is unknown. It could have been produced by natural events (e.g.,



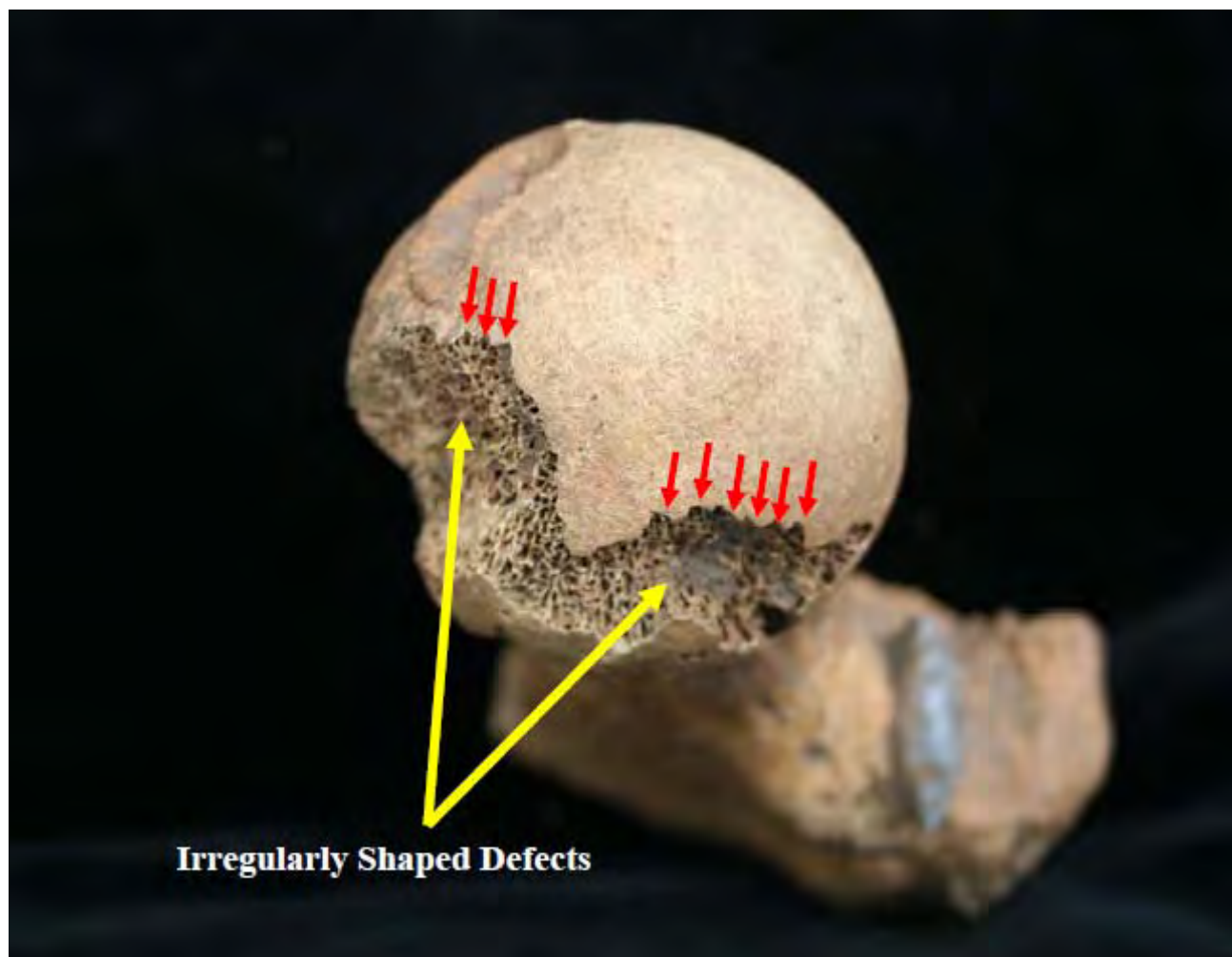


FIGURE 4. Irregularly shaped defects and possible rodent activity (red arrows) on the anterolateral femur head.

rock slides), or actions responsible for its unearthing (e.g., burrowing animals or human activity).

**Fracture to the Greater Trochanter:**

The entire posterior aspect of the greater trochanter is missing, leaving a flat, coronally oriented plane of trabecular bone exposed (Figure 1). This is characteristic of more modern burials where a bone rests against the floor of a coffin. In such a setting, water retained by the coffin floor will break down the bone it contacts at a rate greater than that in other areas. This is not to imply that this femur was buried inside a coffin, but rather the posterior surface of the bone may have rested against a stone or hard surface. The pos-

terior surface of the femur could have been compromised resulting in its breakdown and ultimate fracture.

**Bone Flake Defects:** Along the primary fracture that separated the proximal end of the femur from the shaft are several defects where flakes of cortical bone have been spalled away (Figure 3). The surface of the primary fracture formed a striking platform where multiple blows, particularly along the anterior shaft, resulted in several flakes being dislodged. The flake defects are stained a lighter brown color than the external surface of the shaft indicating that the flaking was not recent. These spalling fractures were likely caused when the fractured surface

of the femur was repeatedly struck against material as dense, or denser, than the bone.

**Articular Defects:** Four irregularly shaped defects are present in the articular surface of the femur head (Figure 4). No one etiology can account for all of the damage; a multitude of environmental influences may have produced them. These defects all occurred when the bone was dry (i.e., following a considerable post-mortem interval; evidenced by soil caked into the trabecular bone), and appear more consistent with having been produced by mechanical forces (e.g., ground pressure, impingement against stones or other hard material, etc). The margins of some of these articular defects are scalloped or notched (Figure 4) suggestive of rodent activity, although there is a lack of obvious gnaw characteristics.

### **Demographic Profile**

The maximum diameter of the femur head is 48 mm, which strongly indicates that this individual was male (Bass 2005). The head of the femur is completely fused — an event that usually occurs by age twenty (McKern and Stewart 1957). Close examination of the femur head reveals a short segment of epiphyseal line clearly visible on the posterior aspect of the femur head as a remnant of the fusion of the proximal epiphysis to the diaphysis (Figure 5). With age, this line disappears, thus its presence is consistent with a young adult perhaps in his twenties or early thirties.

### **Trauma**

A projectile point is embedded in the most superior aspect of the femur neck at the point where the neck joins with the greater trochanter (Figure 6). The projec-

tile is oriented in such a way as to indicate a trajectory that was superior to inferior and slightly lateral to medial. However, this does not likely reflect the trajectory of the projectile relative to the torso of a person in motion (i.e., a person running, jumping, climbing, etc.). When consideration is made for the fact that the leg can be flexed or extended, or adducted (drawn inward towards the midline of the body) or abducted (drawn outwards away from the midline of the body) at the hip, the actual trajectory could have been from any of a variety of directions. If the individual had been running or climbing with the leg hyper flexed, the trajectory could have been from posterior to anterior (i.e., the assailant was behind the individual). If the individual had been running with the leg hyper extended, the trajectory could have been from anterior to posterior (i.e., the assailant was in front of the victim). If the person had been cross- or scissor-legged with the left leg hyper adducted, the trajectory could have been left to right (i.e., the assailant was to the left of the victim). Also, the person could have been standing with the assailant above and to the left with the trajectory superior to inferior and left to right. The trajectory was not right to left because the bones of the pelvis would have blocked the proximal femur (i.e., the assailant was not to the right of the victim).

We refer to the incident that produced this trauma in terms of “assailant” and “victim”, which presupposes interpersonal violence. It is impossible for this wound to be self-inflicted given the trajectory of the projectile. We note the possibility that this trauma was the result of an accident, although it would be difficult to embed a projectile at this angle or this deeply in the cortical bone of an adult human femur by the simple act of accidentally falling on a spear or knife. Although unlikely, it is not



FIGURE 5. Posterior view of femur head with visible epiphiseal line.

impossible that this trauma was the result of mistaken targeting of a human instead of animal prey by a member of a hunting party – an accident resulting from a deliberate overhand thrust or atlatl strike.

The projectile penetrated the neck of the femur, and produced no radiating fractures to the surrounding bone. A close examination of the entry defect shows no indication of bony response to the injury, indicating that death occurred shortly after the traumatic event. Penetrating trauma to the hip in this area would have injured no vital organs, severed no major arteries, and impacted no major nerves—although bone pain would have been considerable. Although the injury is evidence of violent trauma, it would not have produced death

immediately. However, the lack of a bony response to the trauma indicates that death occurred near the time the femur was injured. This suggests, considering the violent circumstances, that additional trauma sustained in the same incident resulted in the victim's death. Lacking the entire skeleton, it is impossible to determine what sort of additional and lethal trauma might have occurred.

The trauma associated with this individual's death likely did not occur in the cave in which his remains were discovered. Given the probable scenario of a victim and assailant either in motion or in a spatial relationship of assailant above and victim below, it is improbable that this type of violence would have occurred

within the confines of a cave. Therefore, we assume that the attack or accident occurred outside of the cave, and that the individual was relocated to the cave either by the assailant or by someone else. It is unlikely that the attacker spared the time or effort to move the victim's body, and

given the presence of other human burials in the cave, it is most probable that the victim was interred in the cave as part of a burial ritual.

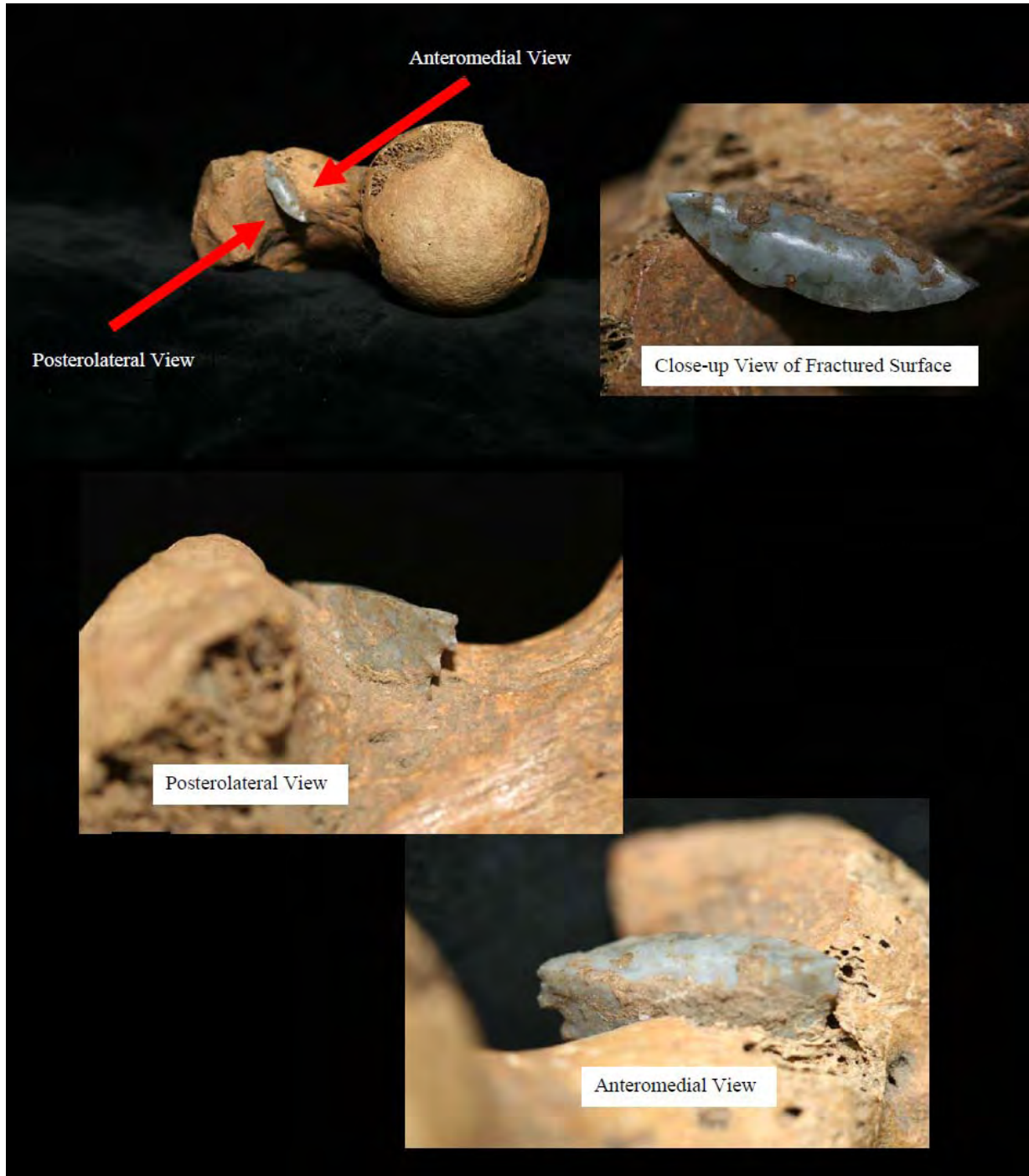


FIGURE 6. Anteromedial, posterolateral, and fractured surface views of projectile point.

### **Description of Projectile**

A stone projectile point, composed of blue gray chert (probably Fort Payne), is embedded in the most superior aspect of the femur neck at the greater trochanter. The projectile has been fractured and the base is missing. Examination of the morphology of the fracture surface reveals a breakaway spur on the medial side indicating the shaft was displaced from lateral to medial to fracture the bone. The maximum width of the remaining blade is 20 mm and blade thickness is 5 mm, though because the projectile point is broken above the base, the full original width and thickness are unknown.

Visual examination of the projectile suggests it is serrated, and is consistent in morphology with the type identified as a Kirk cluster PP/K, which would indicate that the remains themselves likely date to the Early Archaic (Justice 1987). Similar Kirk serrated PP/Ks were recovered at the Johnson site (40DV400) in Davidson County, Tennessee from features with uncorrected radiocarbon dates clustering around 7000 B.C. (Barker and Broster 1996:126). Unfortunately, the serration that appears on the slightly protruding remnant of the projectile does not appear in radiographs, due to the great thickness and density of bone at the point of impact, so the serration observed on the blade remnant cannot be confirmed radiographically. Calcium carbonate deposits on the projectile point also attest to its great antiquity. Projectile points recovered from elsewhere in this cave are affiliated with the Paleoindian and Early and Middle Archaic periods, though archaeological deposits from the cave have been dated to both the Archaic and Woodland periods. If this PP/K is indeed a Kirk, it is also temporally consistent with other projectile points in the cave.

### **Interpersonal Violence in Prehistory**

Trauma occurs when deliberate or accidental physical force is applied to the human body resulting in injury to soft tissue and bone (Ortner and Putschar 1985; White 1991). Certain types of skeletal trauma may indicate specific incidents or activities, and patterning of skeletal trauma for a single individual or for a population may allow inference of specific activities or behavioral patterns (Goodman et al. 1984:34). In particular, patterns of non-accidental trauma can be indicative of warfare and interpersonal violence (Bridges 1996; Lambert 2002; Milner et al. 1991; Owsley et al. 1977; Walker 2001). Such trauma might include scalping, mutilation, decapitation, dismemberment, trophy-taking, cranial fractures / pond fractures, tooth breakage, rib fractures, parry fractures, embedded projectile points, and other weapons trauma (Hutchinson 2007; Lambert 2002; Smith 1997). Evidence of several of these indicators on a single individual may indicate personal violence (Judd 2002; Wilkinson and van Wagenen 1993). Evidence of such indicators on many members of an entire segment of a population suggests chronic socially-sanctioned domestic violence or endemic warfare (Hutchinson 2007:143). Evidence of several traumatic injuries at various states of healing on a single individual indicate a chronic condition in which the individual engages in or is the victim of repetitive violent acts, a predisposition towards violent injury that is referred to as "injury recidivism" (Haglund 1999; Judd 2002:48-49).

Frequency of traumatic injury may also be instructive in interpreting the social context of violence. Recent research by Milner (2005) into historic-era North American "Indian Wars" data indicates that fewer than one-third of recorded pro-



jectile point injuries resulted in damage to bone, suggesting that rates of projectile point injury were higher than we can determine from skeletal remains, and that violence may have been more prevalent than our skeletal data suggest. Furthermore, taphonomic processes that obscure evidence of violent trauma, and skeletal lesions which cannot be definitively attributed to violence, may also lead to the undercounting of the incidence of interpersonal violence.

In the prehistoric Southeast, the record of skeletal trauma indicates low though persistent rates of interpersonal violence and warfare in general. In early North American prehistory, the Archaic period appears to be a time of increased violence (Lambert 2001:227; Smith 1993b). Of course, the Archaic period in the Southeast encompasses a long temporal span and spatial extent, and exhibits considerable environmental, social, political, economic, and demographic variation. Overall, evidence of interpersonal violence in the Archaic occurs in isolated instances that may represent specific circumstances that led to conflict. However, writ large, the Archaic represents the earliest evidence of prehistoric violence in eastern North America, and in those instances where violence occurs, it is clear-cut and pervasive.

For example, in Tennessee alone, two Late Archaic incidents of scalping are reported from the Eva and Kays Landing sites (Smith 1991, 1997) located in the Lower Tennessee River Valley in Benton and Henry Counties, Tennessee. Similarly, at least ten individuals buried at Late Archaic Kentucky Lake Reservoir sites in Western Tennessee were recorded as having died violently, several of whom were scalped or dismembered (Smith 1993a:183, 1997). In addition, there is a probable case of perimortem decapitation

and trophy-related dismemberment of a young adult male at the Late Archaic Robinson site on the Cumberland River in Smith County, Tennessee (Smith 1993b, 1997). There is also a possible case of trophy-related dismemberment in the removal of an individual's forearm at the Late Archaic 40DV35 site in Davidson County, Tennessee (Moore et al. 1992; Smith 1993b:133, 1997). These Tennessee cases are overwhelmingly males - a pattern generally indicative of intergroup conflict, raiding, or warfare (Lambert 2002:227), rather than within-group violence. Interestingly, this pattern is supported by comparatively low frequencies of healed depressed cranial fractures among Tennessee Archaic populations (Mensforth 2005:471). Such fractures are found at higher rates in Kentucky and Ohio Archaic populations, and tend to be healed injuries which Mensforth interprets as evidence of within-group "social contests" driven by individual prestige-seeking rather than lethal intent (2001:124-125).

Elsewhere in the Archaic Southeast, there are several notable instances of violence indicated by embedded projectile points, as in the four individuals reported by Webb (1974:226-227) from the Late Archaic Indian Knoll site in Kentucky. A fifth<sup>2</sup> and particularly notable victim of violence from Indian Knoll is the individual nicknamed "Flint in the Face" by excavators, an adult male who suffered a fatal projectile point wound that appears to have entered the right side of the neck, passing through the arch of the mandible and lodging in the hard palate and left maxillary sinus (Snow 1948:523-524). Also in Kentucky, residents of the Ward site suffered "cranial depression injuries, projectile point injuries, stab wounds, parry fractures, and cut marks indicative of scalping, decapitation, and limb dis-

memberment" (Baker and Mensforth 1995:2; Mensforth 2007), and residents of the Carlston-Annis site were similarly affected by violent death, scalping, dismemberment, and decapitation (Mensforth 2007:245). Other instances of Archaic period interpersonal violence from Kentucky include antemortem scalping of two women from the Watt's Cave site, penetrating wounds and embedded projectile points associated with two adult males and one adult female from the Barrett site (Mensforth 2007).

At the Middle Archaic period Mulberry Creek site, a shell mound in the Pickwick Basin of Alabama, there was a triple inhumation of individuals who seem to have met a violent death (Webb and DeJarnette 1942). This grave contained Burial 84, an adult male with two embedded projectile points, and Burial 85, an adolescent male with one embedded projectile point of nonlocal origin. The nonlocal source of the projectile point associated with Burial 85 is further suggestive of intergroup violence rather than within-group violence. Burial 84 was also missing both radii, both ulnae and both hands, which might have indicated trophy taking in light of other cases of Archaic period arm dismemberment. However, recent re-analysis of the Mulberry Creek remains found no cut-marks or other indications of dismemberment on this individual (Shields 2006:25). Also within this grave was Burial 83, an adult male who had three projectile points within his thoracic cavity, though none were embedded in bone (Walthall 1980:64-66; Webb and DeJarnette 1942:245). Shields' (2006:26) reanalysis found no nicks, breaks or other evidence of projectiles having passed through the bones of the thorax of Burial 83, suggesting that the non-embedded projectile points were instead placed on top of the body after death, and fell into the thoracic

cavity during decomposition. A third individual from Mulberry Creek (Burial 88, an adult male), also died violently from a fracture to the nose and forehead (Shields 2006:26).

Walthall (1980:245-246, echoed by Dye 1996:157, 2006:105; and Milner 1995:234) suggests that the intergroup violence characteristic of the Middle to Late Archaic is representative of hunter-gatherer competition over resources. The Mulberry Creek site was optimally located to exploit freshwater shellfish beds of Mulberry Creek and the nearby Tennessee River, but the site also served as a location for the manufacture of biface blanks (Webb and DeJarnette 1942:247), so there may have been a lithic source nearby as well. Walthall (1980:65-67) argues that the increasing intergroup violence of this period is related to the narrowing of the resource base to a seasonal economy in which freshwater shellfish figured prominently. Unfortunately, while this resource is abundant, it is somewhat of a point resource, in that shellfish grow in great numbers only in river shoal areas characterized by fast-moving shallow water, which tend to be spatially limited locales. Because of their great food biomass availability and relative ease of resource extraction, shellfish shoals might well have been worth fighting over, particularly as populations increased over time. Walthall also points out that in addition to the Mulberry Creek site, several other well-known locations of Archaic period violence are also located on mussel shoals, including Indian Knoll (Kentucky), Eva (Tennessee), and the Riverton site (Illinois) (Walthall 1980:66).

Alternatively, Smith (1996, 1997; echoed by Shields 2006) concludes that although raiding over access to territory and resources must certainly have been going on during the Archaic, evidence of scalp-



ing, decapitation, and dismemberment points to individual prestige enhancement on the part of the attacker, in this environment of increasing social and political complexity. Even as early as the Late Archaic, Dye (2006:105-106, citing Smith 1997:257-258) suggests that interpersonal violence resulting in trophy-taking may have been a route to increased prestige in an environment of increasing social complexity. Mensforth (2001:125) refers to this social-political pattern as "incipient tribalization". This hypothesis is echoed in analyses of violence among populations in the later prehistoric Southeast, particularly during the Mississippian period. For the Archaic period, this explanation may become more compelling as we learn more about Middle and Late Archaic exchange, information, and social networks, and moundbuilding and other corporate activities. Already it is clear that during the Middle and Late Archaic, populations of the midsouth were becoming more sedentary and territorial, resulting in greater cultural complexity necessitated by management of intra- and inter-group relations (Dye 1996). Alliance-building among incipient leaders and the concomitant exchange of commodities and exotic items are natural opportunities for individual prestige enhancement, but in instances where alliances failed, the resulting conflict would also have been an opportunity for advancing an individual leader's social and political influence. Were Archaic period leaders engaged in warfare for the purpose of manipulating prestige and/or fear in the interest of self-aggrandizement and power politics?

At the late prehistoric Oneota site of Norris Farms in Illinois, fourteen individuals were scalped, eleven were decapitated, eight (including three of the decapitated) were otherwise dismembered (Milner 1995), two survived embedded

projectile points, and overall 16% of the population exhibited evidence of antemortem or perimortem violence (Milner 1995:225). The Norris Farms evidence suggests intermittent, sneak-attack intergroup warfare rather than internecine conflict. Many victims of violence were buried in group graves, some in varying states of decomposition and with evidence of carnivore gnawing and scattering of remains, which Milner (1995) interprets as evidence of small-scale raiding of work parties or traveling groups who were beyond the protection of the village, and may have lain where they fell for some time before their remains could be safely recovered and returned home for burial. Groups of victims were often small, and they were commonly attacked with tools (such as celts; Milner 1995) rather than weaponry, suggesting that they might have been opportunistic assaults on the part of their attackers rather than planned raids. Though these were likely sneak attacks rather than organized raids, constant threat of such attacks could have emotional, social, and economic impacts far beyond the repercussions of individual deaths. Strezewski (2006) has described this type of raiding as "wars of harassment, terror, and revenge". Furthermore, victims were scalped, decapitated, and dismembered, and some were attacked with great violence, sustaining far more wounds than would have been necessary to kill them – a deliberate visual effect referred to as "pincushioning" (Mensforth 2007; Milner 2005). This pattern suggests a motive bent upon revenge or humiliation of foes, and perhaps enhancement of individual prestige for the attacker.

In later prehistory, interpersonal violence seems to increase in frequency after about A.D. 500 (Lambert 2002; Milner 1995; cf. Steinbock 1976:23), and particularly among Mississippian cultures (A.D.

900-1600) of the Southeast, though it is unclear as to whether or not this represents a true increase in frequency, or simple sampling error due to the greater visibility of Mississippian cemeteries on the landscape. It has been suggested that “warfare was important in the formation and maintenance of chiefdom societies” (Lambert 2002:228; echoed by Dye 2006), though Lambert also speculates that later prehistoric violence may have something to do with competition for declining resources and increasing population sizes, exacerbated by climate change associated with the Medieval Warm Period (A.D. 800-1300) or the Little Ice Age (A.D. 1400-1850; Lambert 2002).

Milner (1995:222) also points out that in many models of the development of social and political complexity, management of conflict is part of an individual or group’s rise to power, as might have been seen among developing Mississippian chiefdoms. Furthermore, the Late Woodland and Mississippian periods were in general times of increasing population and increasing sedentism in which communities were tied more closely to their farmland and to their stored commodities (Milner 1995), which might have been valuable enough to cause populations to stand and fight. Mississippian chiefs may also have felt the need to meet ideological and ritual obligations by engaging in warfare as did the supernatural heroes they may have emulated (Dye 2006) or whose identity they may even have assumed. Interestingly, rates of interpersonal violence during the Mississippian in both east and west-central Tennessee seem to vary by level of sociopolitical integration (Kuemindrews 2001; Smith 2003), and also by motive, suggesting that smaller scale late prehistoric communities were fighting within the community, and not in combat with other groups, as the larger

scale Mississippian groups appear to have done (Smith 2001, 2002, 2003). As we begin to better understand the economic, social, and political complexity of Archaic societies, it will be instructive for bioarchaeologists to consult these explanatory frameworks that have been developed for later prehistoric societies.

## **Conclusion**

Some time in the last ten millennia, in what is now Middle Tennessee, a young man in his 20s or early 30s experienced a traumatic encounter with one or more assailants that resulted in his death. This lethal attack left him with a projectile point embedded in his left femur. Without the rest of this individual’s remains, and without the archaeological context from which to gauge the time period in which this person lived and died and what kind of burial, if any, he received, it is difficult to say anything more about the circumstances of his death. We can only conclude that he died violently.

The cave from which his remains were recovered is located in a bluff line overlooking the Cumberland River floodplain, but there are no known point resources associated with this locality or the general vicinity which might have been worth fighting over. The cave itself appears to have yielded mineral resources during both the prehistoric and historic eras, but many if not most caves in middle Tennessee and southern Kentucky also bear minerals that have been routinely mined over the centuries, so it is not likely that the particular resources located in the cave itself were the source of conflict. There is clear evidence from throughout Tennessee and the midsouth that prehistoric peoples engaged in interpersonal and intergroup violence from at least the Middle Archaic through late prehistory. The violence done

to the remains we examined may have been part of a personal conflict with an individual enemy, or it may have been part of a larger pattern of violence associated with resource restriction, territorialism, and the rise of individual power within increasingly complex societies throughout prehistory.

Lacking the rest of this individual's remains and the context of his burial, we can only speculate that he may have met an untimely end due to various forces ranging from simple interpersonal violence to more wide-ranging conflict resulting from broad trends of culture change within the Archaic societies of Middle Tennessee. Whatever the social context of this individual's unfortunate death, we believe it is important to report this incident in the professional literature in order to bring it to the attention of fellow researchers and to add to the growing body of data on human violent trauma in the Archaic period of Southeastern prehistory. Furthermore, our report of the remains themselves is deliberately clinical, in order to provide as much comparative information as possible for our bioarchaeology colleagues. Because of the sensationalist nature of human interpersonal violence, most early reports are more salacious and less substantive, and we find ourselves returning to original skeletal collections to examine bone trauma firsthand. More recent reports such as those we cite in this overview are of course far superior for comparative purposes, but the literature in general would benefit from a degree of standardization. Given the present reality of repatriation and reburial of human skeletal remains from archaeological contexts, an additional goal of this research report is to model a format for standardizing future reporting of human skeletal trauma, to make available as much information as possible in the published litera-

ture for future researchers who may not have the opportunity to revisit skeletal collections firsthand.

*Notes.*

<sup>1</sup> The exact location and identification of this cave site have been deliberately obscured in this report, in order to deter additional collecting at this site. Individuals with legitimate need to know more about these remains and their original location may contact the authors of this paper: Shannon Hodge (shodge@mtsu.edu) and Hugh Berryman (berryman@mtsu.edu).

<sup>2</sup> Powell (1996:125) reports six individuals with embedded projectile points from Indian Knoll, rather than the five noted here.

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Shannon Chappell Hodge  
Department of Sociology and Anthropology  
Middle Tennessee State University  
Murfreesboro, Tennessee 37132

Hugh E. Berryman  
Department of Sociology and Anthropology  
Middle Tennessee State University  
Murfreesboro, Tennessee 37132

# NEW FINDS OF PALEOINDIAN AND EARLY ARCHAIC SITES ALONG SULPHUR FORK IN MONTGOMERY COUNTY, TENNESSEE

Aaron Deter-Wolf and John B. Broster

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*During the winter of 2008, staff from the Tennessee Division of Archaeology conducted reconnaissance and test investigations at two sites (40MT1041 and 40MT1043) situated within a planned residential development along Sulphur Fork in Montgomery County. These investigations resulted in the recovery of Paleoindian and Early Archaic materials at 40MT1041, including three blade endscrapers, a blade knife, and three Kirk Corner-Notched projectile points. A Kirk Corner-Notched (var Pinetree) projectile point was recovered from 40MT1042.*

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In January and March of 2008, Tennessee Division of Archaeology personnel conducted archaeological reconnaissance investigations within a proposed residential development located along the Red River in Montgomery County (Figure 1). This planned development is situated along the left (descending) bank of Sulphur Fork approximately 1.6 km (1-mile) above its confluence with the Red River. An initial visit in January identified two previously unrecorded prehistoric sites (40MT1041 and 40MT1043) based on surface scatters of lithic debitage along road cuts. The developers agreed to allow TDOA staff to perform additional investigations at both sites in order to retrieve data and artifacts from site areas not impacted by the initial road cuts. This work took place during March of 2008, and resulted in the identification of heavily eroded Paleoindian and Early Archaic components.

Sites 40MT1041 and 40MT1043 are situated between 500–520 feet AMSL along the crest of a limestone escarpment that rises approximately 100 feet above the floodplain of Sulphur Fork (Figure 2). The karst topography of the area features multiple sinks and underground drainages. A deeply incised

stream channel flows northwest at approximately 450 feet AMSL and forms the southern boundary of the landform that contains the two sites. This channel flows into a sink immediately south of 40MT1041, and emerges along the northern face of the escarpment to feed Sulphur Fork.

Both sites had been heavily impacted prior to the TDOA investigations by extensive grading and infill along a planned residential drive. This road footprint bisected the ridge crest containing 40MT1041, and cut through the southern portion of 40MT1043 before terminating in a cul-de-sac also within the 40MT1043 site area (Figure 3). Terrain along the road was graded up to six feet below

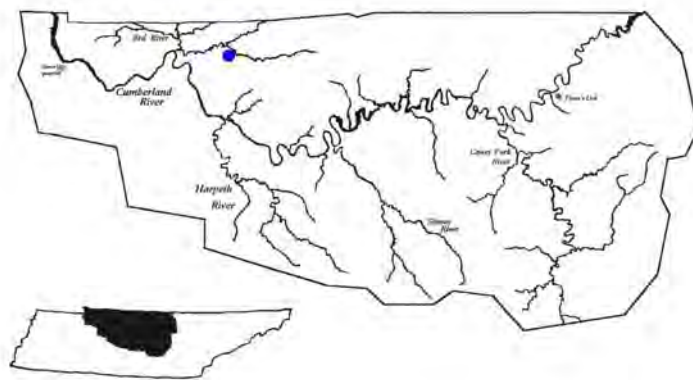


FIGURE 1. General location of sites 40MT1041/1042.

original ground surface, resulting in the destruction of approximately 75–80 per cent of both sites.



FIGURE 2. Sulphur Fork from 40MT1041 (view northwest).

### Site 40MT1041

A ground surface examination at 40MT1041 revealed the remaining site area north and south of the road cut was littered with lithic material, including a variety of tools and other temporally sensitive materials. Artifacts collected from the site surface consisted of materials originating in the Paleoindian and Early Archaic periods. Paleoindian specimens included blade-like flakes, three overshot flakes, two blade endscrapers, an additional blade endscraper with a graver tip (Figure 4-A), and a blade knife with natural cortex backing (Figure 4-B). Similar artifacts have been documented at Paleoindian sites in Middle Tennessee, and along the Tennessee River in Benton and Humphreys Counties (Broster

and Norton 1990, 1996; Broster et al. 1996). Both prismatic blades and blade endscrapers were recovered from Stratum IV at site 40DV400, along the Cumberland River near Nashville. Radiocarbon assays from that level returned uncorrected dates of between 11,700 $\pm$ 980 and 11,980 $\pm$ 110 B.P. (Barker and Broster 1996).

Temporally sensitive Early Archaic materials recovered from the site surface included the serrated midsection of a Kirk Corner-Notched (*var Pinetree*) projectile point and the bases of two Early Archaic Kirk Corner-Notched (*var Kirk*) points (Figure 4-C). The Kirk Corner-Notched horizon at 40SW228 on the lower Cumberland River returned two radiocarbon dates of 8490 $\pm$ 180 and 8820 $\pm$ 180 B.P. (Norton and Broster 1993). At 40DV400, Stratum III contained Kirk Corner-Notched projectile points and yielded seven uncorrected radiocarbon assays ranging between 8810 $\pm$ 80 and 9555 $\pm$ 90 B.P. (Barker and Broster 1996).

Following the surface collection, a se-



FIGURE 3. View of sites 40MT1041 (foreground) and 40MT1043 (background) along the residential road cut, facing east.



FIGURE 4. Selected artifacts from 40MT1041: (a) blade endscraper; (b) blade knife with natural cortex backing ; and (c) Kirk Corner-Notched projectile point.

ries of shovel tests were excavated at selected locations north and south of the road cut. Soil stratigraphy revealed in the shovel tests consisted of less than 2.0 cm (0.7 inches) of forest duff and light grey clay loam immediately overlaying yellow-grey silty clay subsoil with orange and white mottling. This lower stratigraphic level transitioned to red-orange clay beginning 9–30 cm (3.5–11.8 inches) below surface.

These test excavations retrieved a light scatter of lithic debitage present only within the upper 2–4 cm (0.7–1.6 inches) of soil. This stands in sharp contrast to the high quantity of debitage and tool forms visible on the ground surface, and indi-

cates that site deposits have been entirely deflated as a result of erosion. No temporally diagnostic artifacts were identified within the shovel tests, and there were no indications of buried intact cultural deposits or features at the site.

#### Site 40MT1043

Surface inspections at 40MT1043 observed a light scatter of lithic material along the southern slope of the landform immediately adjacent to the road cut (Figure 5). The majority of lithic material noted during surface inspections consisted of tertiary stage flakes, flake fragments, and tool fragments. However, the site also





FIGURE 5. View of site 40MT1043, facing east.

yielded an intact and extremely well-crafted example of a Kirk Corner-Notched (*var Pinetree*) point. That artifact was recovered from an erosional wash, and obviously disturbed from its original context (Figure 6).

The Pinetree variety of Kirk Corner-Notched is associated with the Early Archaic period. A number of serrated points belonging to this type category were recovered from Stratum III at 40DV400 on the Cumberland River. As mentioned above, radiocarbon assays from Stratum III ranged between 8810 $\pm$ 80 and 9555 $\pm$ 90 B.P. (Barker and Broster 1996).

Shovel tests were excavated at selected locations north of the road cut along the crest of the landform. These ex-

cavations did not result in the recovery of any additional artifacts. Soil stratigraphy in the shovel test profiles consisted of up to 3.0 cm (1.2 inches) of topsoil overlaying yellow-grey silty clay subsoil with orange and white mottling. The subsoil transitioned to red-orange clay 10–25 cm (3.9–9.8 inches) below surface. There were no indications of buried intact cultural deposits at 40MT1043.

According to the property developers, soils graded from 40MT1041 were used as road fill along the southern portion of the landform containing 40TM1043. As a result of this process, deposits from both sites have been mixed together in the area south of the road and cul-de-sac. Both Paleoindian and Early Archaic period



FIGURE 6. View of Kirk Corner-Notched (*var Pinetree*) projectile point from 40MT1043.

artifacts will undoubtedly continue to erode from this area in the future.

### Concluding Remark

The TDOA investigations at sites 40MT1041 and 40MT1043 during the winter of 2008 recovered a variety of Paleoindian and Early Archaic artifacts. Road construction associated with a proposed residential development had severely disturbed the majority of both sites. Although numerous lithic artifacts were present along the surface of site 40MT1041, test investigations revealed the site was entirely deflated through soil erosion prior to development efforts. The surface of site 40MT1043 displayed a light lithic scatter, and subsequent test excava-

tions determined this site area was also deflated. No intact archaeological deposits were documented at either site. It is likely the remainder of both sites will soon be destroyed during construction of the planned residential lots.

*Notes.* A limited collection of temporally sensitive artifacts and debitage were collected from the 40MT1041 and 40MT1043 site surfaces. These items are stored at the Tennessee Division of Archaeology office in Nashville.

*Acknowledgements:* The authors thank Robert Schutz and Terry Crocker of R&C Properties for providing access to the sites. Mark Swan and TDOA Archaeologist Ben Nance were present during the initial site visit, and recorded a historic cemetery (40MT1042) also within the planned development. Finally, TDOA Site Files Coordinator Suzanne Hoyal and State Archaeologist Mike



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Aaron Deter-Wolf  
Tennessee Division of Archaeology  
1216 Foster Ave. Cole Building #3,  
Nashville TN 37243

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Tennessee Division of Archaeology  
1216 Foster Ave. Cole Building #3,  
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# THE CUMBERLAND STONE-BOX BURIALS OF MIDDLE TENNESSEE

John T. Dowd

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*This report presents the observations and speculations of an avocational archaeologist with over 40 years of experience on Middle Cumberland Mississippian sites and other prehistoric occupations across the Nashville Basin. Excavations results from the West (40DV12) and Gordontown (40DV6) sites are used to define the Cumberland Stone-Box grave type. Cumberland Stone-Box graves are generally form-fitting to the interred individual, and may incorporate a variety of materials for coffin construction and floor preparation.*

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The Nashville Basin has long been recognized for the stone-box type of burial found on Mississippian period sites. Whether this mode of burial started here or not is a matter of conjecture. But an interesting fact to consider is that thousands of stone-box burials have been recorded in the Nashville area.

Stone-box burial is a description often used in the archaeological community for Mississippian period burials. Some archaeologists have used the term for any burial where stone was used to manufacture the grave. A stone-box grave is just what the name implies, a coffin of stone slabs shaped like a box. This term can be further examined when you consider the stone-box burials found in the Cumberland River Valley of middle Tennessee that are often referred to as "Cumberland Stone Boxes". The stone-box burial found in other southeastern states, and in some states to the north, is usually rectangular and roomy. But a Cumberland Stone Box is more shaped to the body, somewhat wider at the head and narrower at the feet (Figure 1).

The purpose of this report is to better define the "Cumberland Stone Box". This report will also present the varieties of stone-box graves found on Middle Cumberland Mississippian sites, the different materials used to construct the coffin, and the various methods used to bury the



FIGURE 1. Example of Cumberland Stone-Box burial from Gordontown (40DV6).

dead.

As far as I know, the term "Cumberland Stone Box" was first coined by Robert (Bob) Ferguson in 1972. At that time, Bob Ferguson was the Assistant

Manager of Radio Corporation of America (RCA). He, along with Dr. Ronald Spores of Vanderbilt University and others in the Nashville area, started an organization called the Southeastern Indian Archaeological Survey (SIAS) in 1967. This organization exists today as the Middle Cumberland Archaeological Society (MCAS). The SIAS was responsible for much of the archaeological work in Middle Tennessee during the 1960s and early 1970s. The Tennessee Division of Archaeology was created in 1970, but staff was not hired until 1972 (SIAS/MCAS members have assisted the Division of Archaeology as volunteers on many projects). In the SIAS publication *The Middle Cumberland Culture*, Ferguson noted the differences between a regular stone box and what he called a "Cumberland Stone Box" (Ferguson 1972:15). These differences are what I explore in this report.

### Case Studies

The two Mississippian period sites used for this study are West (40DV12) and Gordontown (40DV6). Gordontown represents an upland fortified town in the Mill Creek headwaters, whereas the West site is a large village/cemetery established along the east bank of the Cumberland River (Dowd 1972; Moore and Breitburg 1998; Moore et al. 2006). These sites were selected for two reasons: (1) they contain all the varieties of the Cumberland Stone-Box graves that I am aware of; and (2) I was intimately involved in the excavation of both sites. Also, vandals have looted numerous stone-box graves in Middle Tennessee over the years. The West site was practically undisturbed, and disturbances to the Gordontown cemetery areas were relatively minimal.

### West (40DV12)

The West site is located in Davidson County, Tennessee on a bend of the Cumberland River known as Bells Bend. William Morris West owned the site area in the 1960s when the first stone-box graves were excavated there. When Mr. West struck a stone-box grave while plowing his field, he would flag the spot and call Mr. Buddy Brehm (a friend of his and a well-known amateur archaeologist). They would excavate the grave together, and over the years 15 graves were discovered and removed. Most of these graves were located in the village area of the site. The main cemetery was in a small corner of the site and rarely plowed because of the density of stone in that area. Since the site was located on the river it was easily accessible by boat, and Mr. West often chased off pothunters who were attempting to dig into the graves. Seeing that the graves were in danger of being looted, Mr. West asked Mr. Brehm if he could properly excavate the cemetery area. Buddy Brehm was also a friend of mine and asked if I wished to accompany him in this endeavor. I gladly accepted the invitation. Before we would begin this project, Mr. West passed away, but when we explained the situation to the new landowner, Mr. Clarence Siegrist, he graciously allowed us to continue.

By this time much had been written about stone-box graves, but most authors were focused on the ornate grave goods. Little attention was given to the stone box itself. We planned our work with this in mind, and hoped to be different as far as our abilities and equipment allowed. We laid a grid over the cemetery and began our work in March of 1970. Over the next two years we excavated the entire cemetery of 50 stone-box graves. Our investigation results were published as *The*

*West Site: A Stone Box Cemetery in Middle Tennessee* (Dowd 1972). The human skeletal remains were sent to the University of Tennessee in Knoxville. The recovered artifacts, along with the project records, are presently stored at the Frank H. McClung Museum at the University of Tennessee in Knoxville.

### **Gordontown (40DV6)**

Gordontown occurs in extreme southern Davidson County, Tennessee about a mile north of the Williamson County line. The site has access to two springs that feed Brentwood Branch, a small upland tributary that joins Sevenmile Creek which runs into Mill Creek that eventually flows into the Cumberland River. Dr. Joseph Jones first investigated the site in the late 1860s (Jones 1876:37-38). Mr. Edwin Curtiss examined the site a short time later in 1877 for the Peabody Museum (Moore 2004). In 1920, William Edward Myer conducted an examination for the Smithsonian Institution, Bureau of Ethnology (Myer 1928). Both Curtiss and Myer drew detailed maps of the site area (Moore et al. 2006). Each map showed a large area with several mounds enclosed by a palisade wall.

The site stood virtually untouched for the next 60 years or so until 1984 when Mr. Edsel Charles (President of Homes by Heritage, Inc.) purchased the area for residential development. Prior to this time, Native American graves had no protection under the law and were merely bulldozed away in the face of construction. In 1984, a cemetery vandalism law was passed that included protection for Native American graves (Moore 1989, 1998). Gordontown was the first site to come under that law. Mr. Charles knew the land contained a prehistoric site and was aware of the new burial law. He notified the Division of

Archaeology when the first roads cut into the site hit graves. Archaeologists from the Division were sent to evaluate and excavate the newly discovered graves. I always had an interest in this particular site so I jumped at the opportunity to work as a volunteer. This worked out good for me as I had recently taken early retirement due to the breakup of A.T.& T.

During a meeting held one evening at the site, Mr. Charles acted upon a suggestion (by then State Archaeologist Nick Fielder) to hire me to oversee the removal of burials from the site, and act as a liaison between the builder and the Division of Archaeology. The only legal obligation Mr. Charles had was to remove the burials, but he graciously allowed us the opportunity to explore non-mortuary features such as structures and trash pits. We examined one house lot at a time. As the bulldozer operator carefully scraped the surface, each discovered grave would be flagged. A crew would excavate the flagged burials on one lot, as the bulldozer operator and monitoring archaeologist would work the next lot. The builder would not start construction on a lot until the burials had been removed. With this system we pretty well stayed out of each other's way. Over the period of late fall 1985 to June 1986, 85 graves were excavated along with three house sites, nine trash pits, five hearths, and a palisade section (Moore and Breitburg 1998).

### **Cumberland Stone Box Description**

The Cumberland Stone Box primarily occurs in the Nashville Basin and Western Highland Rim physiographic provinces. Stone-box construction styles start to change once you get outside these provinces. Differences between the Cumberland Stone Box and other general stone-box graves can be summarized through



FIGURE 2. Limestone outcrop along stream bed.

the size and fit. I mean that the general stone box is usually rectangular and a bit more “roomy”, whereas the Cumberland Stone Box is more form-fitting (wider at the head and narrower at the foot). I have observed some Cumberland Stone Boxes so tight-fitting that you wonder how the body was inserted into the allotted space.

### **Construction Materials for Cumberland Stone Boxes**

Limestone was by far the most common material used to construct Cumberland Stone Boxes. Although far less common, the next most frequent construction material was shale, followed by pottery and perishables.

#### ***Limestone***

Limestone was the most common material used in stone-box grave construc-

tion in Middle Tennessee due to its’ availability. In East and West Tennessee, limestone is not exposed at the surface because of a cover of younger strata. That is not the case in Middle Tennessee, where practically every stream has a limestone outcrop somewhere along its banks (Figure 2). Such outcrops usually display thin, tabular layers that made them ideal for the native occupants to use as burial materials.

Limestone is a sedimentary rock composed of the mineral calcite (calcium carbonate). Most limestones are formed from accumulation of the remains of corals, mollusks, starfish, sea urchins, crinoids, and other sea creatures. Calcium carbonates found in large amounts (such as an Archaic shell midden heavily laced with mussels and gastropods) have the capacity to neutralize the soil acidity, thus allowing for near perfect bone preservation. The same holds true, albeit to a lesser



FIGURE 3. Pan sherds used to construct Burial 11 coffin at the West site, 40DV12 (scale held by Buddy Brehm's grand-daughter Cindy ca. 1970).

degree, for the limestone used in stone-box graves. This material aids in the preservation of the enclosed human skeletal remains.

### **Shale**

Shale is occasionally mistaken as slate, which at times leads to some confusion whether a Middle Cumberland Mississippian stone-box grave was made with locally available material (shale) or a non-local resource (slate). Shale occurs in an estimated five percent (or less) of the Nashville area stone-box graves, probably due to its scarcity rather than from choice. Shale, also a sedimentary rock, is composed of clay, quartz, mica, biotite, chlorite, hematite, and other minerals. This material has no neutralizing effect on soil acidity, therefore stone boxes made of shale usually have poorer bone preserva-

tion than those of limestone. Shale has two lines of breakability (cleavage and grain) that makes it more workable for obtaining thin, smooth slabs. This workability actually allows for a more uniform and tight fitting stone box than can be produced with limestone. Combinations of limestone and slate were sometimes utilized to construct the same box (Moore and Smith 2001).

### **Pottery**

Pottery, often used as floors in stone boxes, is rarely utilized in place of stone to construct the box walls and cap. The few box graves constructed of pottery are usually made with thick pan sherds (Figure 3) as noted for Burial 11 at the West site (Dowd 1972:23-23). Such graves are usually those of small infants (Moore and Smith 2001).



### **Perishable Materials**

Sometimes burials are found on Mississippian period sites with no visible signs of an outer container or coffin. Wood or animal skins could possibly have been used as the outer box cover, and then decompose with no evidence left behind.

### **Cumberland Stone Box Construction**

As far as I know, there are no early historical or ethnological accounts that describe the actual construction of a stone box. While a professional archaeologist is required to stick to the facts derived from archaeological evidence, the amateur archaeologist (right or wrong) can use his imagination to speculate what events might have happened prior to, and during, construction of a stone box.

When a death occurs today in our culture, the immediate nuclear family is solely responsible for the funeral preparations such as hiring the services of a funeral home, buying flowers from a florist, and making provisions with a minister or church for the final services. Prehistoric Native American deaths were likely handled in a very different manner. A death on a Middle Cumberland Mississippian site probably not just affected the immediate nuclear family, but the whole village as well.

In a small village, many of the residents likely helped with the burial proceedings. Transporting the stone slabs needed to construct a stone box would be a major undertaking, depending on the distance traveled to obtain the stone and the number of people involved. Although it is possible that stone slabs were stockpiled on the site for the purpose of constructing stone boxes, this scenario seems very unlikely. Who keeps a coffin

in their garage or basement knowing that death is inevitable?

A hole must be dug after the stone slabs have been gathered. Considering the primitive digging tools available at this time, this activity would be a major undertaking on a good day. Just think if this digging was to be done after three or four days of rain, or in the dead of winter when the ground was frozen. A fire could have been used to soften up the frozen earth. This action might explain why charcoal is often found during the excavation of a stone-box grave. Such charcoal might also be the result of a fire needed for light to dig a hole at night. Or, a fire may have been built after the individual was interred to discourage predators from digging into the grave.

The hole would have to be big enough to fit the individual to be buried, as well as allow for the widths of the stone slabs (sidestones, endstones, and topstones) used to build the box. How deep the hole was dug for the burial is a matter of conjecture, as today it is hard to tell due to changes in the modern landscape. At the West site, the depth from ground surface to the grave topstones varied anywhere from a few inches to two feet (Dowd 1972). Since this site was on the first terrace of the Cumberland River, the accumulation of silt from hundreds of years of flooding must be considered. Gordontown, on the other hand, is an upland ridge site never plowed by a tractor. Virtually all of these graves were only a few inches under the ground surface.

Once a proper sized hole had been dug, an implement like a digging stick was used to outline the form of the deceased. A trench was then dug to allow for the sidestone width and deep enough to anchor the sidestones (Figure 4). Then a floor of thin limestone slabs, pottery sherds, mussel shells, animal hides, bark,

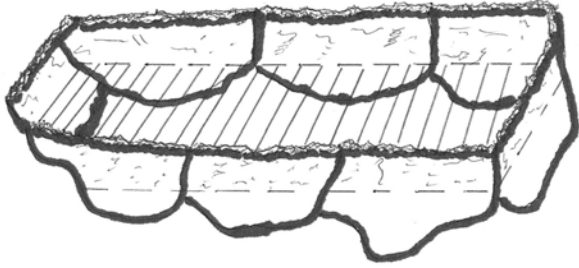


FIGURE 4. Sketch of stone-box construction.

and/or grasses would be put in place. Ceremonies undoubtedly accompanied placement of the body, with grave goods put in place as well. Afterwards, topstones were placed usually in a "laced" manner with one overlapping the other. There is evidence that sidestones were often chipped off at the top to allow the topstones to site evenly. The earth removed from the hole was then applied over the stone-box grave to make a small mound. Over time the mounded earth would spread out and seep into the box. How much dirt would seep in, and how long this would take, depends on such factors as the time of year the burial occurred, rainfall amounts, and box integrity.

Buddy Brehm and I conducted an experiment at the West site to see how much soil would filter into a well-made stone box within a one-year time frame (Dowd 1972:37, 71-72). We placed a number of articles in an empty stone box and capped it with the topstones used in the original burial. We came back one year later and observed water marks about two inches high inside the stone box. Also, the articles we had placed inside the stone box showed extensive displacement. Soil had slightly filtered in around the corners, so it seems that it would take many years to completely fill the box. A dirt-free hollow box could be reused for quite some time, and also provide an ideal home for small-medium size mammals such as mice or groundhogs.

A poorly constructed box, where the sidestones were not anchored at a proper depth below the floor of the grave, would sometimes collapse from ground pressure and weight of the topstones. Also, if the topstones did not overlap the sides of the grave properly, they would often cave in on the burial. The "hollow" nature of a stone box likely explains the displacement of skeletal remains (by water or small mammals) observed at times during excavations on Mississippian period sites. If markers were used to denote the grave locations, they were probably something simple (possibly a piece of cane or other material with family markings on it?) that did not last through time.

### **Topstones**

Information on the depth of stone-box graves from ground surface to topstones and the number of slabs used to cap a stone-box grave is not available for many Mississippian burials or cemeteries in the Nashville area. This is because many of these sites were discovered by construction activity that removed most, if not all, of the topstones (Figure 5). Years of agricultural activity also removed many topstones.

Such records were kept at the West site (Dowd 1972:20-21). The depth from ground surface to stone-box topstones ranged from 6 to 24 inches. The number of topstones used for a stone box ranged from 0 (probably dragged off) to 20, although five or six good size slabs were generally used.

Burial 29 had 20 topstones but was unusual in many other ways (Dowd 1972:32-33). This particular grave did not conform to the average Cumberland Stone Box, as it was quite "roomy" with 6 inches of space from the top of the skull to the end of the box. This grave had a pottery floor with two layers of sherds



FIGURE 5. Intact topstones for Burials 64 and 65 at Gordontown, 40DV6.

(n=450), and contained the remains of one extended adult, one adult bundle burial, and the partial remains of a small child (skull and a few other elements). A stone discoidal was found in one corner of the grave. There were enough extra topstones used for Burial 29 that one could speculate a complete stone box had been "uprooted" and applied to this burial.

### **Floors**

Cumberland Stone-Box grave floors are made of stone, pottery, shell, dirt, perishables, or some combination. Many early archaeological reports on Mississip-

pian period sites with stone-box graves do not include tables with grave floor information, although this information is readily available from the individual burial records. The percentages of stone-box floor types admittedly varies from site to site. At the West site, roughly 50% of the floors were stone, with 25% dirt, and 15% pottery. The remaining 10% of floors were shell, or combinations of stone and pottery (Dowd 1972:20-21).

### **Stone floors**

The thinnest limestone slabs on hand appear to have been used in flooring the



FIGURE 6. Limestone slab floor for Burial 80 at Gordontown, 40DV6.

grave (Figure 6). Completeness ranged from the whole floor covered to only a single slab placed under the head of the buried individual. On occasion, an engraved slab beneath the head has been found (Ferguson 1972:41). Many more may have been missed by not being properly examined.

### **Pottery floors**

The percentage of stone-box graves with pottery floors varies from site to site, but such floors commonly occur in stone-box graves from Middle Cumberland Mississippian sites (Figure 7). It is evident that many graves had large pieces of pottery placed on the floor and stepped on to flatten them out. Vessel constructions



FIGURE 7. Pottery floor for Burial 69 at Gordontown, 40DV6.

from pottery floors often reveal significant portions of large jars or other vessel forms. Other graves include non-constructible sherds from apparently different vessels suggesting they comprised miscellaneous pieces randomly selected from available refuse.

Sometimes near-complete vessels were used to make the floor. One pottery floor from a stone-box grave at the Arnold site (40WM5) yielded a large jar with direct rim and bifurcate lug handles, a short neck jar with constricted orifice, and a small pan (Ferguson 1972:23). None of these three were complete, and the large jar and pan showed thinning where the pieces were missing (Figures 8-10). This observation suggests these vessels were worn out, rather than useful vessels that





FIGURE 8. Reconstructed large jar with direct rim and bifurcate lug handles from pottery floor sherds in stone-box grave at the Arnold site, 40WM5. One of three vessels represented.



FIGURE 9. Reconstructed short neck jar with constricted orifice from pottery floor sherds in stone-box grave at the Arnold site, 40WM5. One of three vessels represented.

had been ceremonially "killed".

Most pottery floors have a single layer, but sometimes they have double layers. For example, the previously mentioned Burial 29 from the West site with two layers made up of 450 sherds (Dowd 1972:32-33). About one-fourth of a large bowl with an appliqué rim was restored from these sherds, along with large sec-

tions of various bowl and jar forms.

### Shell floors

Mussel shells from local stream beds were rarely used for Cumberland Stone-Box grave floors. These shells were usually placed with the exterior of the shell facing upwards. A good example of a mussel shell floor was found in Burial 36 at the West Site (Dowd 1972:37-39).

### Dirt/Perishable Floors

Dirt and perishables have been grouped together as they would appear the same in an excavated grave. I would argue that anyone who would go to all the work and care in building a stone coffin would not place their beloved on the bare earth, but would use a barrier or cushion such as animal hides or plant material (bark, grass, etc). Of course, these perishable items would leave behind no evi-



FIGURE 10. Reconstructed pan from pottery floor sherds in stone-box grave at the Arnold site, 40WM5. One of three vessels represented (held by Lynda Dowd, ca. 1970).



FIGURE 11. Skeletal remains of small child and owl effigy hooded bottle moved to end of stone-box, recorded in Burial 35

dence of them ever being there, and would appear to the excavator as being simply a dirt floor.

### Reuse of the Grave (Multiple Burials)

Cumberland Stone-Box graves were occasionally reused. This is a fact, although the circumstances for such reuse are not. At the West site, grave reuse was found in about 50% of the graves (Dowd 1972:20-21). Burial 6 contained five individuals, but two individuals in a grave is much more common. The percentage of multiple burials could depend on many things, but a primary factor would seem to be the length of time the site was occupied. Most likely, multiple individuals in the same stone-box grave are family related. If this is in fact true, then the native groups would need some visible way of identifying their family grave for reuse. As previously mentioned, such identification would likely be simple such as a piece of cane or wood with family markings. Something like this, of course, would last but for a short period of time.

Graves were reused in different manners. For a grave that contains two extended burials that lay on top of each other, one might assume they died at the same time. However, it is more probable

that they died within a short span of time of each other and the original burial had not yet decomposed.

When ample time had passed for the original burial to decompose and the grave was to be reused, the bones of the first buried individual were pushed to the sides or end of the box and the new individual placed in an extended position. Burial 35 at the West site represented a good example of this reuse (Dowd 1972:37). The skeletal remains of a small child with an owl effigy hooded bottle were pushed to the end of the stone box, and another small child was placed in the grave in an extended position with a fish effigy bowl as a grave offering (Figures 11-12). This shows not only how a grave was sometimes reused, but that grave goods placed with the original burial (no matter how elaborate) were intended to stay with the individual.

Burial 38 at the West site showed reuse of a stone box in the strictest use of the word (Dowd 1972:38-40). The coffin contained an adult placed in an extended position, but several arm bones not asso-



FIGURE 12. Owl effigy hooded bottle associated with individual initially placed in Burial 35 at the West site, 40DV12.





FIGURE 13. Flexed individual in large square stone-box, Burial 84 at Gordontown, 40DV6.

ciated with this particular individual were at the foot of the box. Additional excavation around this burial yielded scattered skeletal elements indicating this stone box had been essentially cleaned of the remains belonging to the original buried individual to make room for the current occupant. This apparent disrespect for the dead represents an unusual and very rare occurrence.

### Other Stone-Box Grave Styles

By far the most common type of burial found on Middle Cumberland Mississippian stone-box sites is the rectangular, form-fitting coffin described in this report as the Cumberland Stone Box. But, there

are several other variations that represent a very small percentage of the stone-box burial assemblage. These variations include: (1) large square stone box; (2) small square stone box; (3) stone box without a body; and (4) bodies without any apparent covering.

### **Large Square Stone Box**

This stone-box style is often referred to as a "square stone box" when they are actually wide rectangular stone boxes (longer in length and shorter in width) with the appearance of being square. These boxes are usually very robust and made of very large and thick limestone slabs. Even the topstones are made with large stone slabs. Sometimes the side and endstones have double layers. The floors of this burial type are dirt or large stone slabs. Never have I seen this burial type with a pottery floor.

These graves with flexed burials have been recorded on a number of Middle Cumberland Mississippian sites. Five such stone-box graves (Burials 10, 33, 76, 79, and 84) were reported at the Gordontown site (Moore and Breitburg 1998:41-44). In fact, all of the large square stone boxes I excavated at Gordontown and other Middle Cumberland Mississippian sites contained flexed or partially-flexed adults. Grave goods are not commonly found with individuals buried in this stone-box style, but when such goods are present they tend to be some form of cooking vessel. Burial 84 at Gordontown was a large "square" stone box that contained a well-preserved adult female in a flexed position (Figure 13). A poorly preserved wide-necked bottle had been placed on top of the cranium near the corner of the box (Moore and Breitburg 1998: 268-269).

Square stone boxes generally occur in close proximity to each other, and often

near a house site that suggests they contain family groups. The families that used square stone boxes could have moved to Gordontown from another region or site where that style was the custom.

### **Small Square Stone Box**

Small square stone boxes found on Middle Cumberland Mississippian sites have but one purpose, redeposited burials. These coffins are made just large enough to accommodate the reburial, and I think it is probable these burials were for the final placement of skeletal remains transported by a family moving from one location to another. Such stone-box graves may be small and square like Burial 42 at the West site (Figure 14) that measured just ten inches by seven inches, and contained the bones of a small child one to two years of age (Dowd 1972: 41-42). Perhaps this individual had been buried in another location originally and later moved to be near a parent who had recently died. Or, they may be small and rectangular like Burial 7 at Gordontown (Figure 15). In this particular grave the cranium had been placed in the center and the long bones stacked neatly before it (Moore and Breitburg 1998: 203-204).

### **Stone Box Without a Body**

Many graves fitting this description were found in the 19th century as thousands of stone-box graves were opened, but today they are considered rare. Jones (1876:9) gives the following account in his *Explorations of the Aboriginal Remains of Tennessee*:

*Some of the small graves contained nothing more than the bones of small animals or birds. The animals appeared to be a species of dog, also rabbits, raccoons, and opossums. The bones of the birds ap-*



FIGURE 14. Small square stone-box, Burial 42 at the West site, 40DV12.



FIGURE 15. Small rectangular stone-box, Burial 7 at Gordontown, 40DV6.

*peared to belong to the wild turkey, eagle, owl, hawk, and wild duck.*

Jones provides a good account of the contents of such a grave, but says nothing of the stone box itself. A good example of a relatively undisturbed stone box with no human occupant inside comes from one of the early burials exposed at the West site, Burial 12 (Dowd 1972:24-25). The box was 32 inches long by 10 inches wide but contained no human bones inside, only a miniature notched-rim bowl that held an assortment of mammal, bird, and fish bones (Figure 16). The box had been



FIGURE 16. Miniature notched-rim bowl and faunal remains inside Burial 12 at the West site, 40DV12.

filled with dirt that contained the same kind of bones, then a layer of pottery sherds was laid, and then the topstones applied.

Most of the topstones had been plowed away when the grave was discovered in 1964. The grave was in the village area of the site where yearly plowing took place. At this time, as there was no one locally who could identify the animal bones, a sample was sent to Dr. Alfred K. Guthe at the Frank H. McClung Museum at the University of Tennessee in Knoxville. Dr. Guthe replied in a letter dated July 21, 1964 that he was really not qualified to identify faunal material, but he could distinguish some of them as turtle, opossum, otter, and fish. Other mammal and bird bones were also present in the sample but not identified.

Burial 12 could possibly have been the stone-box grave of a child whose bones had been removed, but why all the faunal material? And if that was the case, why was the associated notched-rim bowl not also removed? One might argue that this was some sort of ceremonial burial. One possible scenario (albeit pure speculation) is that since the West site is located on

the Cumberland River, the river had to be a playground for village children. Surely there was an occasional drowning, and if a small child had drowned and the body never recovered, then a proxy burial may have been made. We have memorial funerals such as this in today's society. Perhaps mammal, fish, and bird bones were substituted since no body was found. Here again, just speculation on my part.

### ***Burials Without Apparent Covering***

The cemetery area at the West site was sectioned off in 10-foot blocks. Every foot of each block was systematically probed with a steel rod to locate the capped stone-box graves. It's possible that individuals buried without a stone box might have been found if heavy-machinery had been used to scrape off the surface.

On the other hand, heavy machinery scraped the surface at Gordontown to subsoil. Features and burial pits were easily identified using this method. Four unlined pit burials (Burials 39, 58, 66, and 83) were found at Gordontown (Moore and Breitbirg 1998:41-44). One other exposed individual (Burial 14) was not contained within a stone box but had been placed in an extended position parallel to Burial 13. Three of the individuals buried in unlined pit burials were in flexed or semi-flexed positions. The fourth burial (Burial 66) contained an individual in a very awkward position, with the upper portion of the body bent backwards and the lower legs also bent backwards behind the upper legs (Figure 17). It appears the body was carelessly dumped into the grave instead of the careful placement observed in the other three pit burials.

No grave goods were found with any of these four burials exposed at Gordontown. It is tempting to state these were

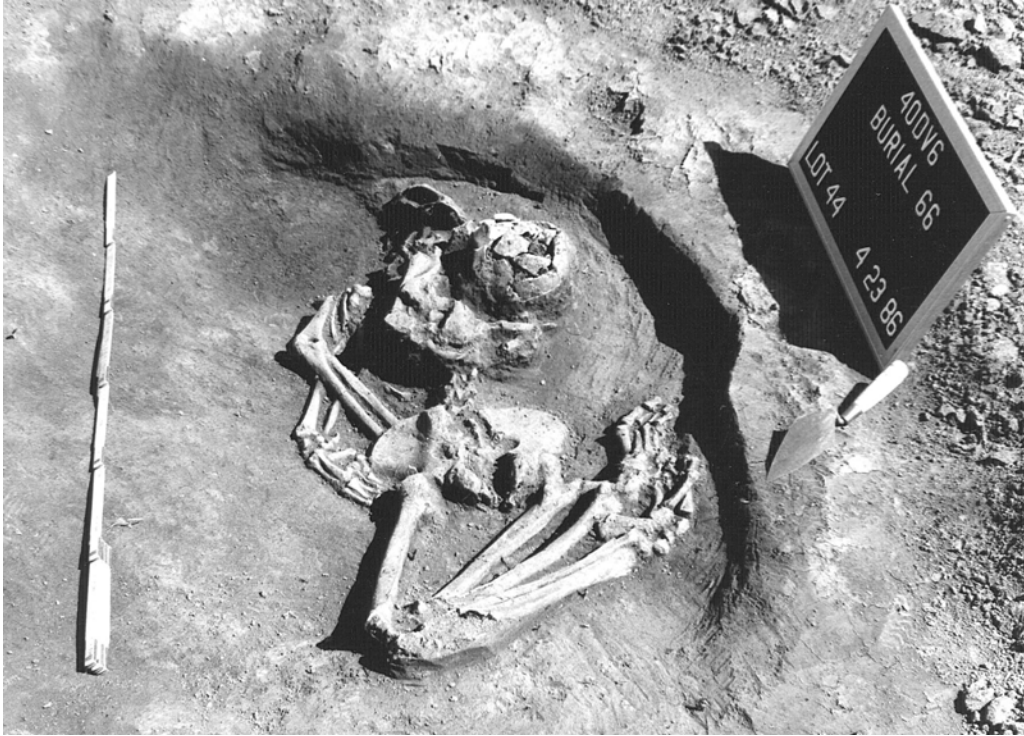


FIGURE 17. Burial 66 at the Gordontown site, 40DV6.

Archaic period burials. But, no sign of an earlier Archaic occupation was found except for a few projectile points. Gordontown occupants may have brought these points to the site as curiosities. Mississippian period ceramic sherds were found in the fill of two of the burials, and were also found underneath Burial 58 shown in Figure 18 (Moore and Breitburg 1998:250-251).

### Grave Goods

Associated grave goods were found in about 40% of the stone-box graves at the West site (Dowd 1972:20-21), and about 35% of the Gordontown graves (Moore and Breitburg 1998:41-45). The overwhelming majority of mortuary items found in Middle Cumberland Mississippian stone-box graves are pottery vessels. These vessels range from “utilitarian” ware to elaborate human and animal effigy bowls and bottles. Additional ceramic

artifacts found in stone-box graves include earplugs, figurines, and pottery discs. Lithic items are more uncommon grave associations, but when present usually comprise implements of Dover chert (such as celts, chisels, and ovate knives). Shell artifacts are also less common than ceramic objects, but include marine shell gorgets, freshwater mussel spoons, and beads made from both marine and freshwater shells. Bone objects are less common as well, and include awls, beads, fishhooks, and astragalus cubes.

I would argue that most stone-box graves contained some sort of perishable offering such as wood, hide, clothing, or food. But these, of course, would leave behind no evidence. It has been said, and I somewhat believe myself, that artifacts of quality craftsmanship are often found in the best-constructed stone boxes. If a subjective statement like that can be proven as true, then it suggests that more love and care had gone into those particu-



FIGURE 18. Burial 58 at the Gordontown site, 40DV6.

lar stone-box graves. However, I also think other factors, such as inclement weather, might contribute to a poorly-built stone-box grave.

The best-constructed stone box I ever saw did have elaborate grave goods. Burial 60 at the Gordontown site held the remains of a small infant along with a high-quality fish effigy bowl and shell beads (Moore and Breitburg 1998:252). The coffin, covered with topstones, was constructed using six limestone slabs (two each for sidestones, endstones, and floor) less than an inch thick and shaped as though they had been worked on a machine (Figure 19). The two floor slabs were thinner than the others and had been chipped on all edges so as to fit the box. The sidestones extended past the



FIGURE 19. Example of extremely well-constructed stone-box, Burial 60 at Gordontown, 40DV6.

endstones about ten inches on one side and six inches on the other. The thin stones were not cut to fit the box, presumably for fear of breaking them at the wrong place.

### Concluding Statement

As stated in the abstract, this report contains my observations and speculations on Mississippian period stone-box graves within the Nashville Basin. I personally excavated, or assisted in the excavation of, most of the burials used as

examples in this report. One exception to this statement is the early West site burial (Burial 12) used as an example of a stone box without a body (Dowd 1972:24-25). Although no photographs were taken of this particular burial, I feel very confident in using Mr. Buddy Brehm's description, as anyone who knew Buddy would swear to his honesty and excellent observation skills. I have also used my imagination to speculate on some of the events that preceded the construction of selected stone-box graves, including Burial 12 at the West site.

I have attempted to better define the "Cumberland Stone Box" for the Middle Cumberland River valley, as well as other styles of burials found among them. The information presented in this research report should not be considered as new (except the speculation part). This information is intended, however, to bring attention to the different types of burials found on Middle Cumberland Mississippian sites. Radiocarbon dates place the West and Gordontown sites within the Thruston regional period that dates from AD 1250 to 1450. I have always considered AD 1350 as a good target date.

*Notes:* I am not a professional archaeologist or geologist. The descriptions, observations, and speculations given in this report are derived from my personal experiences over the last 40 years and should not necessarily be construed as scientific, or technically correct. Tables, graphs, charts, and references from other Middle Cumberland Mississippian sites have been intentionally omitted to make this report easier to read.

*Acknowledgements:* As author of this report credit or blame rests entirely with me, but I want to recognize those who worked with me on the two sites mentioned. My partner at the West site was the late Buddy Brehm who was also my mentor and best friend. I also enjoyed a good working relationship with the personnel of the Tennessee Division of Archaeology at the Gordontown site. I also thank Kevin E. Smith and Michael C. Moore for editing this report and allowing an amateur report

to be used in this series.

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John T. Dowd  
Nashville Tennessee

# THE NELSON SITE: LATE MIDDLE WOODLAND HABITATION ON THE NOLICHUCKY RIVER, WASHINGTON COUNTY, TENNESSEE

Jay D. Franklin, Michelle L. Hammett, and Renee B. Walker

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*The Nelson site (40WG7), a large open habitation locale on the Nolichucky River in Washington County, Tennessee, was excavated in the 1970s by avocational archaeologists from the Kingsport Chapter of the Tennessee Archaeological Society. Although notes are lacking, a large artifact assemblage consisting primarily of prehistoric ceramics and faunal material was donated to the Archaeology Laboratory at East Tennessee State University. Here, we address the late Middle Woodland occupation represented in these collections. The ceramic assemblage is generally consistent with other sites in the eastern Tennessee Valley, but indicates regional interactions with the summit region of western North Carolina and perhaps beyond. We discuss Middle Woodland ceramic typology and chronology in upper East Tennessee along with presentation of the first Middle Woodland radiocarbon dates from the Middle Nolichucky River Valley. Based on recovered faunal elements from the collection, the Nelson site assemblage appears typical of a warm weather habitation site.*

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The evidence increasingly indicates that large Early Woodland habitation sites once dotted the riversides of upper East Tennessee. Of these, the Camp Creek (40GN1), Rankin (40CK6), and (Phipps Bend) 40HW44-40HW45 sites are perhaps the best known (Lafferty 1978, 1981; Lewis and Kneberg 1957; Smith and Hodges 1968). However, there are other occupations on the Holston, Watauga, and Nolichucky Rivers comparable to these sites (Langston and Franklin 2006; Thacker et al. 2008). Unfortunately, little is still known about Middle Woodland period sites in the region. There is virtually nothing in the published literature except the late Middle Woodland camp site at Linville Cave, also known as Appalachian Caverns (Franklin and Dean 2006). This report attempts to address this lack of information by introducing the Nelson site (40WG7), a large and primarily late Middle Woodland open habitation on the Nolichucky River in Washington County, Tennessee. We focus on the Middle Woodland ceramic assemblage and chronology, but also discuss subsistence as reflected by the faunal assemblage.

## Background

The Nelson site, located approximately 600 meters upstream of the confluence of Little Limestone Creek and the Nolichucky River, occurs within a 15-acre alluvial bottomland bordered by a wet weather sluice and the river to the west, river cliffs and Little Limestone Creek to the north, foothills to the east, and an unnamed tributary to the south (Figure 1). The site was established on a small ridge in the bottomland area, and covers an area measuring approximately 107 meters by 76 meters. Historically, the site was used for corn agriculture.

The Kingsport Chapter of the original Tennessee Archaeological Society (TAS) conducted excavations at the site in 1978. In typical fashion for the time, a 10-foot by 10-foot grid was established across the site. Units were excavated in six-inch levels with all sediments screened through ¼" wire mesh (Figure 2). Several personal collections along with existing field forms and color slides from this excavation were donated to East Tennessee State University. Further, the Tennessee Division of

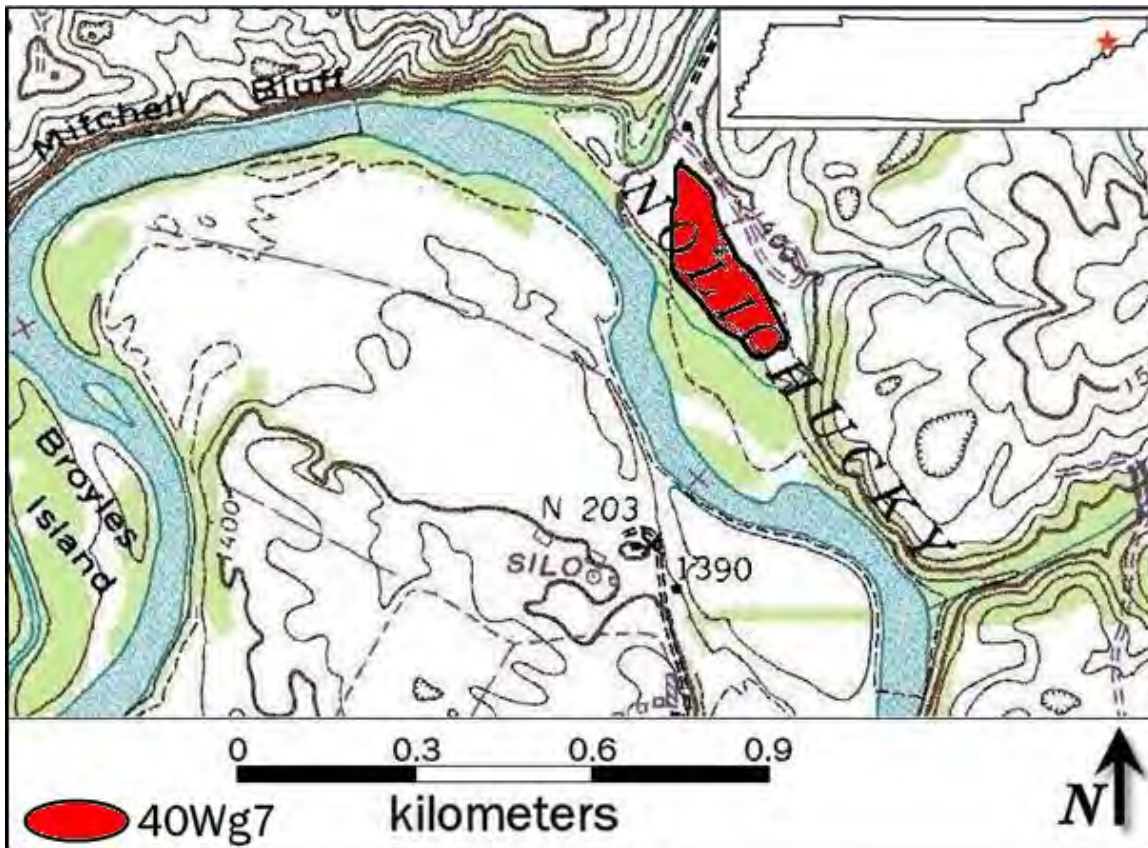


FIGURE 1. Nelson site location, USGS 7.5' Telford Quadrangle.

Archaeology loaned two accessioned collections from McIlhany's (1978) survey of the site. Together, these are of sufficient size to infer much concerning the site's Middle Woodland prehistory and chronology.

The TAS excavations revealed a large open habitation occupied from the Early Woodland through Mississippian periods. Possible Middle Woodland structures were also exposed (Figure 3). Initial artifact estimates of 13,000 lithic flakes, 6000+ chipped stone tools, 24 ground stone tools, 1490 ceramic sherds, and 8000 animal bone fragments illustrate 40WG7 was an intensively occupied site (Tennessee Division of Archaeology site information files). Virtually no records remain from the excavations except for 31 excavation square forms. Figure 4 represents the schematic plan view map we

were able to generate from the existing forms. Based on the field records we have, the Nelson site does not appear to be deeply buried (see Figures 2 and 3). This is consistent with the site description given above, that is, the site is located on a small elevated ridge. All field forms indicate that none of the excavation squares were dug deeper than two levels (or a depth of about one foot below surface). All features were encountered in the second level of each square, likely at the contact between the plow zone and subsoil.

In addition to the TAS excavation results, Mac McIlhany's master's thesis from the University of Tennessee included the Nelson site in a systematic survey of the Middle Nolichucky River Valley (McIlhany 1978). He described Nelson as a multi-component site, but noted the most in-

tense occupation was during the Middle Woodland period (McIlhany 1978:68). Ceramics recovered from the site included four Early Woodland sherds (Watts Bar & Long Branch). The Middle Woodland sherd sample included Wright Check Stamped (n=32), Candy Creek Cord Marked (n=9), Mulberry Creek Plain (n=4), and Bluff Creek Simple Stamped (n=4). The mix of Mississippian period ceramics included Pisgah and shell-tempered Mississippi Plain, although the Mississippian component was restricted to the northwestern end of the site near the river bank. Reported sand-tempered plain and incised sherds represent possible Qualla wares.

### Chronology

A former member of the Kingsport Archaeology Club donated a sooted Pisgah body sherd for AMS (accelerator mass spectrometry) dating. The sherd was recovered from one of the excavation blocks in Level 1. We believe that a date from the soot is important for two reasons. First, we know so little of Pisgah in the region. Second, the presence of Pisgah in the region demonstrates continuity of interaction with western North Carolina from the Woodland period. The result is a mean date (at  $2\sigma$ ) of AD 1232 (Table 1). This is a reasonable date for Pisgah in upper East Tennessee. Lafferty (1981:487-489) obtained a radiocarbon assay associated with Pisgah at Phipps Bend dated to AD 1335 ( $2\sigma$  mean). While we have Pisgah dates that are contemporaneous with Dallas at the Holliston Mills (Franklin et al 2009:327) and Hickory Tree Rock Shelter (40SL393) sites, the Nelson date clearly indicates that Pisgah also predates Dallas in the region. This topic deserves further discussion at another time.



FIGURE 2. Nelson site block area looking north.



FIGURE 3. Possible Middle Woodland structure at the Nelson site.

We also present the first Middle Woodland radiocarbon dates from the Middle Nolichucky River Valley. This is significant because there are very few radiocarbon dates from the Middle Woodland of all of upper East Tennessee. Two AMS dates were obtained from feature material. A mammal bone from Pit 4 (Unit 110L160) yielded a calibrated mean date (at  $2\sigma$ ) of AD 595 (see Table 1). This result is consistent with the recovered ceramics from



TABLE 1. AMS Determinations from the Nelson Site (40WG7).<sup>†</sup>

Lab number	Provenience	AMS assay	1 $\sigma$ range	2 $\sigma$ range	2 $\sigma$ mean
AA71790	Level 1*	785 $\pm$ 36 BP	AD 1220-1270	AD 1180-1285	AD 1232
AA74196	Pit 4, 110L160	1469 $\pm$ 30 BP	AD 565-630	AD 545-645	AD 595
AA74197	Pit 1, 70L50	1303 $\pm$ 33 BP	AD 660-720 AD 740-770	AD 650-780	AD 715

\* see text for explication

<sup>†</sup> all assays calibrated using OxCal v3.10 (Bronk Ramsey 2005)

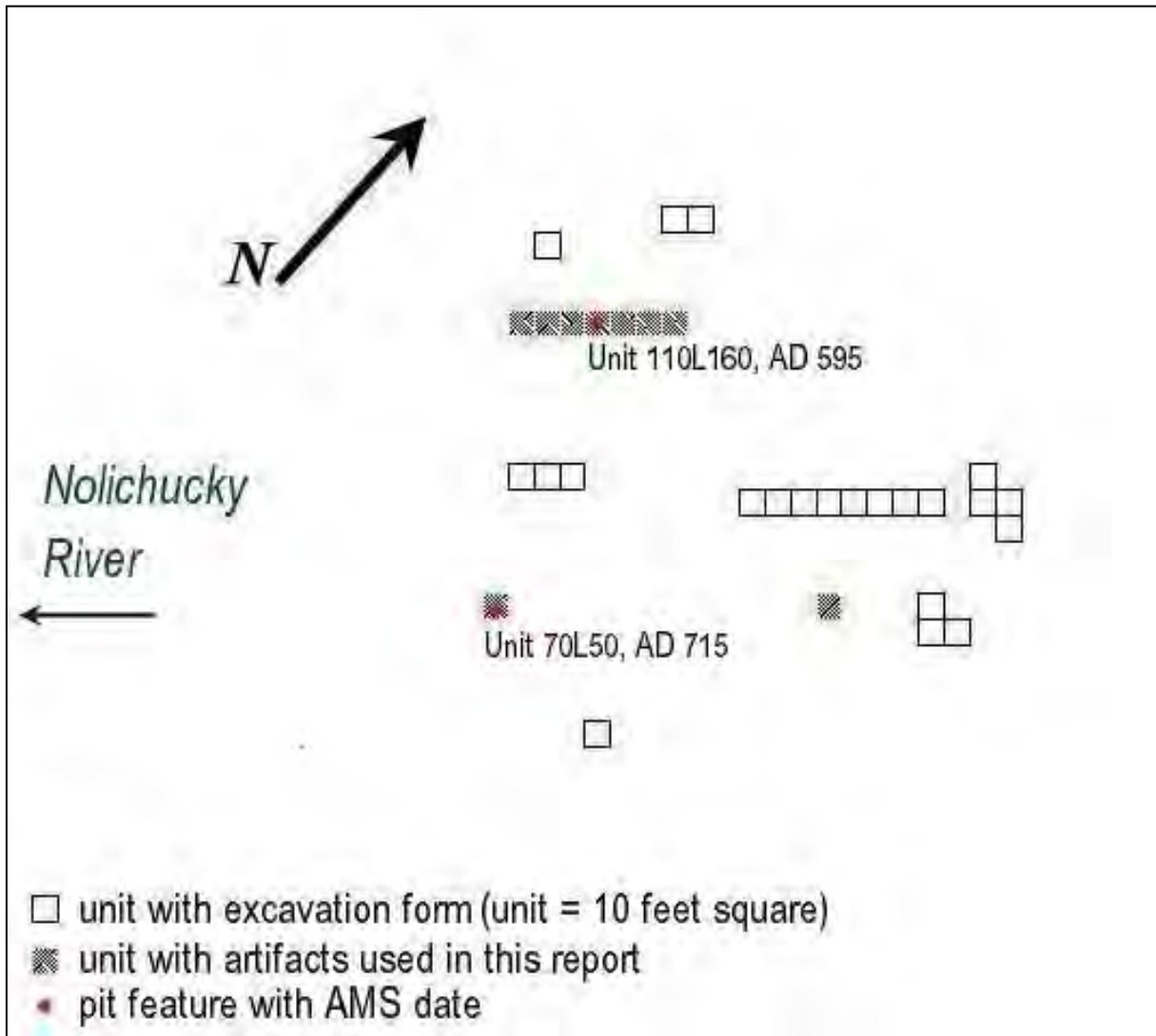


FIGURE 4. Schematic plan view of the Nelson site excavations.

the feature. Mulberry Creek Plain and Wright Check Stamped dominate, but there other types include Candy Creek Cord Marked, Bluff Creek Simple

Stamped, and Connestee.

A calibrated mean date (at 2 $\sigma$ ) of AD 715 was obtained from wood charcoal recovered from Pit 1 (Unit 70L50). Wright

Check Stamped ceramic dominate the sample from this feature, but the other previously mentioned types were also present.

The two AMS dates overlap statistically and indicate that the time of most intensive occupation of the Nelson site was during the late Middle Woodland and perhaps into the Late Woodland. The presence of Connestee ceramics suggests that there was influence from or interaction with western North Carolina region during this time. The Nelson site is contemporaneous with at least three other late Middle Woodland sites in East Tennessee. We will discuss comparisons shortly.

### **Middle Woodland Ceramic Systematics in Southern Appalachia**

Numerous ceramic types are present in Middle Woodland contexts in East Tennessee as evidenced by several surface treatments and temper types. However, we point out that the following discussion is largely based on research conducted in the eastern Tennessee Valley more generally, e. g., below Knoxville. McCollough and Faulkner (1973:95) argue for two Middle Woodland phases in the eastern valley. The earlier is the Candy Creek phase as represented by limestone tempered stamped pottery. Surface treatments include cord marking, check stamping, simple stamping, and complicated stamping. Wright Check Stamped is the most common type according to Faulkner (1968), although Bluff Creek Simple Stamped was the most prevalent limestone tempered ware recovered at Icehouse Bottom (Chapman and Keel 1979:159). The Candy Creek phase likely represents both continuity from the Early Woodland Long Branch phase (Haag 1939; Kneberg 1961; McCollough and Faulkner

1973), composed of limestone tempered (fabric-marked) pottery, as well as influence or diffusion of stamped traditions from the North Georgia region (Keel 1976).

The later phase is the Connestee phase represented by sand tempered types with brushed, plain, simple-stamped, cord-marked, check-stamped, and fabric-marked surface treatments, respectively. Connestee components are more prevalent in western North Carolina where it was first described. Hopewellian Chillicothe plain rocker-stamped potsherds were recovered from Middle Woodland contexts at Icehouse Bottom (Chapman and Keel 1979; Cridlebaugh 1981:136,180). However, unlike at the Higgs site where Candy Creek preceded Connestee, pottery from these phases was found to be contemporaneous at Icehouse Bottom (Chapman and Keel 1979; Cridlebaugh 1981:182). Bluff Creek Simple Stamped, Flint River Brushed, Wright Check Stamped, Mulberry Creek Plain, and Pickwick Complicated Stamped, all limestone tempered ceramics, have been recovered from Middle and late Middle Woodland contexts in the Eastern Tennessee River Valley (Franklin and Frankenberg 2000).

In the Appalachian Summit Region of western North Carolina, Early and Middle Woodland pottery is quartz and/or sand tempered (Keel 1976). The earliest Middle Woodland type is Pigeon Check Stamped (ca. 300BC – AD 200), which is characterized by quartz tempering and rectangular check stamping. Interior vessel walls were heavily smoothed with steatite pebbles often leaving an “iredescent sheen” (Keel 1976:256). Unfortunately, there are no pure and well dated Pigeon assemblages in Southern Appalachia (Ward and Davis 1999:146). The Pigeon phase is followed by the Connestee phase (ca. AD 200-



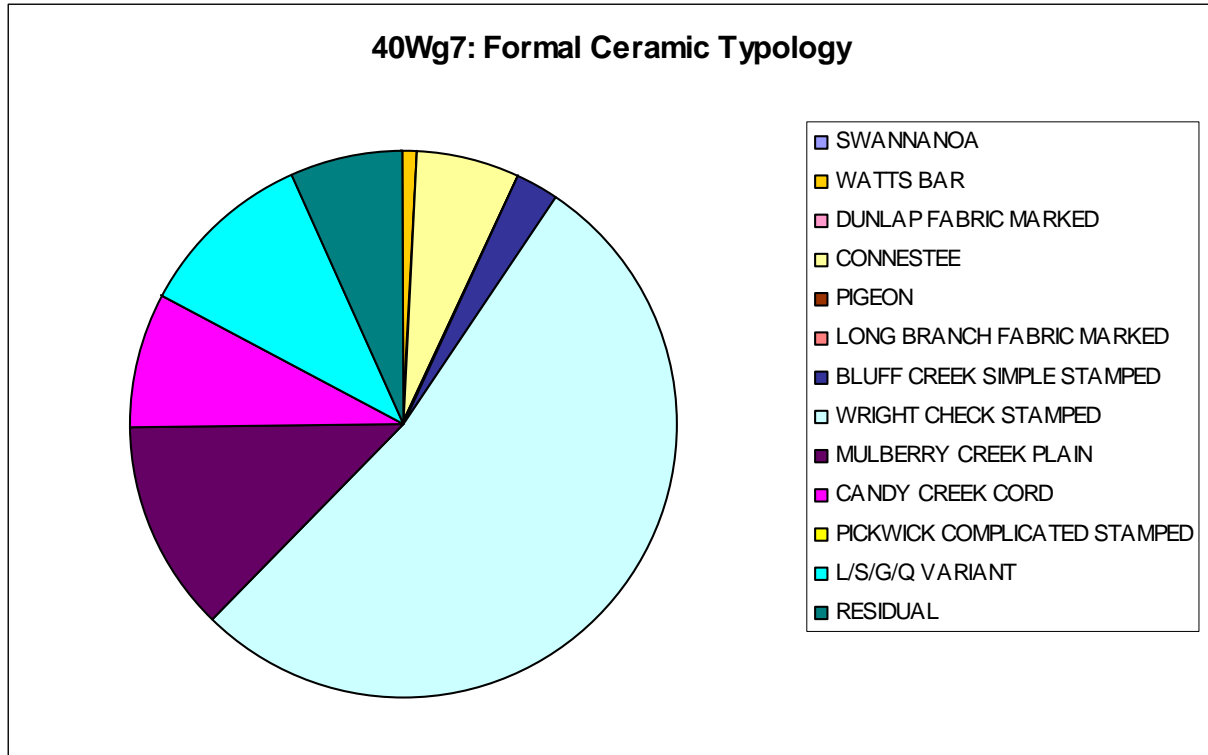


FIGURE 5. Overall ceramic typology for the Nelson site.

800) with Connestee ceramics as described above. Chapman and Keel (1979) maintain that southerly influences were more important in western North Carolina while northerly influences were more important in East Tennessee, especially during the early Middle Woodland. However, in upper East Tennessee, both are significantly represented as we shall discuss below.

### Nelson Site Ceramic Assemblage

Nearly 1600 (n=1580) ceramic sherds with a provenience were recovered during the TAS excavations. Body fragments represent the majority of recovered sherds, as rim, lip, and base elements account for less than 17% of the assemblage. Most rim sherds, as well as the single lip and two bases, are tempered with limestone. The majority of the ceramic assemblage also showed moderate

surface weathering and temper leaching. This deterioration prevented the formal type classification of 110 sherds. The remaining 1470 sherds were classified into recognized ceramic types (Figure 5).

Wright Check Stamped specimens (n=831) comprise more than 50% of the Nelson ceramic assemblage (Table 2, Figures 5 and 6). This type has been described as the most common Middle Woodland stamped ware found in East Tennessee (Faulkner 1968:26).

An additional 107 check stamped sherds possess a mix of limestone and sand/grit/quartz. The classification of pottery with mixed tempers is a fundamental problem in southeastern ceramic studies. As far as we are aware, mixed tempered wares are not addressed in any formal typologies (Haag 1939; Lewis and Kneberg 1946, 1957; Heimlich 1952; Kneberg 1961; Faulkner 1968). By extension, this is especially problematic in upper East

TABLE 2. Overall Ceramic Typology for the Nelson Site.

Swannanoa	1
Watts Bar	10
Dunlap Fabric Marked	1
Connestee	96
Pigeon	1
Long Branch Fabric Marked	2
Bluff Creek Simple Stamped	39
Wright Check Stamped	831
Mulberry Creek Plain	198
Candy Creek Cord Marked	128
Pickwick Complicated Stamped	1
L/S/G/Q Variant	167
Residual	105
<b>TOTAL</b>	<b>1580</b>

Tennessee where the Woodland ceramic sequences and chronology are not at all well defined. For example, Pigeon Check Stamped wares are also recovered in the region. Pigeon is more characteristic of the Appalachian summit region of western North Carolina (Keel 1976). Pigeon wares are early Middle Woodland, sand tempered, and typically have a smoothed sheen on the interior of vessels. There are no firmly dated Pigeon components in Southern Appalachia, although they precede Connestee (Ward and Davis 1999:146). We were able to positively identify one Pigeon Check Stamped sherd from Nelson, unfortunate because so little is known of this early Middle Woodland phase. Because of this deficiency, these mixed temper sherds cannot be properly placed chronologically.

Mulberry Creek Plain, Candy Creek Cord Marked, and Connestee wares also occur in significant numbers at Nelson (see Table 2). Bluff Creek Simple Stamped is represented as well (n=39). In



FIGURE 6. Typical Wright Check Stamped rim sherd from the Nelson site.

short, the assemblage is fairly typical of the eastern Tennessee Valley (Chapman and Keel 1979; Franklin and Frankenberg 2000).

Connestee represents the latter portion of the Middle Woodland period in the Appalachian Summit region of western North Carolina (Keel 1976). The presence of Connestee at Nelson indicates cultural interactions between the two regions. Connestee Plain comprises 40% of these sherds, while Connestee Check Stamped makes up 21%. Connestee ceramics are more variable than both earlier and later ceramic series in the region (Purrington 1983). One hypothesis is that this reflects an increase in contact with groups both inside and outside of Southern Appalachia. Chapman and Keel (1979) point to Hopewell cultures of central Ohio interacting with Middle Woodland peoples in Southern Appalachia at sites such as Icehouse Bottom and Garden Creek. No obvious Hopewell or Hopewell-related artifacts were recovered at Nelson, and we



FIGURE 7. Large diamond check stamped rim sherd from the Nelson site (note the large chunks of quartz).

did not identify any obvious Hopewell pottery from the site. We identified a number of sherds with faint but very large diamond check stamping (Figure 7). This

type of ware has been recovered from sites in north Georgia suggesting regional interaction. More recently, this type of pottery was recovered from the Biltmore



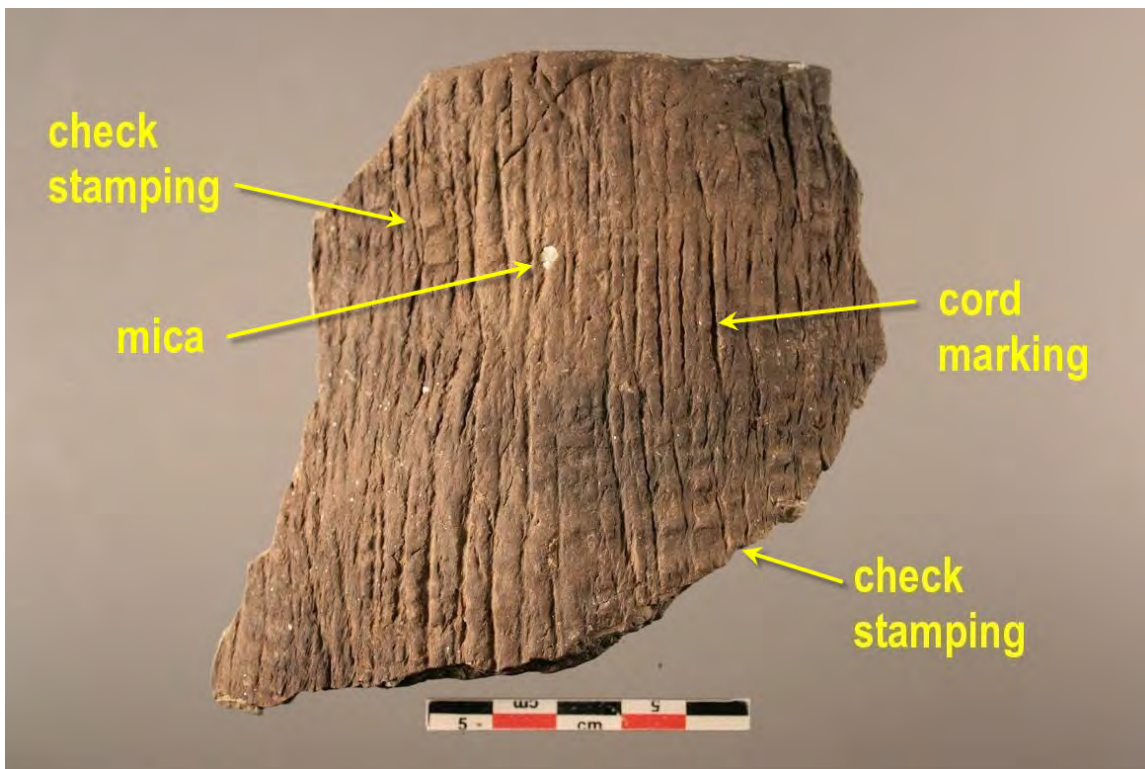


FIGURE 8. Enigmatic Middle Woodland rim sherd from the Nelson site.

Mound site, a Hopewell-related site near Asheville, North Carolina. A local origin has been indicated there, but similar wares are also known from the Ohio Valley (Kimball, Whyte, and Crites 2008). Again, no obvious Hopewell artifacts were recovered from Nelson, but based on the mix of ceramic types from North Carolina (and perhaps beyond), Nelson was clearly part of a broader interaction sphere.

Lastly, we recovered several sherds that either defy classification or represent a mix of types. For example, we identified sherds that were clearly limestone tempered but also contain significant amounts of mica in the paste. Further, they have both cord marking and check stamping for surface treatments (Figure 8). To our knowledge, this is rare and unique and, along with mixed temper types, certainly further complicates employing formal typologies in Southeastern ceramic studies.

### **Unit 70L50**

TAS excavation unit 70L50 contained two Middle Woodland pit features. Pit 1 was radiocarbon dated to AD 715 (see Table 1). Ceramics recovered from Pit 1 are consistent with this date. They include Wright Check Stamped, Mulberry Creek Plain, Connestee, and Candy Creek Cord Marked (Table 3).

Nine of the 11 sherds recovered from Feature 2 were Wright Check Stamped (Table 3). In short, the ceramics from Feature 2 are in line with those from Pit 1 indicating that they are likely contemporaneous.

### **Unit 110L160**

Pit 4 from Excavation Unit 110L160 was radiocarbon dated to AD 595 (see Table 1). Again, the recovered ceramics are quite consistent with this date. Pit 4

TABLE 3. Ceramics Recovered from Unit 70L50.

Unit 70L50	Pit 1	Feature 2
Watts Bar	1	0
Dunlap Fabric Marked	1	0
Connestee	5	0
Bluff Creek Simple Stamped	3	0
Wright Check Stamped	43	9
Mulberry Creek Plain	12	1
Candy Creek Cord Marked	4	0
L/S/G/Q Variant	5	0
Residual	10	1
<b>Total</b>	84	11

TABLE 4. Ceramics Recovered from Unit 110L160.

110L160	Pit 4	Levels 1-2
Watts Bar	0	1
Connestee	3	7
Bluff Creek Simple Stamped	3	0
Wright Check Stamped	28	5
Mulberry Creek Plain	30	0
Candy Creek Cord Marked	4	0
L/S/G/Q Variant	13	1
Residual	17	3
<b>TOTAL</b>	98	17

wares are dominated by Mulberry Creek Plain and Wright Check Stamped. Bluff Creek Simple Stamped and Candy Creek Cord Marked are also represented (Table 4).

Generally speaking, Levels 1 and 2 (0-1 ft. below surface) possessed relatively few ceramics. However, late Middle Woodland wares are the most numerous,

including Connestee and Wright Checked Stamped (Table 4).

### Faunal Analysis

The sample of faunal remains analyzed from the Nelson site totaled 498 specimens weighing 1,915.65 grams. The majority of remains were mammals (78.5%), followed by birds (1.8%), reptiles (1.6%), fish (0.6%), and pelecypod (0.2%). Just over 17% of the sample (17.3%) was unidentified (Figure 9).

### Taxa Identifications

**Mammal.** Mammals were by far the most represented identified taxa at 40WG7, with a total of 391 specimens weighing 1880.01 grams. *Odocoileus virginianus* (white-tailed deer) were the most represented species at the site. A total of 94 (971.26 g) *O. virginianus* remains were recovered, with an MNI of two (from two right mandibles). Most of the *O. virginianus* elements were lower leg bones (carpals, tarsals, metapodials and phalanges) and teeth (Figure 10). This is fairly typical, because these elements are much denser than other elements. In addition, this generally represents animals which have been brought back to the site for butchering. *O. virginianus* is an ecotone species habitually found in farmlands, swamps, timbered bottom lands, and edge areas of forests. They range throughout the southern half of Canada and most of the United States, with the exception of several western states (Schwartz and Schwartz 1964; Whitaker 1980).

Other mammal remains included *Ursus americana* (black bear), which are found in forests and low lying areas (Burt and Grossenheider 1976: 46); *Didelphis marsupialis* (opossum), an ecotone spe-



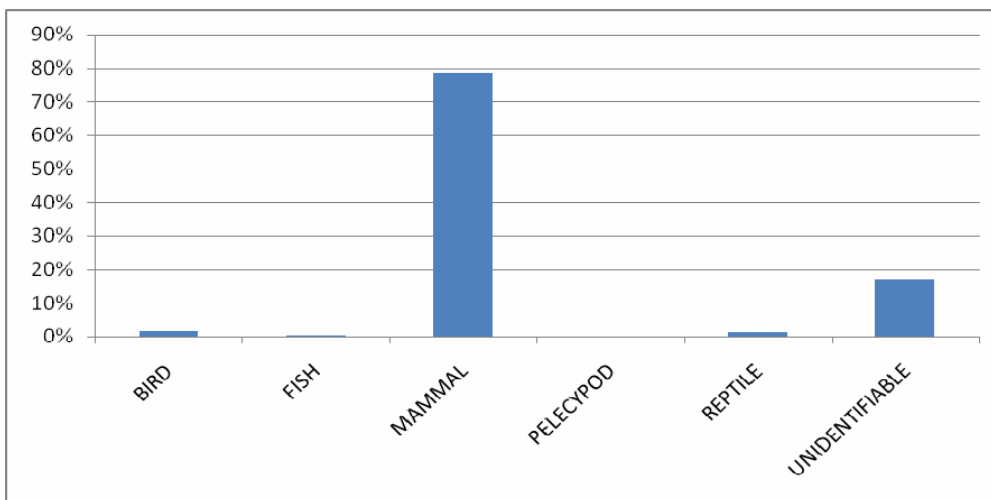


FIGURE 9. Percentage of taxa by class.

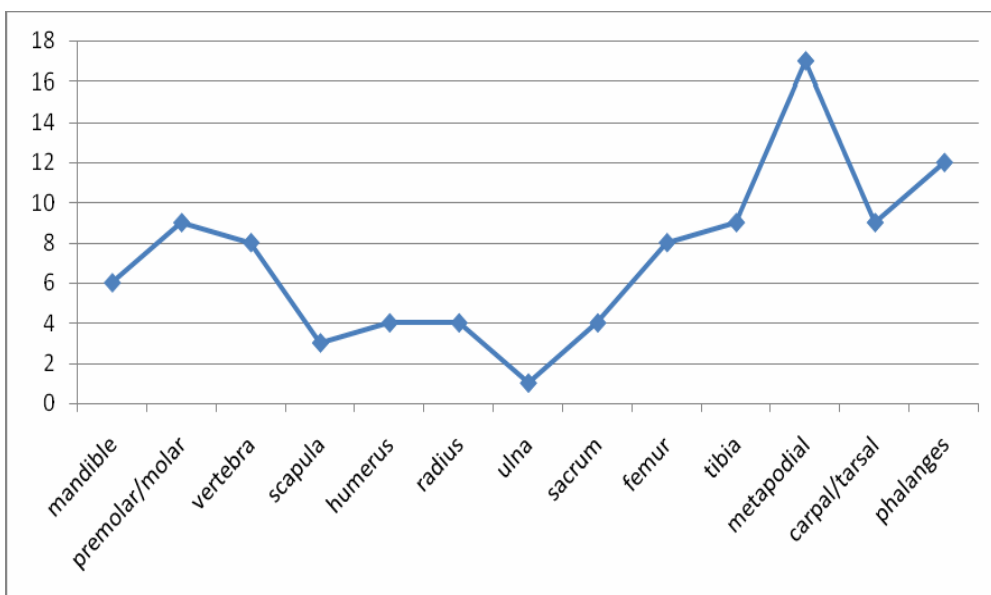


FIGURE 10. White-tailed deer elements.

cies (Hall and Kelson 1959); and *Procyon lotor* (raccoon), a nocturnal species found in wetland areas in forests and occur throughout North America (Schwartz and Schwartz 1964).

**Bird.** Nine bird remains weighing 6.4 grams were also recovered from the site. None of the bird remains could be identified beyond class, but some were identifiable to size class. Four were identified as

large bird (turkey/goose size), with elements including one distal humerus, one longbone fragment, one medial longbone fragment, and one ulnar carpal. The remaining bird bone specimens were indeterminate as to size because they were too fragmentary.

**Reptile.** The reptile remains were comprised of turtle shell. These included four Eastern Box Turtle (*Terrapene carolina*).

TABLE 5. Modification of Faunal Remains.

	Count	Weight (g)
Burned	27	56.75
Calcined	83	163.07
Carnivore gnawed	4	30.09
None	380	1521.05
Possible tool	1	18.23
Scraped	3	126.46
<b>TOTAL</b>	<b>498</b>	<b>1915.65</b>

TABLE 6. Unit 70L50, Feature 2 Faunal Remains.

Taxon	Element	Mod	Count	Weight
Indet bird	Longbone	None	3	0.74
Lg bird	Humerus	None	1	1.02
Lg mammal	Longbone frag	Poss tool	1	18.23
Md/lg mammal	Longbone	Calcined	7	8.51
Md/lg mammal	Longbone	None	3	3.44
Opossum	Ulna	Burned	1	1
Pelecypoda	Shell	None	1	0.58
Sm/md mammal	Longbone	Calcined	22	4.59
WT deer	Molar, upper	None	1	2.7
		Total	40	40.81

*lina*) specimens and four specimens identified as Emydidae (Box and pond turtles).

**Other.** Fish remains included three specimens that were unidentifiable beyond class. Also, one Pelecypoda (freshwater mussel) shell was recovered. The remaining specimens were indeterminate mammal and bird bones.

**Modification**

The majority of the bone from 40WG7 (76.3%), a total of 380 fragments weigh-

ing 1521.05 grams, was unmodified (Table 5). Burned bone comprised 22.1% of the modified bone (110 specimens with a weight of 219.82 g). The majority of the burned bone (16.7%) was calcined. Calcined bone is bluish or white and has been heated to a very high degree, usually 400-500 degrees Celcius (Bennett 1999). The calcined specimens included one white-tailed deer carpal and one phalange, 27 large mammal bone fragments, 13 medium/large and 22 small/medium mammal bone fragments, and 19 unidentifiable fragments. The other burned specimens were generally brown or blackened from slightly lower or less direct heat than calcined bone. The burned specimens included one Emydidae shell, 14 large mammal fragments, one medium/large mammal fragment, one opossum ulna and 10 unidentified fragments. Specimens with carnivore gnaw marks numbered four and weighed 30.09 grams. These included one white-tailed deer tibia and four large mammal longbone fragments. In addition, specimens with scrape marks were also represented, including three large mammal longbone fragments weighing 126.46 grams. Finally, one specimen appeared to be a tool or worked bone (18.23 g). This possible tool was a large mammal long bone with both ends polished.

**Taxa Represented by Dated (Middle Woodland) Provenience**

The following units have summarized faunal material for comparison with the ceramic analysis.

**Unit 70L50.** This unit included Feature 2 and Pit 1. Feature 2 was not radiocarbon dated but did contain Middle Woodland ceramics, most abundantly, Wright Checked Stamped. Feature 2 (Table 6) contained 40 animal remains, including

TABLE 7. Unit 70L50, Pit 1 Faunal Remains.

Taxon	Element	Mod	Count	Weight
Lg mam-mal	Longbone	Burned	5	5.34
Lg mam-mal	Longbone	Calcined	1	0.38
Lg mam-mal	Longbone	None	30	78.04
Lg mam-mal	Longbone	Scraped	1	2.95
WT Deer	Femur	None	2	21.67
WT Deer	Femur Epi	None	1	11.63
WT Deer	Humerus	None	1	9.25
WT Deer	Metatarsal	None	1	8.54
WT Deer	Molar 3, Lower	None	1	2.76
WT Deer	Radius	None	1	5
WT Deer	Tibia	None	1	13.98
		Total	45	159.54

one large mammal long bone fragment that was identified with two polished ends and classified as a possible tool.

As discussed previously, Pit 1 was radiocarbon dated to AD 715. Pit 1 (Table 7) contained a total of 45 remains (159.54 g). A total of 37 large mammal longbone fragments and eight remains were identified as white-tailed deer.

**Unit 110L160.** Faunal remains from 110L160 were recovered from Pit 4 which was radiocarbon dated to AD 595 (Table 8). These included 45 specimens weighing 439.75 g. One eastern box turtle plastron fragment and one large bird longbone fragment were recovered. The remaining remains were mammalian, with 27 specimens identified as white-tailed deer.

In sum, the most dominant species identified in the assemblage was white-tailed deer, which tended to be fairly evenly distributed across the site. The majority of the white-tailed deer remains were from the skull, lower legs and axial skeleton, which often represents on-site

butchery or transport of the entire skeleton back to the habitation site (see Figure 10). Other identified species were far less common and generally represent the utilization of riverine habitats (fish and pond turtles) and woodland or woodland edge environments (raccoon, opossum, eastern box turtle, bear).

Modification of the remains was mostly by calcination, with some other types of modification represented, including burning, cut marks, carnivore gnaw marks, and possible tools. However, most of the bone was unmodified, but highly fragmented. The degree of fragmentation may represent processing of bones (particularly long bones) for marrow.

In sum, faunal remains from the Nelson site provide some clues regarding the site inhabitants and their subsistence strategies. Species diversity is rather low (with only five species identified and the majority of those consisting of white-tailed deer) and may be due to preservation factors. For example, most of the identifiable remains are white-tailed deer or other large mammals, such as bear. However, some fish, reptile and bird remains were recovered. This illustrates that the site inhabitants utilized both aquatic and terrestrial resources, which were habitats readily available adjacent to the site. The presence of at least a few fish and turtles would suggest that those animals were collected during the warmer months, but deer and raccoon could have been collected year round. As stated above, the bone was highly fragmented, particularly long bones, and this may represent breaking open long bones to obtain marrow. Thus, we have a faunal assemblage that represents a typical diet for a habitation site.

TABLE 8. 110L160, Pit 4 Faunal Remains.

Taxon	Element	Mod	Count	Weight
E. box turtle	Plastron	None	1	1.20
Lg bird	Long bone frag	None	1	3.10
Lg mammal	Long bone frag	Burned	3	19.96
Lg mammal	Long bone frag	Calcined	4	102.89
Lg mammal	Metatarsal	None	1	1.27
Lg mammal	Rib	None	1	3.85
Lg mammal	Unidentifiable	C. grawing	1	1.98
Md/lg mammal	Unidentifiable	Burned	1	0.95
Md/lg mammal	Unidentifiable	Calcined	6	3.28
WT Deer	Acetabulum	None	1	8.13
WT Deer	Astragalus	None	2	31.14
WT Deer	Calcaneus	None	1	16.66
WT Deer	Cuneiform	None	2	2.64
WT Deer	Femur	None	1	8.01
WT Deer	Humerus	None	1	29.12
WT Deer	Mandible	None	2	61.67
WT Deer	Metacarpal	None	1	6.52
WT Deer	Metatarsal	None	2	10.20
WT Deer	Phalanx 1	None	1	1.76
WT Deer	Phalanx 1	None	1	4.82
WT Deer	Phalanx 2	None	2	8.16
WT Deer	Phalanx 3	None	2	3.23
WT Deer	Radius	None	1	10.37
WT Deer	Rib	None	1	3.19
WT Deer	Scaphoid	None	1	1.81
WT Deer	Tibia	C. grawing	1	18.90
WT Deer	Tibia	None	3	74.85
		Total	45	439.75

### Lithics

There are virtually no lithics in the archaeological collections from Nelson housed at ETSU. Therefore, we cannot discuss lithic technology or reduction at the site. It seems clear from the site forms that numerous stone tools and lithic flaking debris were recovered. These include projectile points, bifaces, other formal tool types, and ground stone tools. In short, this mix would be consistent with an intensively occupied habitation locale. We do know that bifaces consistent with Early

and Middle Woodland occupations were recovered from the site. These include Greeneville, Camp Creek, and Swan Lake. Mac McIlhany (personal communication 2006) reported that several Swan Lake bifaces were recovered from the site. Swan Lake points are diagnostic of the Woodland, specifically the Middle Woodland. Several were recovered in good late Middle Woodland context at Linville Cave in Sullivan County (Franklin and Dean 2006). We have also noted them in collections from the Eastman Rockshelter (40SL34) in Sullivan County.

Their occurrence at Nelson is consistent with the Middle Woodland ceramic assemblage discussed below.

### Regional Discussion

Three other regional sites with significant Middle Woodland components are used to place the Nelson site within a regional framework: the Possum Creek site (40GN52) also on the Nolichucky River but in Greene County, Linville Cave (40SL34) in Sullivan County, and the Twin Hearths site (40RE179) on the Emory River in Roane County (Figure 11). One additional site, 40JN90, on the Watauga River in Johnson County, contained an excavated Middle Woodland feature dated to AD 658 ± 152. Test excavations at this site were very limited, and the error margin for the assay is quite large. Associated ceramics were Candy Creek Cord-

Marked. Below the feature, Connestee-like ceramics were recovered (Boyd 1986:186). The site may represent a Candy Creek-Connestee focus, but archaeological excavations were so limited as to permit further discussion.

Two Middle Woodland components were recorded at the Possum Creek site (40GN52), also an open habitation locale similar to Nelson (Kim 1998). The earlier component was associated with Greeneville Cluster points, limestone tempered - Mulberry Creek Plain and Wright Check Stamped ceramics, and quartz tempered, Pigeon Plain and Check Stamped, ceramics. Associated calibrated radiocarbon determinations were cal A.D. 20 and cal 80 B.C. New Market points, limestone tempered Mulberry Creek Plain ceramics, and sand tempered Connestee Plain ceramics characterized the later Middle Woodland component. One associated calibrated

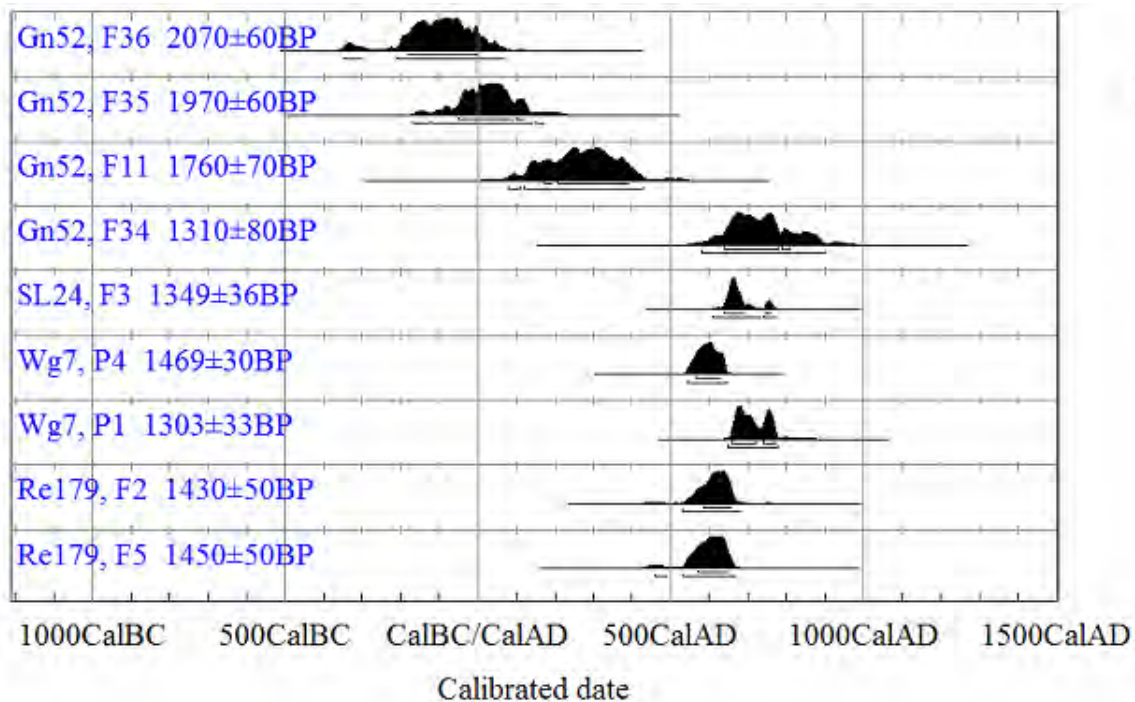


FIGURE 11. Middle Woodland radiocarbon dates from the East Tennessee sites discussed (see Kim 1998 for a discussion of the GN52 dates; Franklin and Frankenberg 2000 for a discussion of the RE179 dates; and Franklin and Dean 2006 for the 40SL24 date). All assays calibrated using OxCal v3.10 (Bronk Ramsey 2005)



radiocarbon determination came out to be cal A.D. 725. Thus, Wright Check Stamped wares are dated much earlier in the Middle Woodland at Possum Creek than at Nelson, although Mulberry Creek Plain persists into the later Middle Woodland at both sites. The mix of Connestee and Mulberry Creek Plain at Possum Creek is consistent with same at Nelson. Unlike Possum Creek, Pigeon ceramics at Nelson are negligible.

Ceramics recovered from Linville Cave indicate a predominantly Middle Woodland camp site (Franklin and Dean 2006). A single calibrated radiocarbon date of AD 675 indicates that the site was contemporaneous with Nelson. A total of 415 sherds was recovered in excavations by S. D. Dean. Candy Creek Cord Marked dominates the assemblage (n=166). Curiously, there were no Wright Check Stamped wares recovered here. Sand-tempered wares such as Connestee and Pigeon were also absent. It should be pointed out that Linville Cave represents a small special use camp site while Nelson was clearly a long term intensive habitation locale. The significance of this difference remains to be tested, but we have also recovered Wright Checked Stamped wares from the Eastman Rockshelter (40SL34). Analysis of those materials is ongoing, so we can have little to say about that site.

Finally, at the Twin Hearths site on the Emory River, a contemporaneous ceramic assemblage was also recovered (Franklin and Frankenberg 2000). Two AMS determinations on charred nutshell from hearth features indicate that the site was occupied during the mid-seventh century AD. Like Nelson, the site appears to have been an intensive habitation locale, although only a small portion was excavated. A total of 813 sherds was recovered. However, the vast majority were re-

sidual. Of the remaining 114 sherds, Mulberry Creek Plain (n=89) were the most prevalent. Also present in minority were Candy Creek Cord Marked (n=3), Bluff Creek Simple Stamped (n=3), Wright Checked Stamped (n=1), and Flint River Brushed (n=18). Flint River Brushed perhaps represents a more southerly influence, e. g., Alabama. While sand tempered wares also commonly occur during the East Tennessee Middle Woodland in the form of Pigeon or Connestee, these types were absent from at the Twin Hearths site, perhaps not so surprising given that this site is the farthest west of the sites discussed in this report. The relative paucity of Wright Check Stamped is curious given that it represents the most popular form of Middle Woodland stamping in the region (Faulkner 1968).

In sum, the Nelson site seems fairly typical of Middle Woodland sites in East Tennessee in terms of material culture and subsistence, though we caution against lumping upper East Tennessee (e. g., Holston, French Broad, and Nolichucky basins) with the lower East Tennessee Valley more generally. Sites along tributary streams such as the Nolichucky, Watauga, and French Broad rivers possess mixes of ceramics that indicate significant interactions with western North Carolina. Further, there appear to be some significant differences between Nelson and other sites in terms of ceramic assemblages. Wright Check Stamped wares date earlier in the Middle Woodland at Possum Creek but later at Nelson and Twin Hearths. They are absent at Linville Cave but present at the Eastman Rockshelter. Currently, we cannot address the significance, if any, regarding this temporal and geographical distribution of Wright Check Stamped in the region. However, we hypothesize that proximity to the Appalachian Summit region of western North

Carolina is reflected in the distribution of quartz and sand tempered wares in upper East Tennessee during the early Middle Woodland. The Possum Creek site is closest to western North Carolina and contains significant amounts of both Pigeon and Connestee wares. At the Nelson site, farther down the Nolichucky, we recorded only one definite Pigeon sherd. Only three Pigeon sherds were recovered from the entire Watauga Reservoir survey and these were found in plowzone contexts at a single site, 40JN89 (Boyd 1986:31). We do have significant representation for Connestee at Nelson, though. Perhaps Pigeon represents a restricted ceramic tradition for the early Middle Woodland while Connestee indicates a much broader interaction sphere later in the Middle Woodland. This is interesting because Early Woodland Swannanoa pottery has a broad distribution range from western North Carolina to the Upper Cumberland Plateau of Tennessee (Franklin 2008). So, too, does Connestee (Franklin 2002). Pigeon, situated between Swannanoa and Connestee, is rather restricted and poorly understood. It may represent the first interaction of stamped traditions from farther south in Georgia (Keel 1976).

In any case, given the paucity of recorded Middle Woodland sites in upper East Tennessee, much work remains to be done to address issues like regional cultural interactions. The Nelson site assemblage and associated new AMS dates have allowed us to frame the hypothesis presented above. Currently, we continue to survey sites in upper East Tennessee, including some that possess Pigeon ceramics. Isolating and dating Pigeon components is a primary goal of these investigations. Chronological placement of Wright Check Stamped at Eastman is also a proximate goal along with refining the

temporal distribution of this type in the region more generally.

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 Johnson City, Tennessee
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 East Tennessee State University  
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- Renee B. Walker  
 SUNY-Oneonta  
 Oneonta, New York





# RECENT RESEARCH AT THE AMES MOUND COMPLEX AN EARLY MISSISSIPPIAN SITE IN SOUTHWEST TENNESSEE

Andrew M. Mickelson

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*Ames (40FY7) consists of a group of four mounds located at the headwaters of the North Fork of the Wolf River in Fayette County, Tennessee. Although Ames is well known to archaeologists, limited research has taken place there, and its cultural affiliation to either the Woodland or Mississippian periods was previously unknown. Radiocarbon dating results and recovery of ceramic materials in mound contexts indicates that Ames was initially occupied by the Early Woodland period. Mound construction took place beginning ca A.D.1000 and terminated probably by A.D. 1250. Furthermore, the presence or absence of prehistoric habitation sites adjacent to the mounds has remained untested until now. Research tentatively indicates that Ames represents a vacant center with stable residential households dispersed across the surrounding landscape. Based on these data, the regional context of Ames is briefly discussed.*

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The purpose of this report is to summarize the results of 2007-2008 fieldwork and previous research at the Ames Mound Complex (40FY7) located in southwestern Tennessee within Fayette County (Figure 1). Ames is situated along the southern bluff of the North Fork of the Wolf River in an upland setting at the terminal end of alluvial sediments (Figure 2). The Mound Complex is comprised of three flat-topped platform mounds and a long low rectangular mound. The site is presently wooded and appears never to have been plowed and remains in a remarkably good state of preservation. In all, the mound complex encompasses about 4.5 acres (Figure 3) and evidence for small-scale Woodland and Mississippian occupations has been documented in its immediate vicinity (Mainfort 1992). Although the site is known to the archaeological community (Mainfort 1992), no systematic research had taken place prior to this study. The goals of the 2007 - 2008 field research were threefold. First, a systematic topographic survey of the site was completed to determine the extent of the site and the spatial relationships of the mounds. The second goal was to date Ames, as its chronological affiliation was

ambiguous. Previous dating of the site placed its terminal occupation at around AD 1000, while ceramics collected from Ames indicated the possibility that it had been occupied as early as the Early Woodland period. The third goal of the research was to obtain survey data of the immediate landscape around Ames to determine the scope of habitation (if any) associated with the mound complex. Discussion of these three facets of fieldwork follows a summary of previous research at Ames.

## Previous Research at Ames

A literature review indicates that Ames was first visited by archaeologists beginning in the 1960s. Morse, Graham, and Polhemus (1962) first recorded the site. They placed the site in the Mississippian period based on unknown criteria and also documented that varying degrees of amateur excavations had occurred on some mounds. Smith (1969) revisited Ames and documented further intrusions into Mounds B and D. Smith also collected material from looters' trenches, including samples for radiocarbon dating (Mainfort 1992:205). In 1972, Alfred Guthe from the

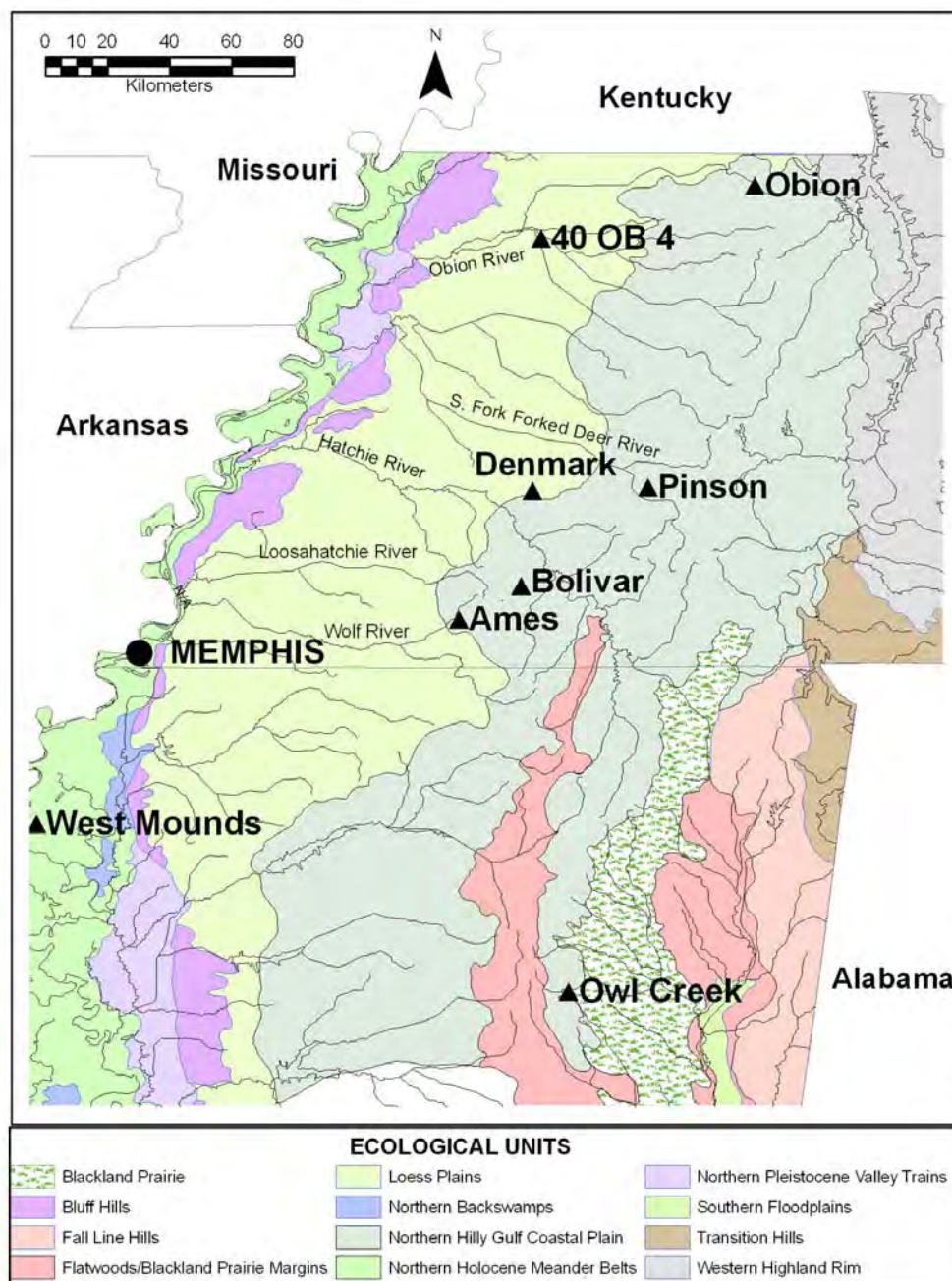


FIGURE 1. Location of Ames (40FY7) in relation to sites discussed in text.

University of Tennessee-Knoxville conducted test excavations at Ames which according to Peterson (1979:28) “produced virtually nothing.” Unfortunately, none of the materials from Guthe’s study could be relocated.

In 1979 Memphis State (now University of Memphis) archaeologists visited the site as a part of a Soil Service Survey contract for archaeological survey of the Wolf River Valley (Peterson 1979:28). Peterson’s research consisted of excavating

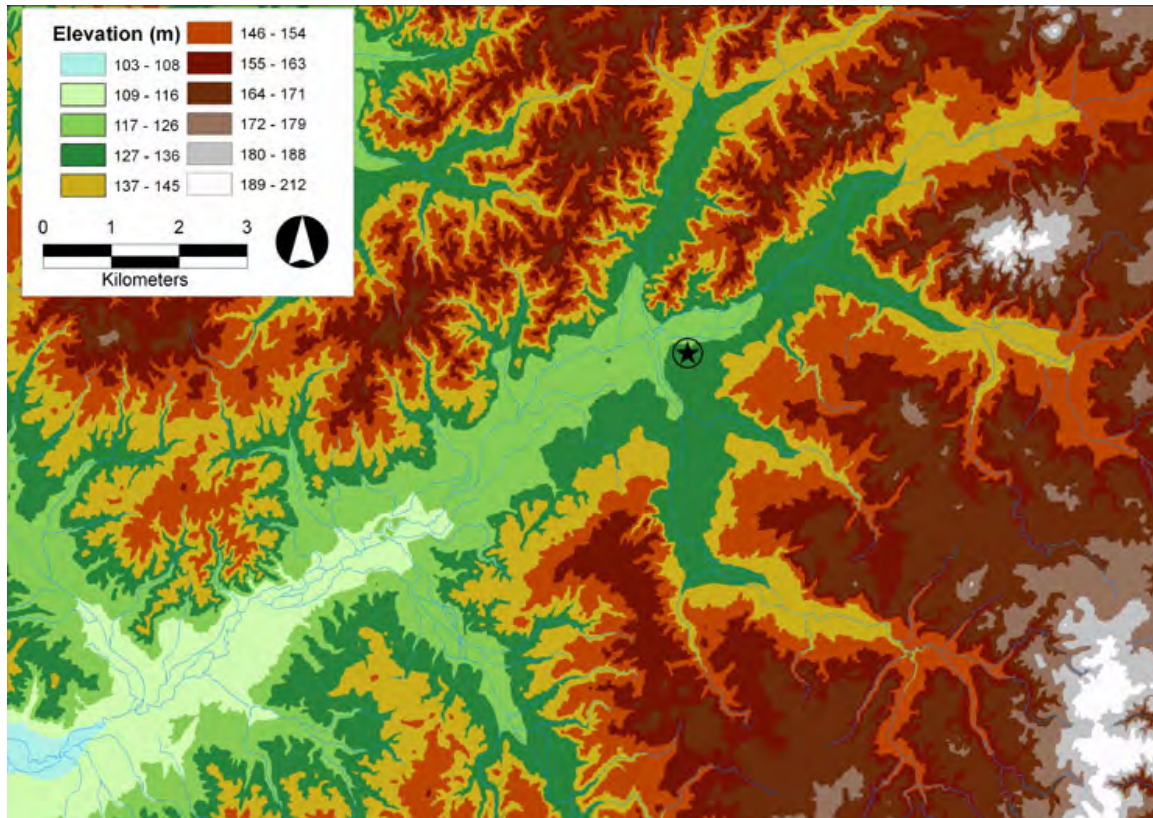


FIGURE 2. Relief map of terrain sounding the Ames Mound Complex illustrating its extreme headwaters position on the North Fork of the Wolf River.

four test units across the site (Figure 3). He reports finding little archaeological material, except a few sherds of Woodland period ceramics from Unit 3 near Mound B. Smith (1979:44, 65) reports that the ceramics dated to the Early and Middle Woodland periods, including what were classified as Tchefuncte Plain, var. Tchula ( $n=3$ ), Thomas ware ( $n=10$ ), and Baldwin ware ( $n=7$ ). Curiously, no Mississippian period materials were identified (for further discussion, see below). The remaining three test units produced virtually no artifacts. Memphis State also examined open excavation/looters' trenches and observed evidence for burned clay floors in the exposures of the profiles of at least two of these pits. Unfortunately, the description of the locations of the looters' pits is rather vague, but it seems likely they were located on Mounds A and B

(Peterson 1979:65). Attempts to relocate field notes and maps from this excavation stored at the C. H. Nash Museum were unsuccessful. Smith (in Peterson 1979:44) concluded that 40FY7 represented a Woodland or Mississippian period vacant ceremonial center. Similarly, Peterson was unable to resolve the apparent chronological incongruity of Woodland period ceramics in association with a seemingly Mississippian period mound complex.

Mainfort (1986:82; 1992:204) initially thought that Ames was a Middle Woodland period site possessing characteristics similar to Pinson (40MD1). During this period of West Tennessee archaeology, Pinson had only recently been identified as a Middle Woodland rather than a Mississippian mound complex. For instance, the ceramics at Ames were interpreted as

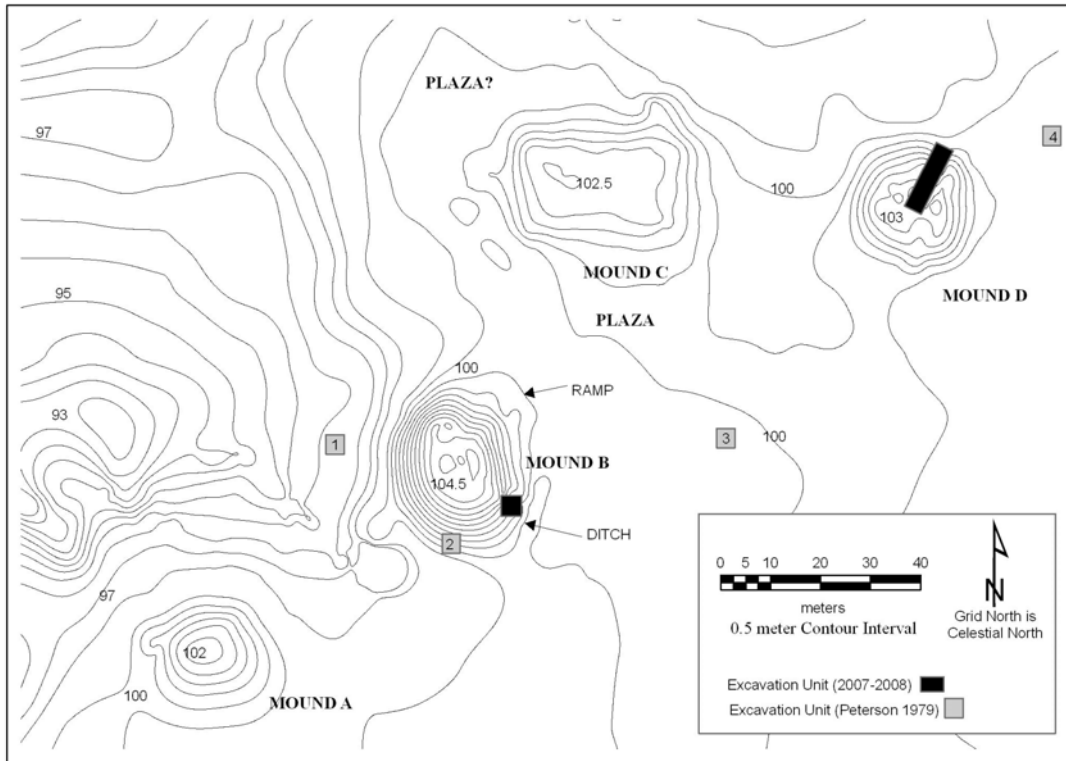


FIGURE 3. Topographic map of Ames. Contour interval is one-half meter. Placement of Memphis State University test units are approximate, based on sketch map in Peterson (1979: Figure 24).

Woodland rather than Mississippian. Additionally, the Ames mounds are morphologically similar to platform mounds found at Pinson. Both Ames and Pinson also possess a low-density scatter of off-mound artifact concentrations indicative of household level rather than village level occupations. Mainfort (1992:204) revisited four mound complex sites within the western interior of Tennessee, including Ames in the 1980s. Based upon surface collections and mound architectural styles, he concluded that four mound groups, 40HM2 (Bolivar), 40MD85 (Denmark), 40OB4, and 40FY7 (Ames) dated to the Early Mississippian period based on surface collections of ceramic material. All of the sites, except for 40FY7, contained “sparse” amounts of Mississippian shell tempered ceramics. When Mainfort (1992:206) processed a 1969 radiocarbon

sample collected at Ames by Smith, Ames was first definitively identified as Early Mississippian. The sample was collected from a portion of a burned log or “beam,” recovered from a looter’s trench on top of Mound B and yielded an uncalibrated radiocarbon assay of  $930 \pm 70$  BP, or cal AD  $1020 \pm 70$  (Table 1).

In summary, previous research at Ames has been sporadic and poorly documented. The limited number of attempts to rectify the temporal-cultural placement of Ames has been hampered by the discovery that the site contains a mixed ceramics assemblage dating from the Early Woodland to Early Mississippian periods. Ames lacked the then “expected” Mississippian shell-tempered wares. Evidence now clearly indicates that Mississippian groups within the region produced ceramics without shell tempering. For ex-



TABLE 1. Radiocarbon Assays for Selected Western Tennessee Sites.

Provenience	Lab No.	Date in Years B.P.	Uncalibrated	Calibrated Dates
Ames Mound B <sup>1</sup> , Top, looter's pit	TX-5487	930±70	AD 1020±70	AD 1020-1170
Ames Mound B, Middle	Beta-249931	930±40	AD 1020±40	AD 1020-1210 (13 C/12 C -25.8 o/oo)
Ames Mound B, Bottom	Beta-249932	1330±40	AD 620±40	AD 670 2 sigma cal AD 640 -770 (13 C/12 C -24.9 o/oo)
Ames Mound D, Mound fill deposit	Beta-234401	1270±40	AD 680±40	AD 710, 750, 760 2 sigma cal AD 660 to 870 (13C/12C -25.4 o/oo)
Ames Mound D Feature 10, top of clay floor	Beta-234402	840±40	AD 1110±40	AD 1210 2 sigma cal AD 1060 - 1080 and cal AD 1150 - 1270 (13C/12C -17.7 o/oo)
Obion <sup>2</sup> Mound 6, Phase E, midden	M-1953	910±110	AD 1040±110	AD 1070-1154
Obion Mound 6, Phase E, midden	M-1955	960±150	AD 990±150	AD 1025, 1145, 1146
Obion Mound 6, Summit	M-1954 and M1956	970±250	AD 980±250	AD 1025
Owl Creek <sup>3</sup> Mound I, Zone YY/AA	Beta-47735	1180±120	AD 770±120	AD 816 (AD 782-868)
Owl Creek Mound I, 1S23W F9	Beta-63121	820±100	AD 1130±100	AD 1219
Owl Creek Mound I, 1S23W PH 79	Beta 64288	860±70	AD 1090±70	AD 1191
Owl Creek Mound II 2S29E, Zone G	Beta-63122	850±50	AD 1100±50	AD 1195, 1196, 1208
Owl Creek Mound II 2S29E, F41	Beta-64289	900±80	AD 1050±80	AD 1133, 1136, 1156
Owl Creek Mound V, 83S34W, Zone E-4	Beta-63289	880±60	AD 1070±60	AD 1161, 1185

<sup>1</sup> Date from Mound B published in Mainfort (1992:206); Calibrated dates for Ames utilized OxCal 3.10 (Bronk 1995).

<sup>2</sup> Published in Rafferty (1995: Table 10).

<sup>3</sup> Published in Garland (1992:117).

ample, Garland (1996:45) recovered what she called "clay-grit (grog) tempered" wares from Obion (40Hy14) dating to ca. AD 1000 - 1200. Non-shell-tempered ceramics misidentified as Woodland period

rather than Mississippian period materials at Ames needs further examination.



## 2007-2008 Field Research

The long term goal of the Ames fieldwork is to understand diachronic changes in prehistoric settlement patterns in western Tennessee between the Mississippi and Tennessee Rivers. Fieldwork focused specifically on the mound complex itself and consisted of three main objectives: (1) systematic mapping of the site; (2) complete a controlled excavation within one mound to determine its construction sequence and obtain materials for dating the mound complex; and (3) controlled surface collections nearby to locate evidence for associated settlements.

A topographic map with a 0.5 m contour interval (Figure 3) on a site grid oriented to celestial north was completed and the spatial relationships between mounds are now clearly established. Previous sketch maps of the site indicated the presence of only three mounds (Morse et al. 1962) or four mounds in a roughly L-shaped configuration (Smith 1969). Our survey revealed that four mounds are located along the bluff edge, oriented linearly southwest to northeast. Three mounds (A, B, and D) are platform type mounds approximately 25 - 30 m wide and about 2 - 2.5 m high. Mound C is approximately 75 m long by 25 m wide, and 0.5 to 1.5 m high south to north. Mounds A and D are both about 2 - 2.5 m high and 20 m in diameter while Mound B is the largest structure at the site and is about 5.5 m tall and about 35 m in diameter. The mounds were positioned along the bluff and appear to be significantly taller when viewed from the valley below (Figure 4). In some cases, mounds are on the order of 1.5 - 2 m higher in elevation on the side facing the bluff. Garland (1992:54) observed the same phenomenon at Obion.

Three different types of mound archi-

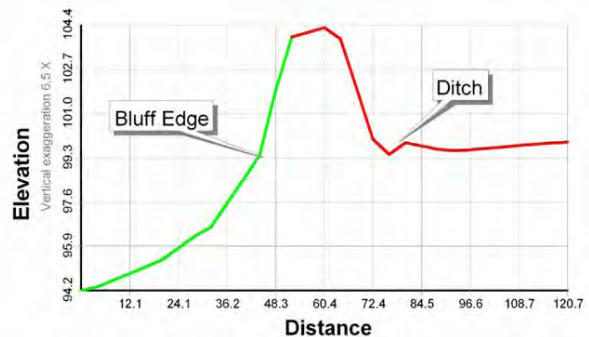


FIGURE 4. Profile of Mound B illustrating the elevation change between the bluff side and the upland side of the site. Note the location of the ditch on the upland edge of the mound. Vertical and horizontal units are in meters.

ture are represented at Ames. Mounds A and D are relatively low platform mounds with rectangular flat tops. Mound B is a platform mound, curiously pentagonal or hexagonal in form. A second feature that sets Mound B apart from A and D is that Mound B has a ditch of about 0.5 - 1 m deep surrounding its southern limits on either side of the ramp. Finally, Mound C is an oblong mound that has an uneven surface, sloping upwards over one meter south to north, towards the bluff edge.

Ames' site layout appears to have been carefully planned according to a set of culturally prescribed rules regarding the configuration of community space (Figure 5). Hypothetically, Ames is a contemporary of Obion and Owl Creek (22CS502). The orientation of the main axis of the mound complex corresponds to the summer solstice sunrise and winter solstice sunset. Mounds A, B, and D (all three platform mounds) are precisely oriented along a 61 degree azimuth. When observed from Mound B the sun would rise on the northeastern horizon directly over Mound D on the summer solstice. Likewise, the sun would set direct over Mound A during the observation of the winter solstice sunset from Mound B. Solstice

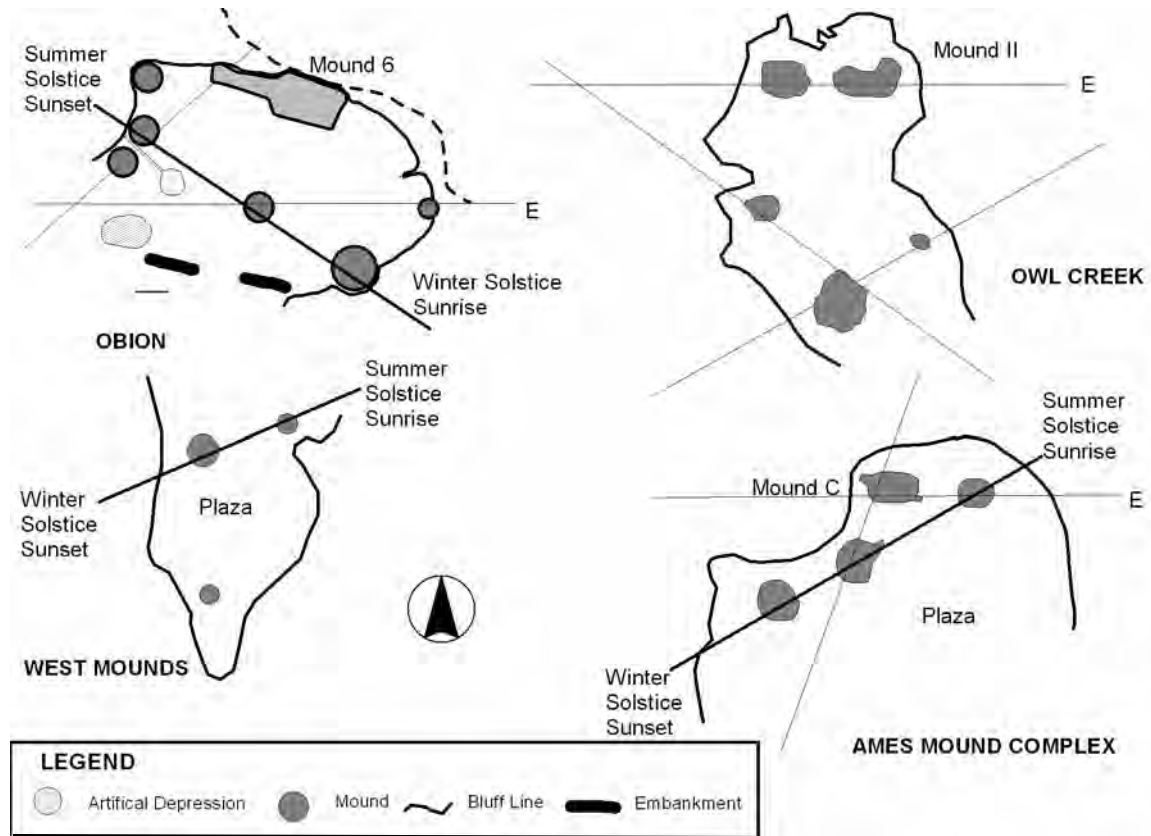


FIGURE 5. Schematics of the Obion, Owl Creek, West Mounds, and Ames Mound Complex Sites. Graphic is not to scale. True North orientation for Obion and Ames, Magnetic North for Owl Creek (after Garland 1992: Figure 1, Rafferty 1995: Figure 4, Buchner 1996: Figure 10.1). Note the previously reported probable solar alignments for Obion, West Mounds, and proposed alignments for Ames; other lines indicate general northeast-southwest layout of the three sites and hypothetical solar solstice alignments at Owl Creek.

alignments were also observed Obion (Garland 1992). Presently there is not enough information to evaluate Bolivar and Denmark for astronomical alignments. At a broader scale, both geographically and temporally, solstice alignments are reported for the Late Mississippian West Mounds site (22TU520) in Tunica County, Mississippi (Buchner 1996: Figure 10.1), and at the Middle Woodland period Pinson Mounds Complex (McNutt 2005). See also Sherrod and Rolingson (1987) for a discussion of astronomical alignments reported for sites across the region.

In addition to mounds, there are also other landscaping features at Ames in-

cluding two plazas and numerous borrow pits. Immediately north of Mound C, an area of approximately the same dimensions of Mound C was probably intentionally leveled for a plaza. An area between Mounds B, C and D was also artificially leveled to create a plaza. C-shaped pits scalloped into the bluff edge near Mounds D and A appear to be borrow pits, as these scallop features do not correspond to naturally occurring gullies or other landforms.

#### **Test Excavations at Mound D**

As stated above, a primary goal of the research was to clarify the nature and tim-



FIGURE 6. View of Mound D to the north.

ing of mound construction at Ames. With this in mind, Mound D (Figure 6) was selected for testing because it had been negatively impacted by previous looting and perhaps undocumented archaeological activities. Mound D was subject to backhoe trenching and pitting probably in the early 1980s (Figure 7). Two non-contiguous trenches extend north and south from the center of the mound to its base. Following the backhoe operation, a looter's pit was excavated through the backhoe trench into the center of Mound D. A controlled test excavation 1 m x 11 m long was placed along the west wall of the backhoe trench and northward along the excavation scar from the mound summit to the base of the mound. Mound stratigraphy is interpreted below beginning with the deepest levels, in order of deposition rather than excavation, for a more coherent view of what transpired through time.

Excavations at Mound D took place over two field seasons, and terminated upon reaching basal deposits of a buried A Horizon (Stratum XIII). Initial construction stages (Strata XII-XI) consisted of building up the bluff side of the mound in preparation for creating a level surface. Once the preparation work had been completed, loads of compact clays were



FIGURE 7. View of Mound D to the south. Note the looter's backhoe trench after removal of detritus. Excavation begins at mound summit.

brought in to create a 40-cm thick durable clay floor (Stratum X) which was intentionally fire-hardened in place. Evidence for fire-hardening consists of charcoal embedded in the upper 4-8 cm of the floor. Following completion of the floor, a wall trench was excavated and 10-cm diameter posts were placed approximately 20-cm apart in the trench (Figure 8, Table 2) to construct the walls for a building. Based upon topographic mapping and the exposure of 1 m of wall trench, the structure was probably about 8–10 m square and oriented to the cardinal directions.

The building's function is unknown as no artifacts were found on the floor during excavation and it appears that the building was swept clean prior to being incinerated. Stratum IX consisted of burned organic debris consistent with roof supports and roof thatching. Thatching material was tentatively identified as cypress bark

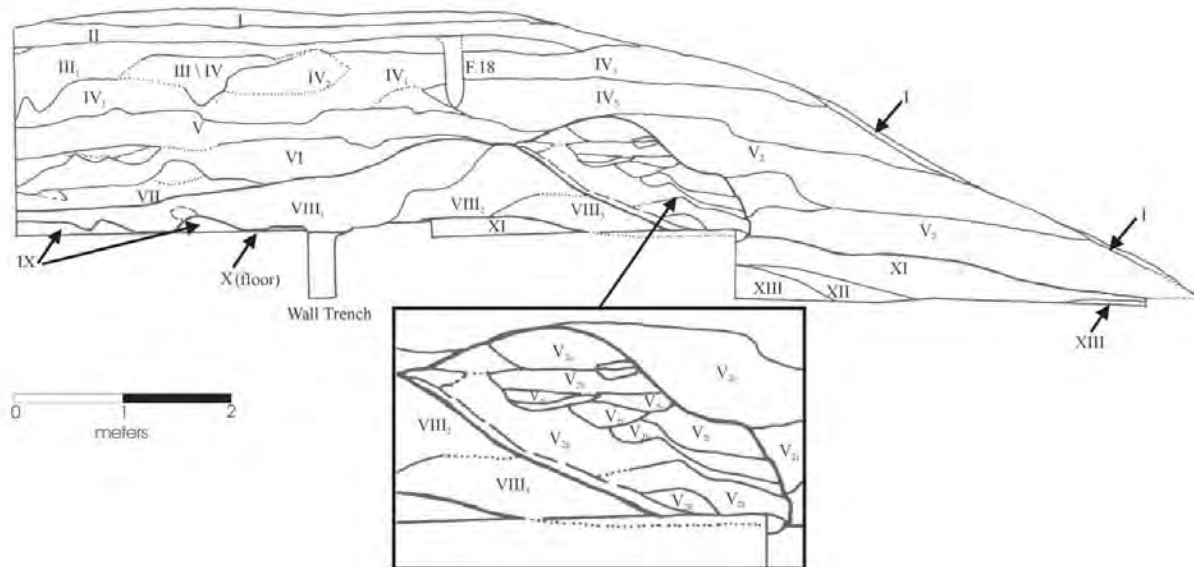


FIGURE 8. Stratigraphic profile of Trench A, Mound D.

TABLE 2. Key to Stratigraphic Profile of Mound D (Figure 8).

Stratum	Description
I	Overburden from looting activities, 10YR 6/6, brownish yellow compact clayey loam.
II	Original mound surface, pedogenesis observed, 10YR 5/6, yellowish brown compact silty clay loam.
III	Fill, 10YR 5/6 yellowish brown compact clay.
IV	Fill, mottled zone with numerous diffuse lenses, predominately 10YR 6/6, brownish yellow to 10YR 6/4, light yellowish brown compact clay; boundary between IV <sub>1</sub> and IV <sub>2</sub> indeterminate.
V <sub>1</sub>	Fill, mottled with numerous lenses not depicted, 10YR 5/6, yellowish brown compact clay.
V <sub>2a</sub>	Fills. Oxidation and evidence for heating present, 10YR 6/6 to 10YR 5/3. Very compact clay. Perhaps an architectural feature which served as a buttress
VI	Fill, 10YR 5/6 -5/4, compact clay fill.
VII	Fill, 10YR 5/6, 10YR 6/6 and 10 YR 5/4, brownish yellow to yellowish brown compact mottled clayey-loamy silt.
VIII	Fill/cap over Stratum IX. Mixed/mottled zone 10YR 5/2, 10YR 7/3, 10YR 4/6, pale brown to dark brown, containing charcoal flecking and evidence of oxidation, compact clay loam.
IX	Feature 10. Burned wood and plant fibers, ash, mixed ash and wood charcoal. Probably roof structural elements and thatching. Very Loose compaction, 10YR 2/1 black to 10 YR 8/1 white (ash).
X	Clay Floor. Extremely compact clay with wood charcoal embedded in upper 2-3 cm, 10YR 3/6 dark yellowish brown, mottled with 10YR 6/6 - 10YR 7/6 brownish yellow to yellow.
XI	Exterior to wall trench, clay floor 10YR 5/4 yellowish brown compact clay.
XII	Fill. 10YR 4/4 dark yellowish brown clay, moderately compact.
XIII	Buried A Horizon, original ground surface. 10 YR 4/4 dark yellowish brown, compact clay loam.



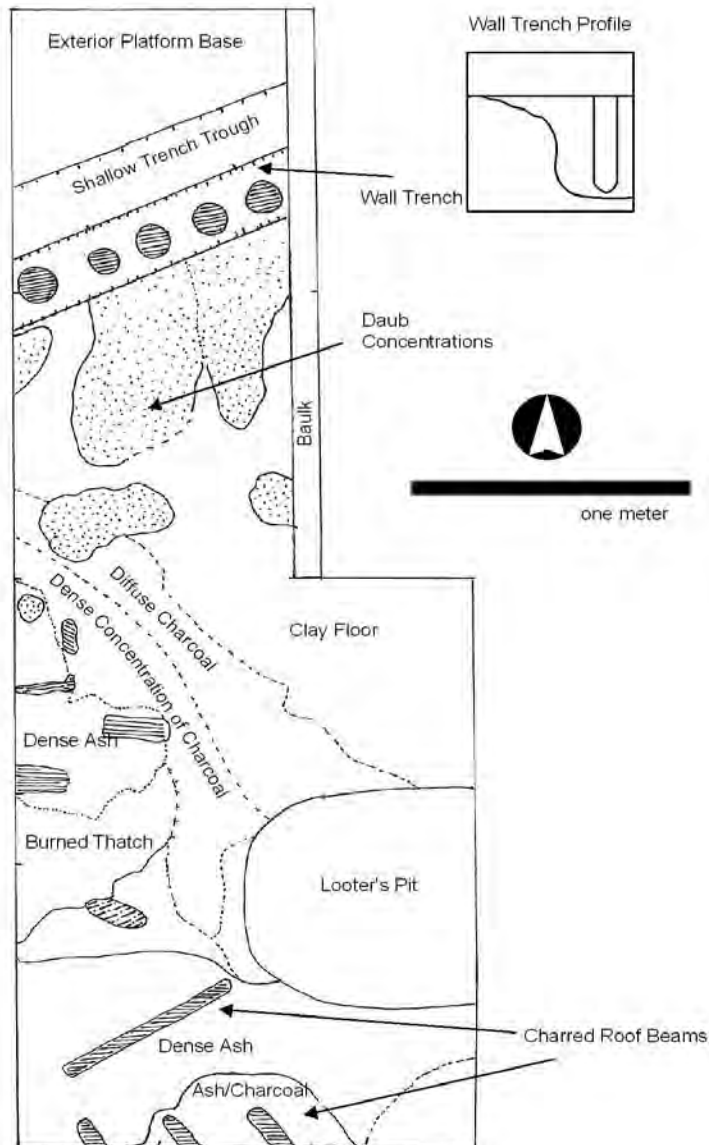


FIGURE 9. Plan view of Feature 10 within Mound D, ca. 2.25 m below surface.

(K. Mickelson, personal communication) and was mixed with ashy deposits (Stratum IX, Features 10A, 10B, and 10C).

A sample of the thatching material yielded an Early Mississippian date of cal AD 1170 to 1240 (1 sigma) with an intercept of cal AD 1210 (BP 740) (Table 1). Immediately south of the wall trench, a diffuse scatter of daub was found (Figure 9) indicating that the wall had fallen to-

wards the interior of the building. The fire was rather intense as it burned the wall posts some 20 to 30 cm below the surface. Above the destruction zone, a heavily mottled oxidized clay with mixed deposits of ash partially fired clays, and charcoal flecking was encountered (Strata VIII<sub>1</sub> – VIII<sub>3</sub>). The oxidized sediments indicate that they were exposed to heat, suggesting that sediments were heaped on top of the still-burning structure (Figures 10 and 11). The capping of the building was not haphazard. Sediments were deposited in a ring around the edge of the structure with strata VIII<sub>2</sub> and VIII<sub>3</sub> delineating the outside edge of the ring and Stratum VIII<sub>1</sub> capping the floor and wall trench of the building. Strata VII–VI represent the rapid accumulation of fill completing the termination of the first occupa-

tional surface.

The regional literature is replete with cases of burned structures strikingly similar to what was found at Ames. For example, sites with burned structural remains include Mound A, West Mounds site in Tunica County, Mississippi (Buchner 1996:80); Chucalissa, Unit 5 (Smith 1988); and Winterville (22WS500) Mound K, near Greenville, Mississippi (Brain



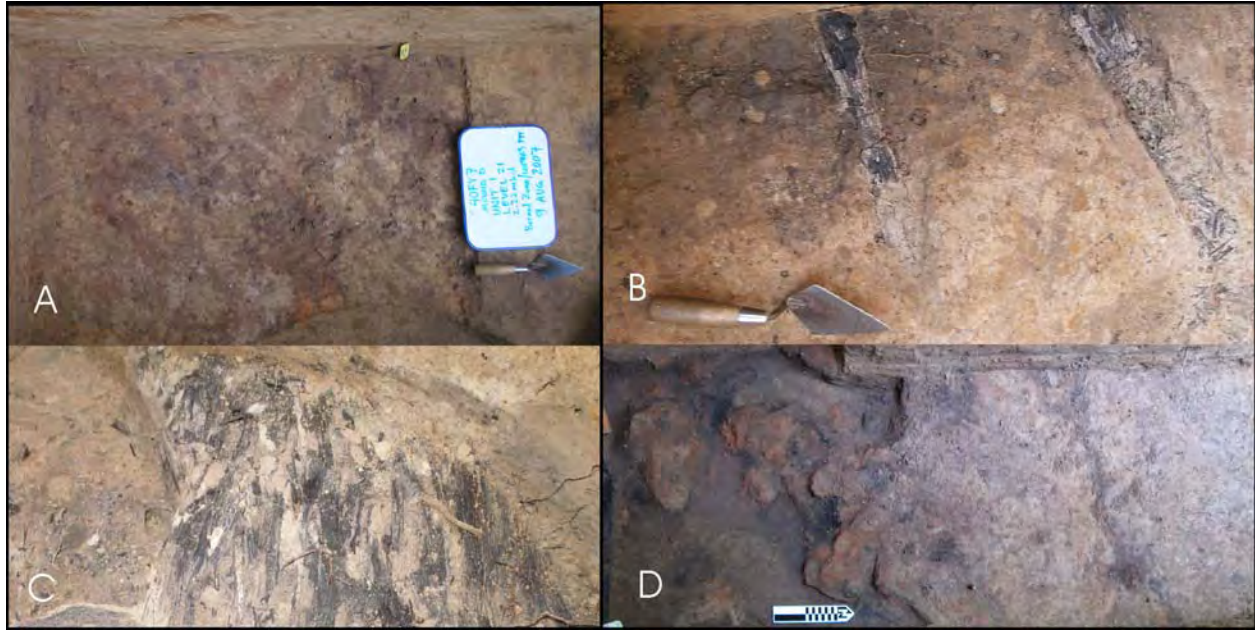


FIGURE 10. Oxidized soil capping burned building (A), concentration of burned structural elements of building (B), burned roofing material including thatch (C), area of daub interior to wall trench (D).



FIGURE 11. Excavation of the wall trench: (A) middle three postmolds, voids appear following removal of clay cap; (B) profile excavation in progress; (C) complete profile of western-most postmold.

1989:54-55). Brain reports that a structure was burned then capped with fill immediately after the fire. Likewise, Buchner (1996:80) describes a similar finding at Mound A at West Mounds, where oxidized sediments were found atop of structural remains, indicating intentional burning and burial of the building remains. These examples indicate that the practice of intentional burning of structures and the rapid burial of the burning remains are found across a broad part of the South-

east and throughout the entire Mississippian period.

The second episode of mound construction probably began with the deposition of Stratum V. No clear occupational surface at the interface between strata VI and V<sub>1</sub> was observed so the length of time between the capping of the burned structure and reinitiating mound construction is unknown. However, Stratum V<sub>1</sub>, consisting of over a dozen sub-strata, was deposited in order to again create a large flat

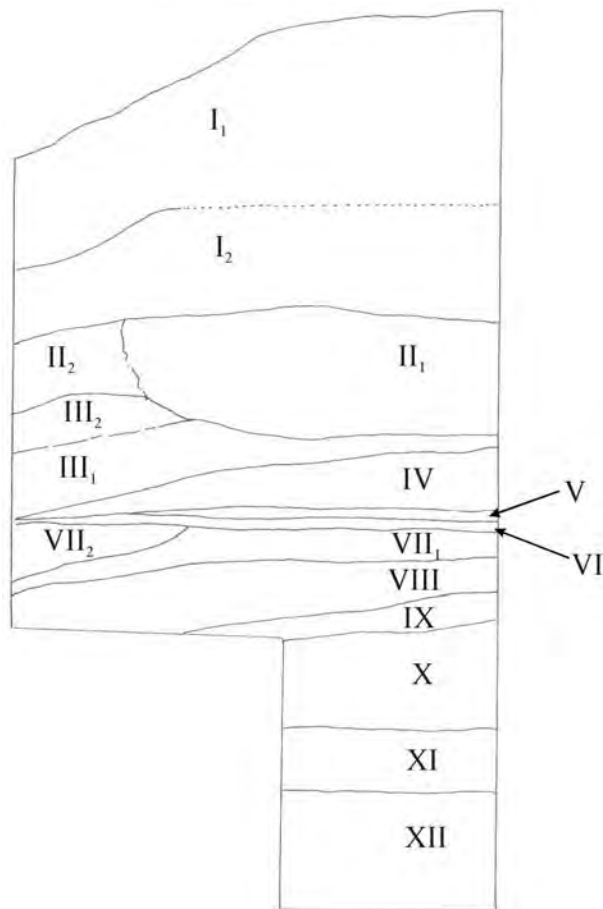


FIGURE 12. Stratigraphic profile of Mound B.

area on top of the mound. Stratum V<sub>1</sub> contained numerous diffuse lenses which were difficult to distinguish from one another during excavation but are obvious in the profile. Unlike Stratum V<sub>1</sub>, Stratum V<sub>2</sub> contained a dozen clay lenses apparently burned in place, perhaps serving as a buttress to stabilize the edge of the mound over Stratum V<sub>3</sub>. Although the top of Stratum V<sub>1</sub> is relatively level, no evidence was found for occupation or even ephemeral use.

Following the deposition of Stratum V, Strata III and IV were laid down to create the last occupational layer of the mound. A single post (Feature 18) terminating at the top of Stratum III indicates the addition of architectural elements to the mound at that time. What is not known is if Feature

TABLE 3. Key to stratigraphic profile of Mound B (Figure 12).

Stratum	Description
I <sub>1</sub>	Slump. 10 YR 5/6 moderately compact silty clay.
I <sub>2</sub>	Slump? 10YR 4/6 compact silty clay.
II <sub>1</sub>	Fill. 10 YR 4/4 compact silty clay.
II <sub>2</sub>	Fill 10 YR 5/6 compact silty clay.
III <sub>1</sub>	Fill. 10 YR 6/6 compact silty clay.
IV	Fill. 10 YR 4/3 mottled compact silty clay.
V	Sheet wash over VI, laminations present. 10 YR 5/3 compact silty clay.
VI	Dense charcoal zone, clean break with VII <sub>1</sub> . 10 YR 3/3 - 10 YR 4/3 Charcoal and ash loose compaction.
VII <sub>1</sub>	Fill. 10 YR 5/6 compact clay mottled with charcoal.
VII <sub>2</sub>	Fill. 10 YR 4/4 compact silty clay mottled with fragments of daub.
VIII	Fill. 10 YR 4/3 compact silty clay.
IX	Fill. 10 YR 5/3 compact silty clay.
X	Fill. 10 YR 4/4 compact silty clay.
XI	Fill, clean break with X above, probable surface. 10 YR 3/2 silty clay.
XII	Buried B Horizon. 10 YR 5/6 very compact clay.

18 represents a single post or was a component of a building. Lack of both a wall trench and clay floor indicates that if a building had been constructed, the energetic input into its construction was much less than the first building on the mound. Stratum II represents the final cap on top of the mound, whereas Stratum I is backfill from looting and other past excavation activities.

The few artifacts that were recovered consisted of a single Madison point from looter's backfill and hundreds of very small fragments of Early Woodland to Early Mississippian period ceramics (analysis is ongoing). The Woodland period ceramics within a Mississippian period mound indicate that some fill came from earlier prehistoric deposits in the vicinity. Additionally, the very fragmented nature of the materials indicates secondary deposition. The presence of Wood-

land materials within a Mississippian period mound was also reported by Rafferty (1995:17) at Owl Creek. Ceramics are dominated by sand tempered wares. The heavily eroded nature of the sherds makes typological identification nearly impossible. However, one sherd tempered with quartzite, quartz, quartz sandstone and chert was also identified. It may be of non-local origin as similar material was reported by Peacock (1996) in north Mississippi from a feature dating to ca. AD 400. Alternatively, it may be a variant of the Middle Woodland Knob Creek type described by Smith (in Peterson 1979) at Ames which also contained quartzite.

### ***Test Excavation at Mound B***

A 2 x 2 m test pit was located on the southern side of Mound B, about two thirds of the way above the topographic base of the mound. The goals of the excavation were to date the lower and middle deposits of the mound and to see if anything could be learned regarding early stage mound use. As previously noted, Mainfort obtained an Early Mississippian date for the mound summit from not entirely clear contexts, and one purpose was to date material from precisely known locations.

Our excavation exposed a 3.4 m deep profile and additional coring extended the profile another 50 cm (Figure 12, Table 3). Since the excavation is located on the side of the mound, the fact that the upper 1.4 m of deposits consists of slump is not unexpected (Strata I<sub>1</sub> and I<sub>2</sub>). The top of Stratum II represents the end of a major construction phase that was nearly 40 cm thick, beginning at the top of Stratum IV. Stratum VI represents a surface that was perhaps stable for a substantial period of time because Stratum V overlying the surface appears to be evidence for sheet

wash, indicating the surface was exposed to rain and deposition of sediments from elsewhere. Strata VII – X are distinct episodes of mound fill resting on what initially appeared to be the base of the mound (top of Stratum XI). Stratum XI is a very compact clay mottled with charcoal and the boundary between Strata XI and X was strong, suggesting that the top of Stratum XI is a former mound surface. Sediments below the X-XI interface were first tested with an Oakfield soil probe and the results indicated that a truncated B-Horizon had been encountered. The test excavation was stepped down to 50 cm x 40 cm and extended an additional 40 cm, exposing a profile containing bits of charcoal and burned clay, before intersecting with a truncated B Horizon. Artifacts from Mound B included 10 unidentified ceramic sherds, two flakes, and two pieces of iron bearing sandstone.

Two accelerator mass spectrometry (AMS) radiocarbon assays were obtained from the Mound B test excavation (Table 1). The first sample was obtained from Stratum VI, the aforementioned stable surface ca. 2.5 m below the mound summit. An uncalibrated date of  $940 \pm 40$  BP (cal AD 1020 to 1210) was obtained. The second sample collected for radiocarbon dating was collected from Stratum XI about 3.75 m below the summit of Mound B. This sample yielded a date of  $1330 \pm 40$  BP (cal AD 640 to 770). The upper date from Stratum VI matches the date obtained from Mainfort which came from material near the summit of Mound B (uncalibrated  $930 \pm 70$  BP). These two dates indicate that the upper 2.5 m of Mound B was constructed within a very short time frame. The date from material collected within Stratum XI suggests two possible scenarios. First, the upper portions of Mound B are Early Mississippian construction episodes overlying a Late Wood-

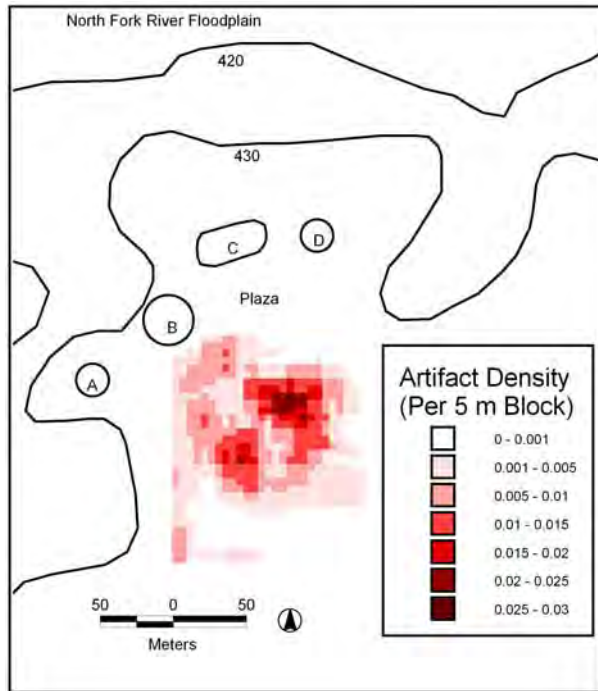


FIGURE 13. Nearest neighbor analysis of surface-collected artifacts over a ca. 1.82 Ha (4.5 acres) south of the mound complex. Artifact density is approximately 46 artifacts per hectare. Highest density areas have concentrations of Mississippian period artifacts. Contours are feet above mean sea level.



FIGURE 14. Projectile points from surface contexts (A, B) and Mound D, looter's trench (C).

land deposit, possibly a Late Woodland period mound. The second scenario is that Late Woodland deposits were “mined” by Mississippian architects to construct Mound B. Additional testing at Mound B will be required to resolve which scenario is most plausible.

TABLE 4. Results of Off-Mound Surface Collection.

Material Type	Count
Debitage	64
Prehistoric Ceramics	3
Iron-bearing sandstone	8
Stone pipe fragment (?)	1
Madison Type Triangular Points	2
Total	79

### Off-Mound Research

The final goal was to obtain distributional data on non-mound deposits at the site, south of the mound complex in an area currently under cultivation. A controlled surface collection of approximately four acres adjacent to the mound complex was completed under nearly ideal visibility conditions. Artifacts were collected along north-south oriented transects at a 5-m interval and were mapped using a sub-meter level accuracy GPS (Figure 13, Table 4). A low-density scatter of artifacts was revealed. Off-mound artifact densities were on the order of less than 46 artifacts per hectare and this survey failed to encounter what might be considered a “village” scale deposit associated with the mound complex. However, additional testing (e.g., geophysical prospecting) is required to confirm this observation. Our survey found a single cluster of Mississippian period of artifacts (ceramics and two Madison points; Figure 14). Repeated surface collection, systematic shovel testing, and geophysical prospecting are planned to further test for occupational deposits around the mound complex. Present data indicate low-level occupational intensity in the vicinity of the mounds. Materials collected in the 1970s by Guthe and Peterson via test pits also found a similar lack of materials in areas off-mounds (Peterson 1979).



## Ames in Regional Context

Mississippian occupations within the west interior part of Tennessee, between the Tennessee and Mississippi Rivers, remains poorly understood despite years of survey and research. Presently, there are only five known Early Mississippian mound complexes dating from AD 990 to AD 1220 (Table 1). Mainfort (1992:203) observes that archaeological survey data for the interior is “no worse” than for areas along the major drainages within the state, and that sampling error does not explain the paucity of large towns associated with the known Mississippian mound complexes in the region. According to Mainfort (1992), Ames shares traits with other mound groups including Obion, 40OB4, Denmark and Bolivar located in western Tennessee. Expanding the research area south of the state line into Mississippi, the Owl Creek complex situated in northern Mississippi deserves inclusion as well. The shared traits include (1) geographic positioning, (2) similarities in artifact assemblages and mound architecture, and (3) low density artifact scatters off-mounds. Each of these characteristics will be considered in order below.

From an ecological/geophysical perspective, all five sites fall within or on the margins of the Northern Hilly Coastal Plains subunit of the Southeastern Plains and Hills ecological region (Griffith et al. 1998) within upland settings near stream headwaters (refer back to Figure 1). The area lacks large floodplains and smaller river systems comprise the hydrological regime. The four Tennessee sites are located at the headwaters of tributaries of the Mississippi River while Owl Creek is at the headwaters of a tributary of the Tombigbee (only 15 km from the headwaters of the Mississippi drainage system). The Northern Hilly Plains stretches from north-

ern Mississippi to the Tennessee – Kentucky border. The natural vegetation of this region consists of an oak–hickory forest transitioning to an oak-hickory-pine regime to the south and possesses “distinctive faunal characteristics for west Tennessee” (Griffith et al. 1998). The region is unlike the Mississippi Valley Loess Plains to the west or the Western Highland Rim to the east. The area lacks large floodplains as well, due to the smaller rivers that comprise the hydrological regime. Therefore, the area presented different challenges to Early Mississippian populations than were encountered in adjacent regions to the east and west.

The Tennessee sites’ topographic positioning along the Mississippi River side of the interfluvium between the Mississippi and Tennessee River drainages may indicate influence of groups from the Mississippi Valley east into the uplands. However, at present the influence may have been less than previously thought. One major Mississippian trait that is lacking at these sites is the predominance of shell tempered ceramics. Ceramics recovered from Ames do not have any attributes suggestive of Mississippi Valley origins and other sites, like Obion, Bolivar, and Denmark tend to have only small quantities of shell-tempered ceramics (Mainfort 1992). Even at Obion the occurrence of shell tempered wares is between 5 and 15 percent across the site (Garland 1992:118). Most of the centers in the region seem to have sparse artifact densities, suggesting lower occupational intensity than their neighbors. An explanation is that a process of “Mississippianization” occurred, where local traditions of pottery making and a dispersed settlement strategy were retained, something along the lines of what Phillips, Ford, and Griffin (1951) called the X-Factor (Pauketat 2007:107).



All sites discussed have classic traits of Mississippian style mound architecture, similarities in layout, and the presence of plazas. Mound architecture at the above sites consists of rectangular platform mounds and low quasi-rectangular mounds such as Mound C at Ames, Obion Mound 6, and Owl Creek, Mound II. Plowing at Denmark and Bolivar (the two least researched sites) may have obliterated similar mounds. Plaza features are clearly present at Obion, Owl Creek, Ames, and Bolivar. Prentice (2000:120) observes that by the early Late Woodland period conical mounds quickly become scarce in the region and are replaced by small mound groups, roughly of three to six mounds oriented along an east-west axis. Ceremonial mound complexes “became codified even more with the dominant platform mound typically being the ramped variety located on the west side of the plaza opposite of a smaller mound. The ramp was typically placed on the plaza side of the [dominant] mound.” Clearly Ames contains all of the characteristics, as Mound B is ramped mound and Mound D is the smaller mound at Ames. Similar configurations are observed at Obion and Owl Creek (Figure 10).

Finally, most sites lack evidence for a village scale occupation, such as dense artifact concentrations or middens. The known exception is Obion (Garland 1992). Mainfort observes that there is no lack of agriculturally suitable soils at any of the four sites, thus ruling out ecological variables for the lack of associated villages. This is the case at Ames, where the site is situated at the edge of a large level expanse of productive farmland, yet no village has been identified. The lack of villages indicates regional variability within Early Mississippian settlement patterns. Incomplete data from Ames points to a

dispersed sedentary model of small hamlet or farmstead settlements across the area surrounding the mound group. The lack of a village at Owl Creek was confirmed by Rafferty (1995) where extensive shovel testing and a review of previous collections from the site yielded a total count of less than 200 artifacts.

If such is the case, the lack of a midden or other substantial habitation debris, indicates that Ames supports the argument for retention of a dispersed hamlet or farmstead settlement model from Woodland into Mississippian times within western Tennessee. Although settlement nucleation into villages adjacent to mound groups is seen along the Mississippi and Tennessee River floodplains during this time, such a settlement strategy appears not to have been dominant within the interior. In fact, such a settlement system never seems to take hold in the region, as there are no Middle to Late Mississippian mound groups or even single mounds documented in the area (Prentice 2000). Rather, the present data point to ceremonial mound centers produced by small-scale agriculturalists living in dispersed farmsteads across the surrounding countryside. Early Mississippian lifeways, such as at Ames and Obion found in “backwoods” western Tennessee have been described as “anomalies” that do “not fit easily into the Lower Valley or Tennessee [River] sequences” (Williams 1992:197). Our preliminary results do indicate that Ames and other sites varied considerably from its neighbors located in large fluvial valleys to the west and east. When viewed in regional context, they can no longer be thought of as “anomalies.” Rather a different settlement pattern is beginning to emerge.

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Andrew M. Mickelson  
Department of Earth Sciences  
1 Johnson Hall  
University of Memphis  
Memphis, TN 38152